

Inducing and renormalization for rotations

We defined several tools:

- ▶ An renormalization procedure;
- ▶ An algorithm;

(induce R_α on $I^{(n)} = \Delta^{(n)} \cup \Delta^{(n+1)}$)

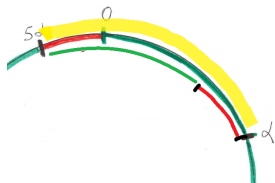
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- ▶ Partitions of the circle;
- ▶ Towers and cutting and stacking;

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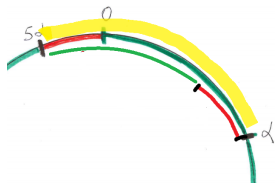
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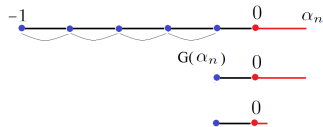
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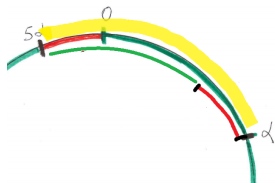
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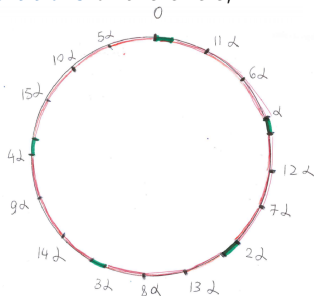
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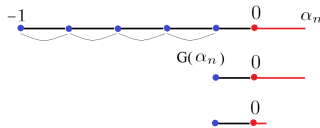


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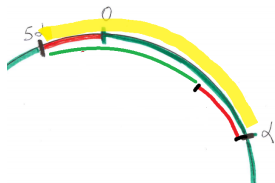
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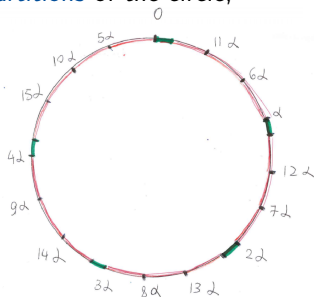
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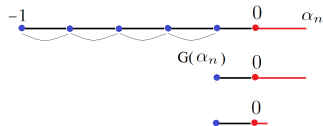


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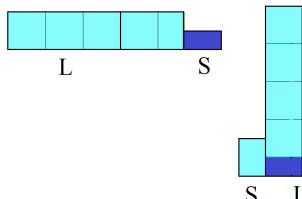


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Sample of results which can be proved using these tools:

- ▶ Three gaps theorem (Steinhaus theorem) for rotations;
(using towers)
- ▶ Denjoy-Koksma inequality for Birkhoff sums over rotations;
(using towers)
- ▶ Rotation numbers for homeos and diffeos of S^1
(using the renormalization procedure) [Ref: *van Strien-de Melo book*]
- ▶ Poincaré theorem for homeos of S^1
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- ▶ Herman result on regularity of conjugacy for diffeos of S^1
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- ▶ ...
- ▶ A limit theorem for Birkhoff sums of non integrable functions
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- ▶ A generalization of Beck central limit theorem for rotations
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Homeomorphisms and diffeomorphisms of the circle

Let $f : S^1 \rightarrow S^1$ be a homeomorphism of the circle.

► Rotation number $\rho(f) := \lim_{n \rightarrow \infty} \frac{F^n(x) - x}{n}$,

(where F is lift of f and x any point in S^1)

[Rk: $\rho(f) \in \mathbb{Q}$ if and only if f has periodic points.]

Theorem (Poincaré)

If f has no periodic points, there exists an (irrational) rotation R_α (where $\alpha = \rho(f)$) and a semi-conjugacy h between f and R_α (h monotone and surjective).

$$\begin{array}{ccc} S^1 & \xrightarrow{f} & S^1 \\ \downarrow h & & \downarrow h \\ S^1 & \xrightarrow{R_\alpha} & S^1 \end{array}$$

Theorem (Denjoy)

If in addition $f \in \mathcal{C}^2$ (or \mathcal{C}^1 and f' has bounded variation), then h is a conjugacy.

