

# The implications of neutral evolution for neutral ecology

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How is Diversity  
maintained?





A low-angle photograph of a forest canopy. Sunlight filters through the branches, creating a bright, hazy glow in the center. The branches are dark and silhouetted against the lighter sky. The overall tone is dark and moody, with a focus on the intricate patterns of the tree branches.

## Talk OutLine

- Background: The Unified Neutral theory of Biodiversity and Biogeography
- Implications from an evolution model
- Application to Ecology
- Application to Pine Trees

# What is ecological neutrality?

All *individuals* are *equivalent*.

(with respect to their chances of having  
offspring in the next generation)





Squawk!

Probability of occurrence: "When Pigs Fly"

# Why consider neutrality at all?

- Neutrality: theory of chance events.
- High observed diversities needed explaining, but no *general* theory.
- Evolution and ecology are inherently linked.
- Need a “null model” – minimum model that explains diversity.

# The Neutral Model

- Assume all individuals are 'equal'
  - Valid for Phenotypes without function
  - Genotype regions not coding for protein synthesis (**12% of Human DNA is variable!**  
*Redon et al. Nature. doi:10.1038/nature05329* )
- Each individual has the same probability to die ( $p_k$ ), or give birth ( $p_b$ ), in a time step
  - For simplicity, assume the total population ( $N$ ) has reached equilibrium ( $p_k = p_b$ )
- Mutations (and/or colonisation) can occur, reproduction is (a)sexual



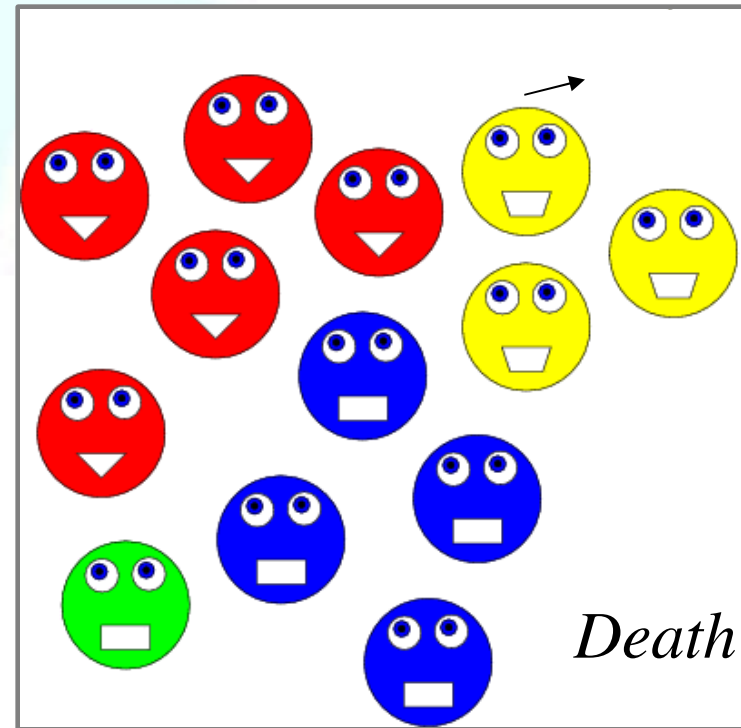
# The neutral model

- Consider  $N$  individuals each labeled by species:

*Birth or Colonise*

## TIMESTEP:

- Pick an individual (from  $N$ ) and mark it to die.
- Pick an individual (from  $N$ ) and copy it, *or* with probability  $p_m$ , **colonise with a new species.**
- Kill the marked individual.



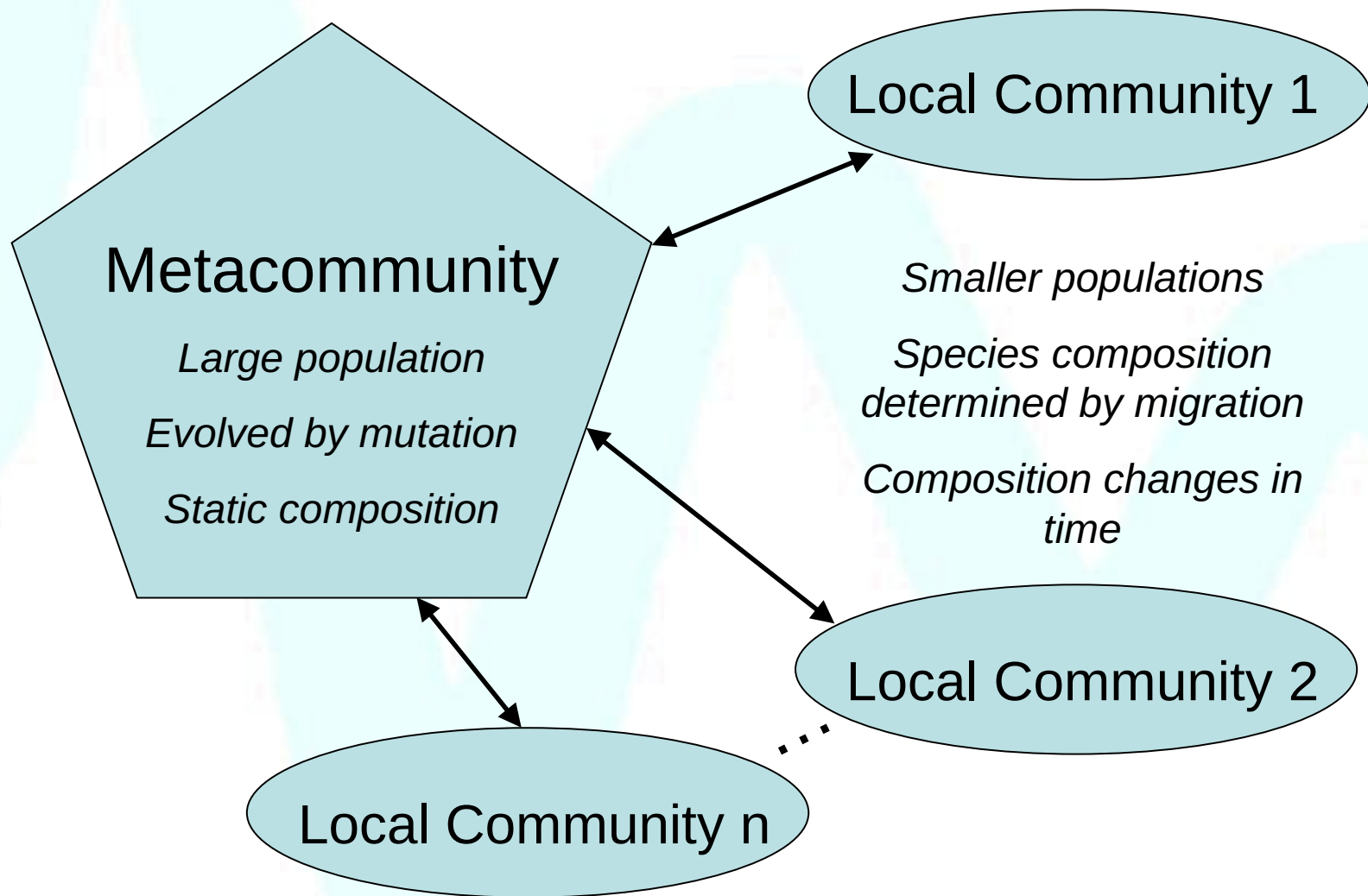
*Same as a mutation...*



# Making the model work

- Common species are common at many sites - communities don't exist in isolation
- Exchange individuals with a *metacommunity* of size  $J_m \gg N$
- Metacommunity composition changes much more slowly than local community