Theorem (Herman, see also Sinai-Khanin)

If in addition $f \in \mathcal{C}^{2+\nu}$ for some $\nu > 0$ and $\alpha = [a_0, a_1, \dots]$ satisfies $a_n \leq Cn^\gamma$ for some $C, \gamma > 0$ (true for a.e. α) then the conjugacy h is \mathcal{C}^1 .

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Corinna Ulcigrai

A Central Limit Theorem
for cocycles over rotations

*(based on joint work with
Michael Bromberg)*

Deterministic walk driven by a rotation

CLT (Central Limit Theorem): central feature in probability theory / hyperbolic dynamical systems; what about entropy zero dynamics?

$$(x, y) \mapsto (R_\alpha(x), y + f(x))$$

$$T^n(0, 0) = (R_\alpha^n(0), \sum_{k=0}^{n-1} f(R_\alpha^k(0)))$$

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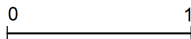
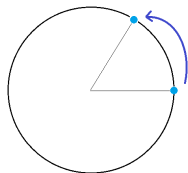
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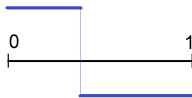
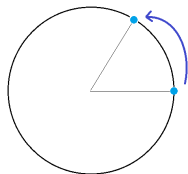
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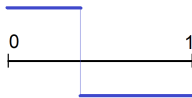
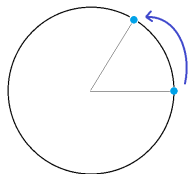
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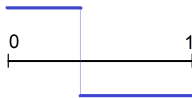
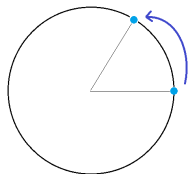
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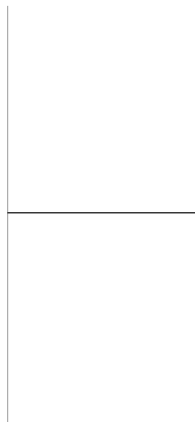
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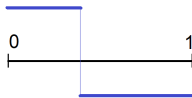
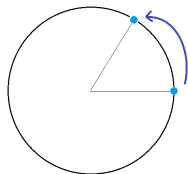
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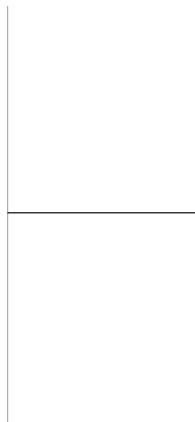
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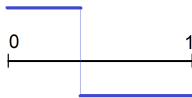
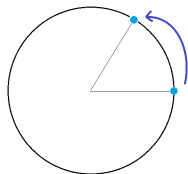
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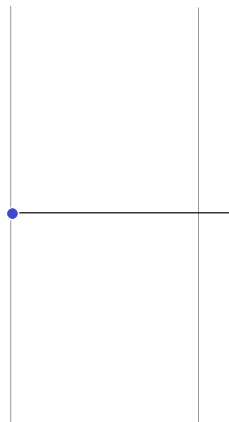
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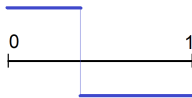
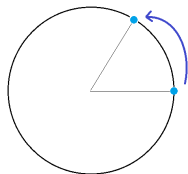