# Full ecological model

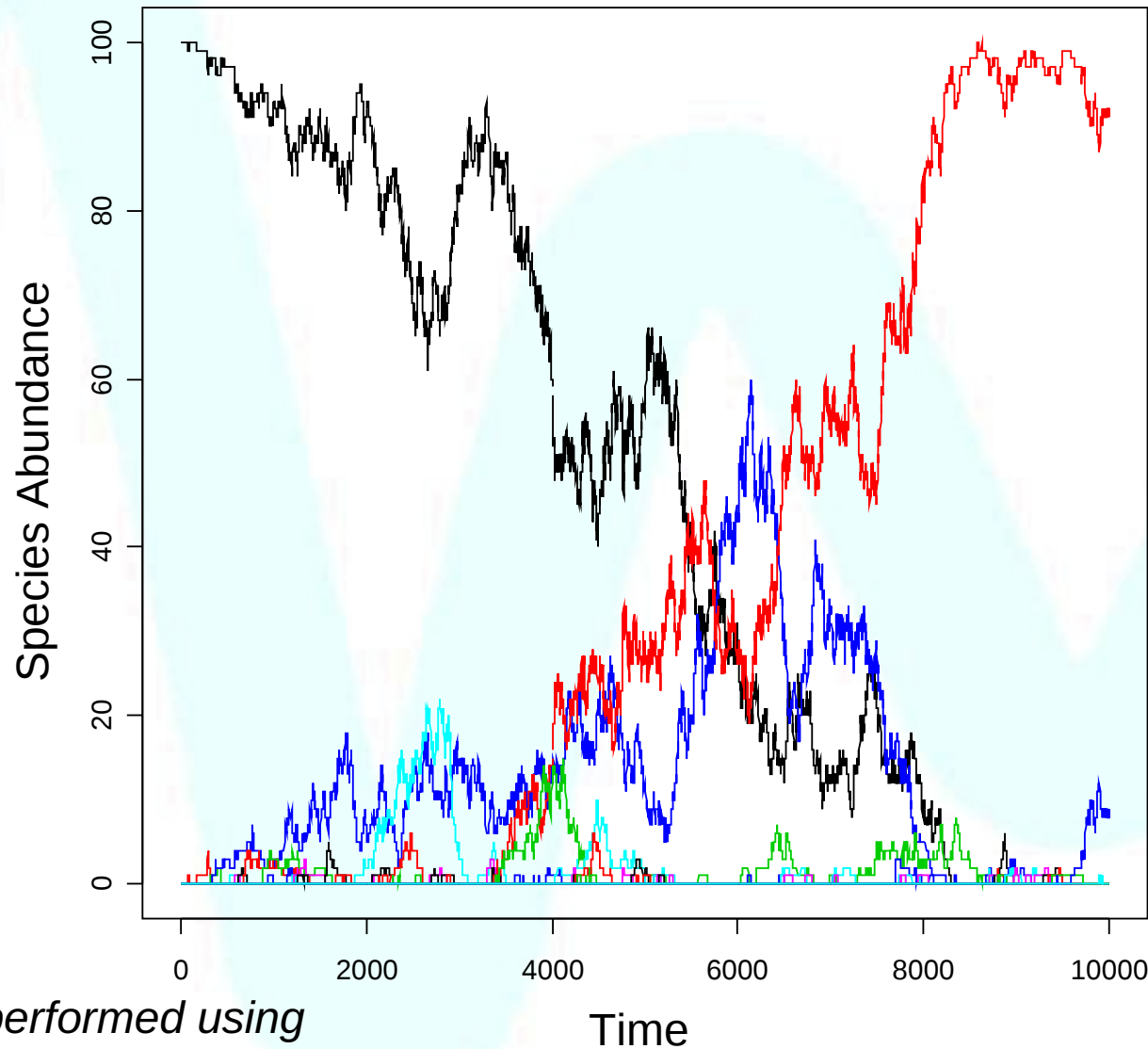




# Assumptions?

- Fixed population size
- All individuals are equivalent
- Individual life history is irrelevant
- There is a speciation “event”

# Results of the model



*Simulation performed using  
package UNTB in R*



# Initial results

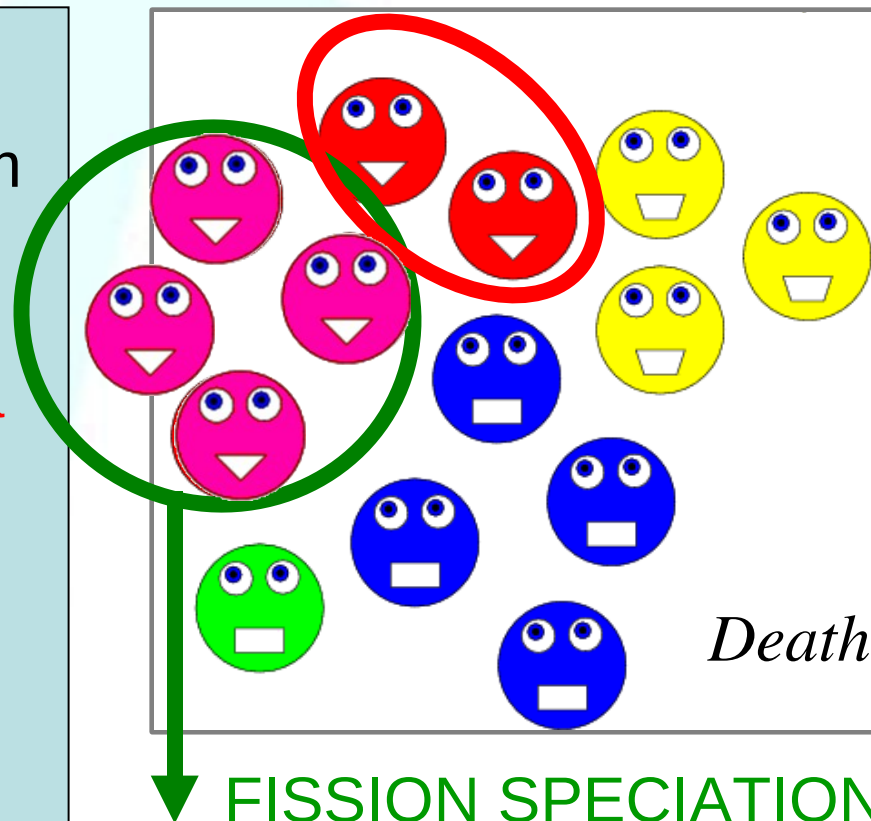
- Explains Species Abundance Distributions
- But *Species Lifetimes* for abundant species in metacommunity is impossibly long!  
  
(longer than the *history of earth* for a common species to be replaced worldwide)

# ‘Fixed’ ecological model

- Consider  $N$  individuals each labeled by species:

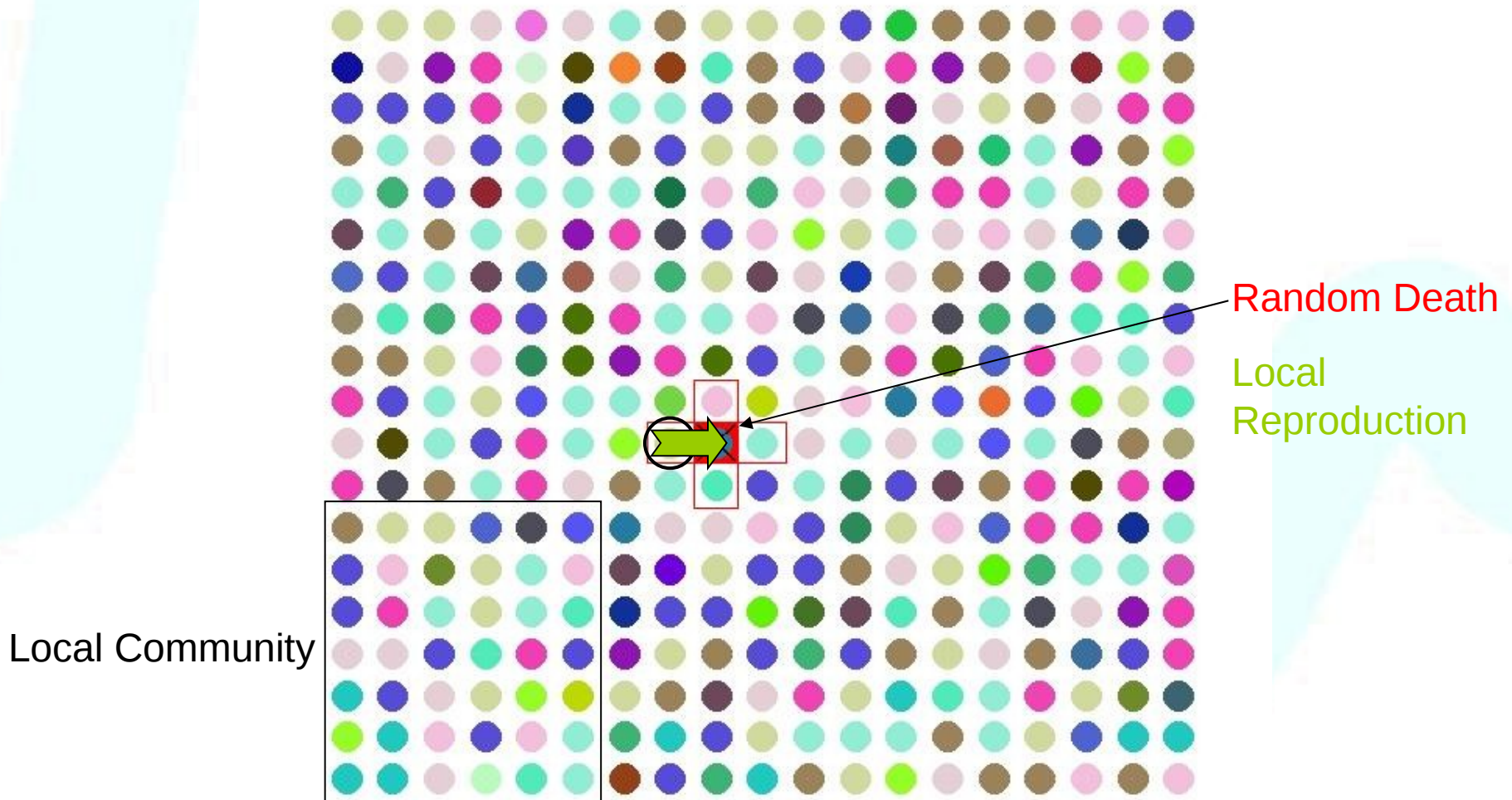
## TIMESTEP:

- Pick an individual (from  $N$ ) and mark it to die.
- Pick an individual (from  $N$ ) and copy. Prob.  $p_a$  **a proportion speciate allopatrically.**
- Kill the marked individual.





# Spatial Version

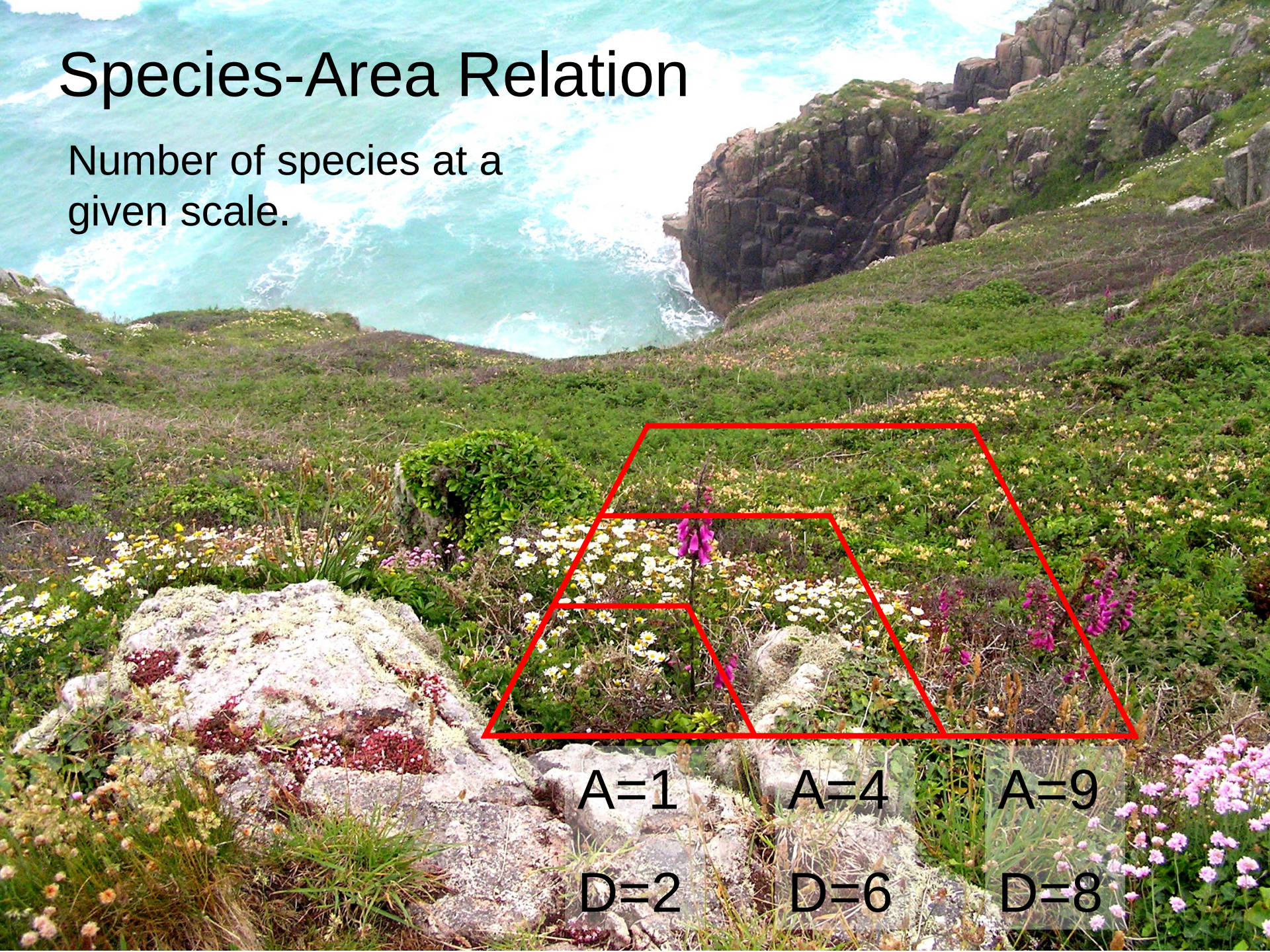


- No need for metacommunity – space takes care of it!