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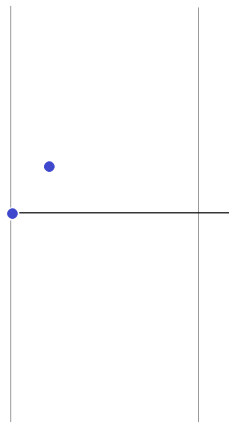
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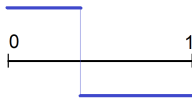
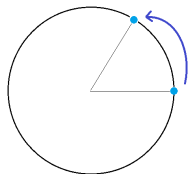
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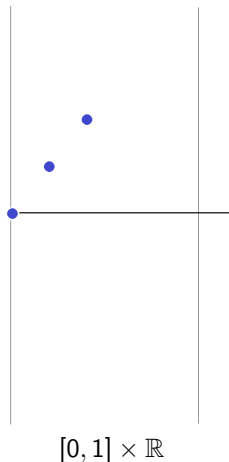
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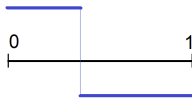
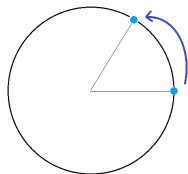
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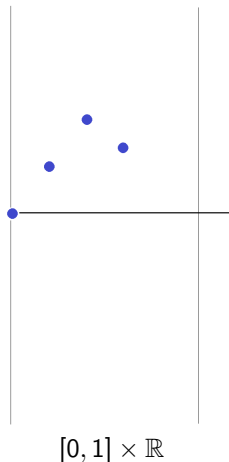
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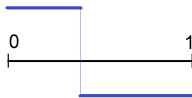
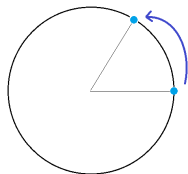
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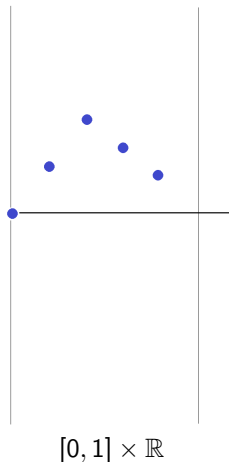
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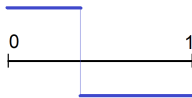
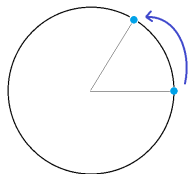
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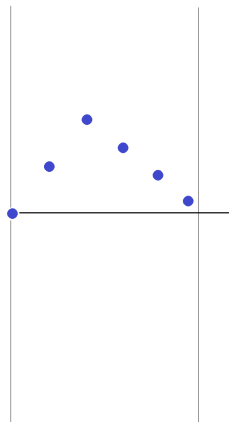
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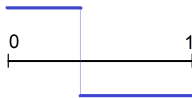
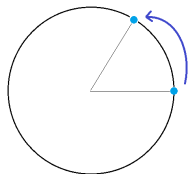
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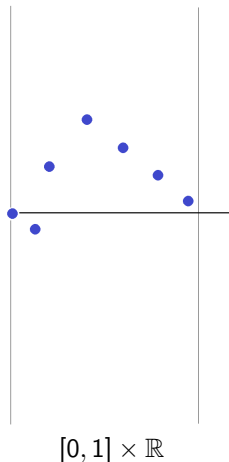
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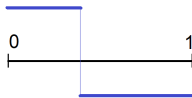
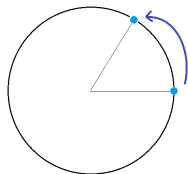
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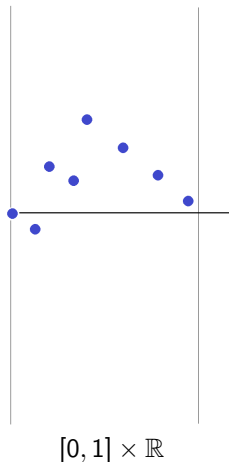
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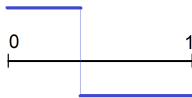
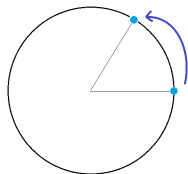
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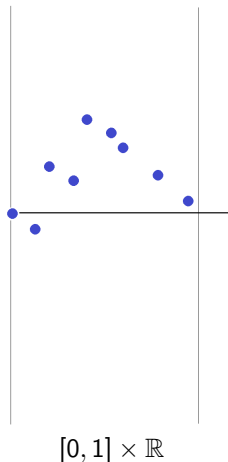
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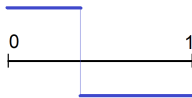
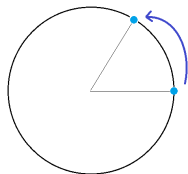
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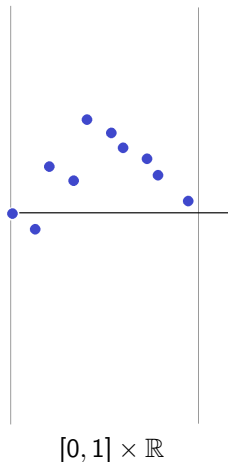
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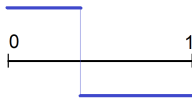
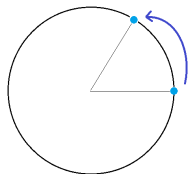
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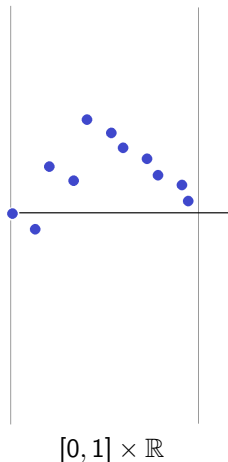
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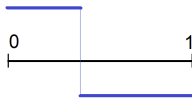
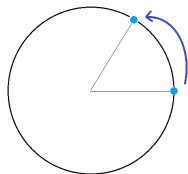
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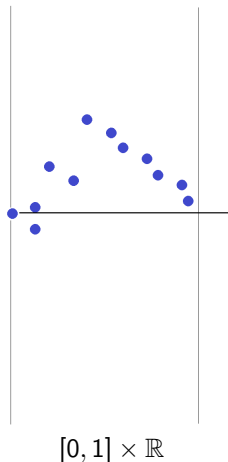
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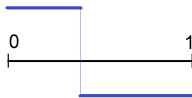
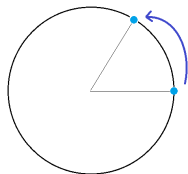
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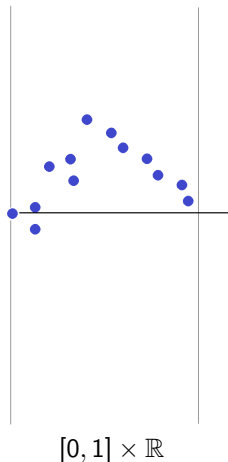
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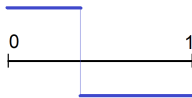
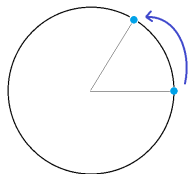
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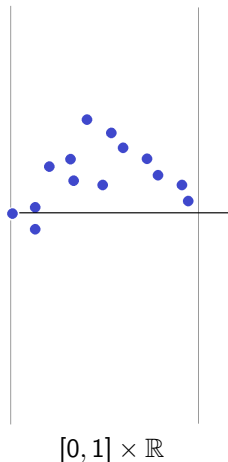
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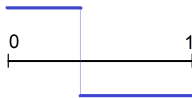
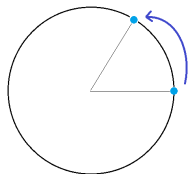
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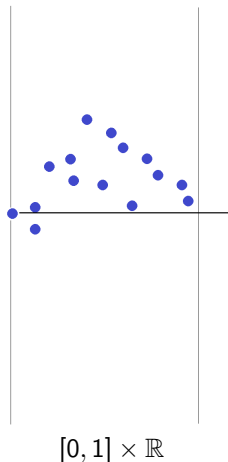
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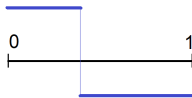
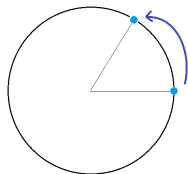
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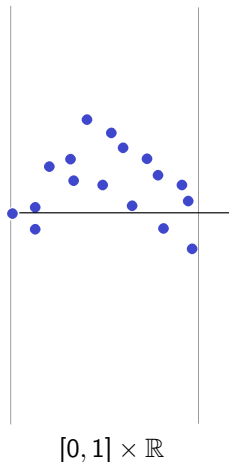
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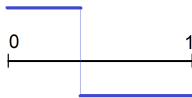
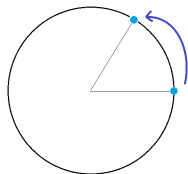
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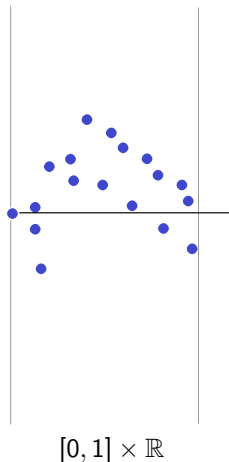
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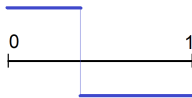
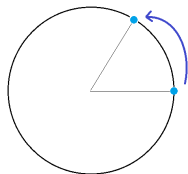
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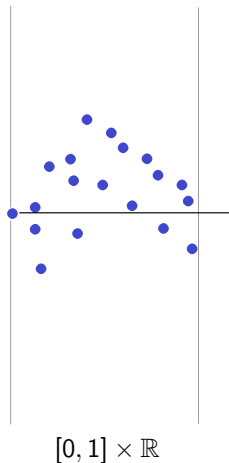
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Temporal limit theorems

Take $A = [0, 1] \times [a, b]$. Define X_N visits r.v. by

$$\mathbb{P}\{X_N \in [a, b]\} := \frac{1}{N} \#\{0 \leq n < N : T^n((0, 0)) \in A\}$$

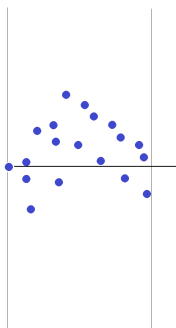
Temporal limit theorems:

- Beck CLT: $\alpha = \sqrt{2}$ (quadratic irr.), $\beta = \frac{1}{2}$;

$$\frac{X_N - a_N}{b_N} \rightarrow \mathcal{N},$$

where \mathcal{N} Gaussian, $a_N = c_1 \log N$, $b_N = c_2 \sqrt{\log N}$

- Dolgopyat-Sarig: α quadratic irr., $\beta \in \mathbb{Q}$, any (x_0, y_0)



Theorem (Bromberg-U')

For any α bounded type (bnd CF entries), any β badly approximable wrt α and any $0 < x_0 < 1$,

$\exists A_n := A_n(\alpha, \beta, x)$ and $B_n := B_n(\alpha, \beta)$ s.t. $\forall a < b$

$$\frac{1}{n} \#\left\{1 \leq k \leq n : \frac{S_k f_\beta(R_\alpha, x_0) - A_n}{B_n} \in [a, b]\right\} \rightarrow \frac{1}{\sqrt{2\pi}} \int_a^b e^{-\frac{x^2}{2}} dx.$$

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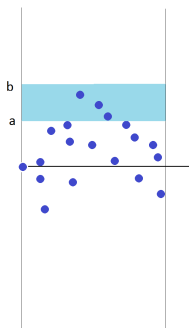
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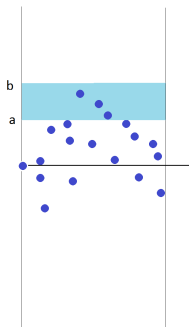
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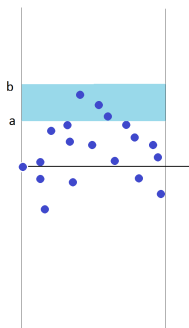
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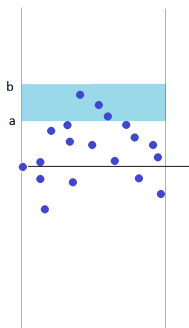
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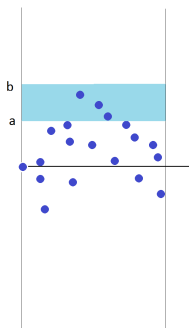
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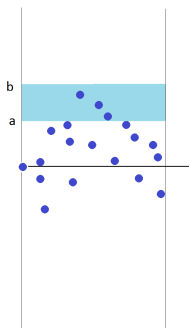
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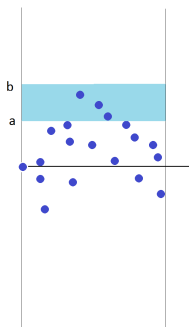
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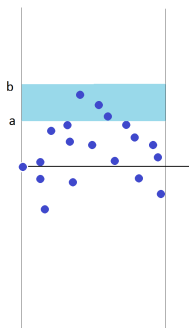
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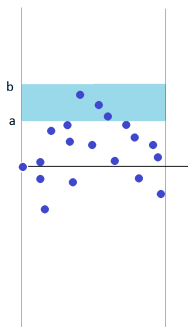
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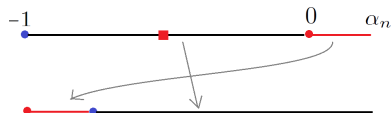
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Ostrowski renormalization

From a rotation on $[-1, \alpha]$ with marked point $-1 < \beta < \alpha$, the algorithm produces a sequence of rotations on $[-1, \alpha_n]$, with marked points β_n :



Algorithm step n:

- ▶ Cut a_n copies of $[0, \alpha_n]$; α'_n remainder length;
- ▶ β_n belongs to b_n th copy, or set $b_n = 0$; β'_n induced marked point;
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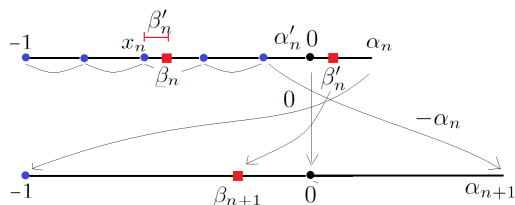
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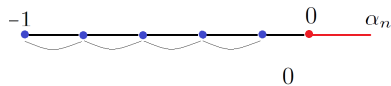
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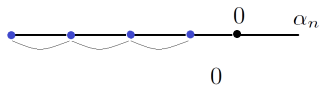
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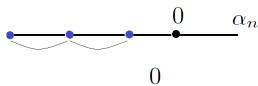
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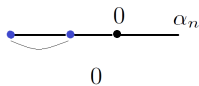
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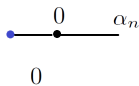
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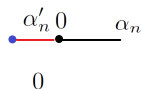
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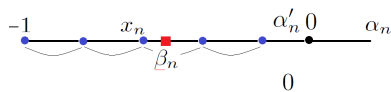
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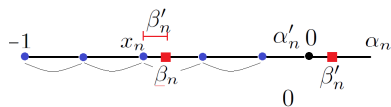
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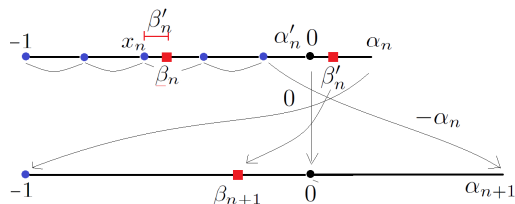
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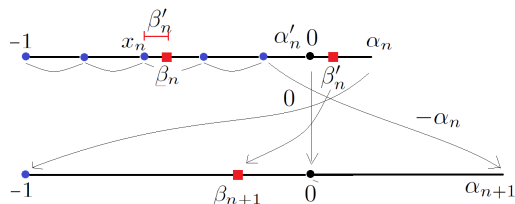
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Towers and cutting and stacking for Ostrowski towers

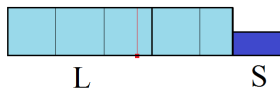
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- ▶ For the *rotation*, there are only two towers (of heights q_n and q_{n+1}): a *large* one (L) and a *small* (S) one.
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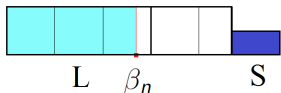
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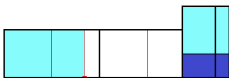
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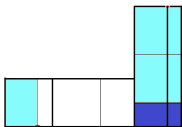
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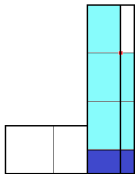
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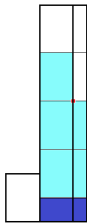
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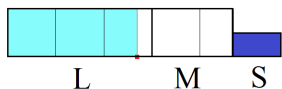
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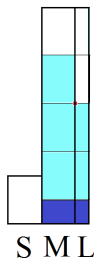
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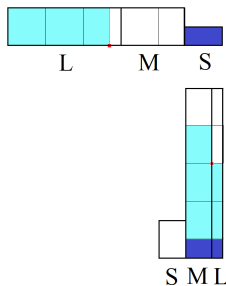
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For the symbolic coding, use two consecutive renormalization steps.

- ▶ Label subtowers of step n inside step $n + 1$
Labels (J, j) , $J \in \{L, M, S\}$, $0 \leq j \leq a_n$.



- ▶ *Coding map* Ψ : code a point $x \in I$ by $\Psi(x) = \{(J_n, j_n)\}_n$ if, for any n , x belongs to the subtower labelled by (J_n, j_n) at stage n .
- ▶ *Fact*: Symbolic sequences in $\Psi(I)$ form a Markov chain. Write:
 - ▶ *transition matrices* with entries in function of a_n and b_n ;
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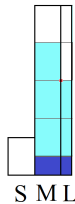
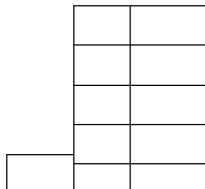
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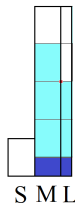
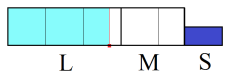
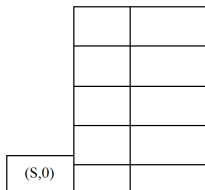
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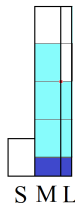
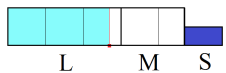
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	(M, a_n)	
	...	
	(M, 2)	
	(M, 1)	
(S, 0)	(M, 0)	



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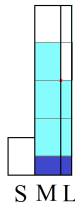
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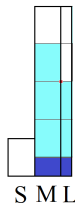
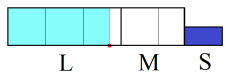
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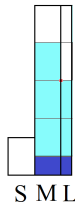
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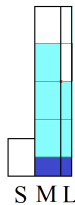
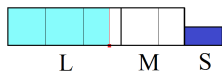
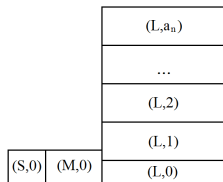
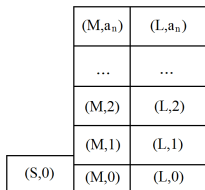
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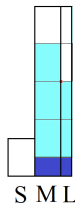
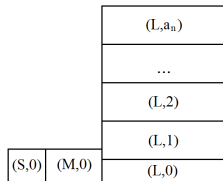
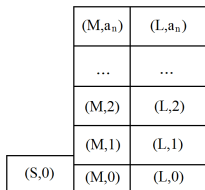
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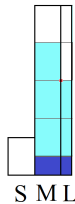
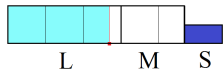
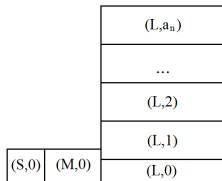
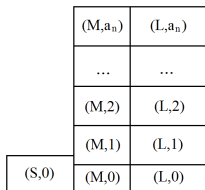
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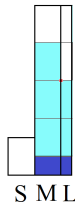
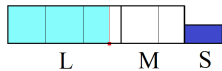
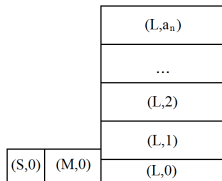
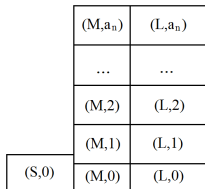
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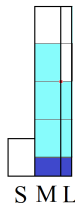
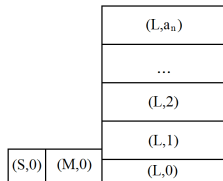
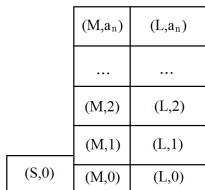
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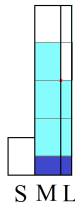
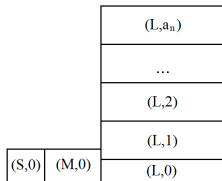
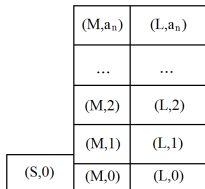
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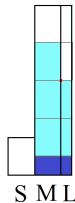
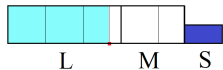
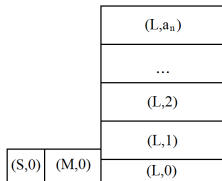
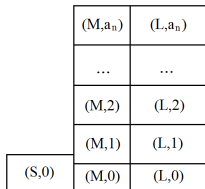
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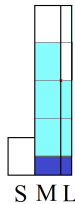
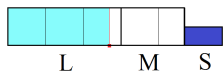
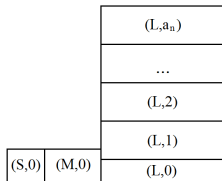
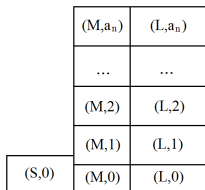
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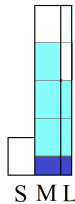
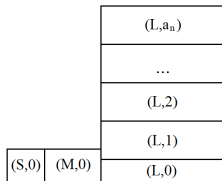
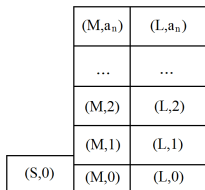
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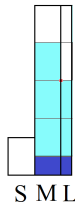
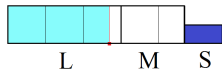
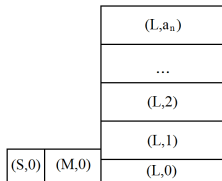
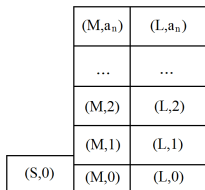
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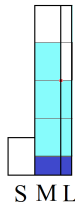
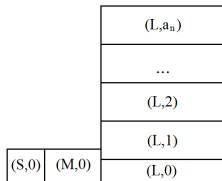
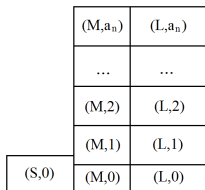
[Remark: This is an *adic coding*: it conjugates R_α to a *Vershik adic map*.

For an adic coding for IETs (via *Rauzy-Veech induction*) see [Bufetov](#)]

The adic symbolic coding

For the symbolic coding, use two consecutive renormalization steps.

- ▶ Label subtowers of step n inside step $n + 1$
Labels (J, j) , $J \in \{L, M, S\}$, $0 \leq j \leq a_n$.



- ▶ **Coding map Ψ** : code a point $x \in I$ by $\Psi(x) = \{(J_n, j_n)\}_n$ if, for any n , x belongs to the subtower labelled by (J_n, j_n) at stage n .
- ▶ **Fact**: Symbolic sequences in $\Psi(I)$ form a **Markov chain**. Write:
 - ▶ *transition matrices* with entries in function of a_n and b_n ;
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Inducing and renormalization for rotations

Sample of results which can be proved using these tools:

- ▶ **Three gaps theorem** (Steinhaus theorem) for rotations;
(using towers)
- ▶ **Denjoy-Koksma inequality** for Birkhoff sums over rotations;
(using towers)
- ▶ **Rotation numbers** for homeos and diffeos of S^1
(using the renormalization procedure) [Ref: *van Strien-de Melo book*]
- ▶ **Poincaré theorem** for homeos of S^1
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- ▶ **Herman result** on regularity of conjugacy for diffeos of S^1
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- ▶ ...
- ▶ **A limit theorem** for Birkhoff sums of non integrable functions
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- ▶ A generalization of **Beck central limit theorem** for rotations
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MFO Oberwolfach
Dynamische Systeme

Corinna Ulcigrai

A Central Limit Theorem for cocycles over rotations

(based on joint work with
Michael Bromberg)

Oberwolfach, July 10, 2017