# Species-Area Relation

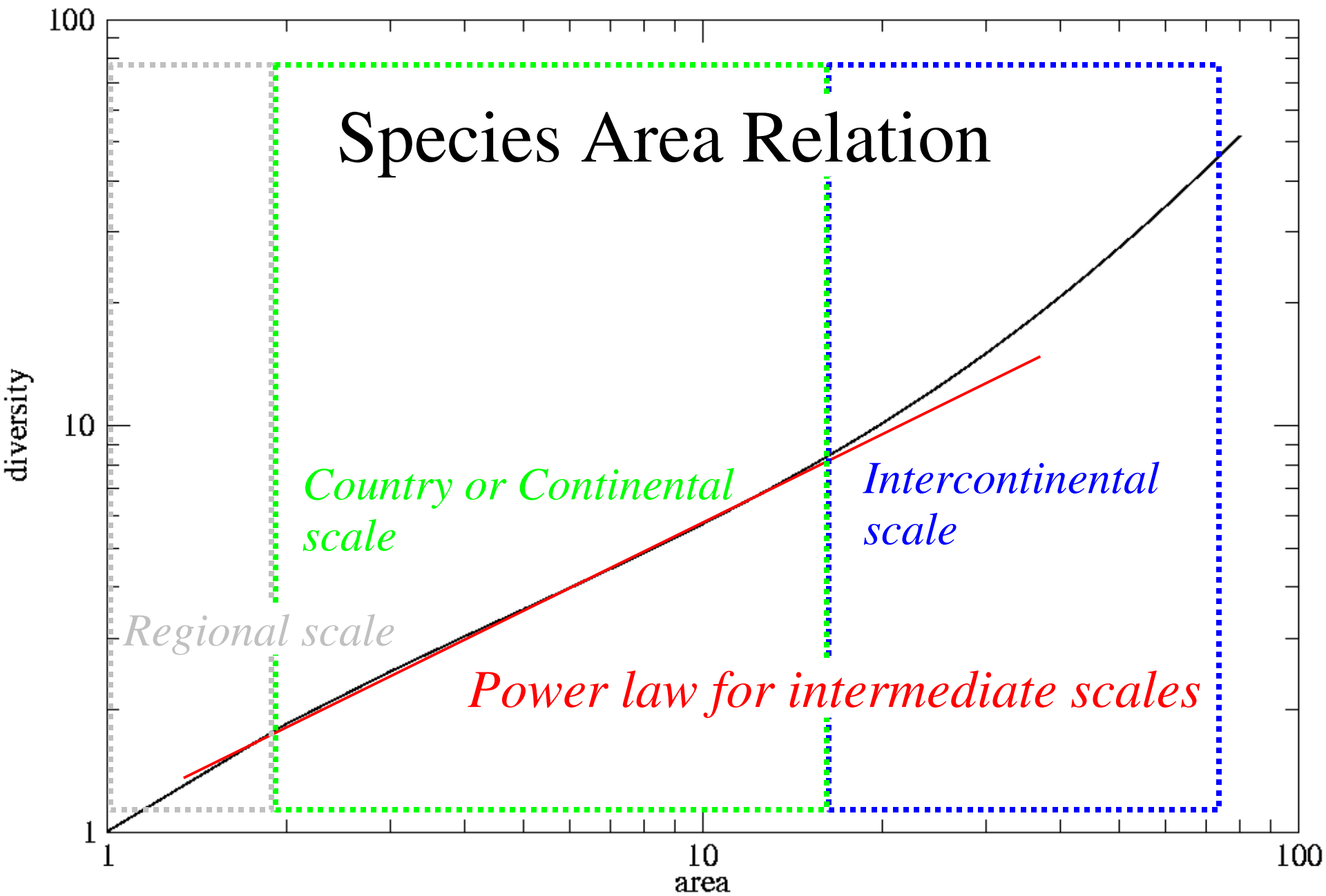
Number of species at a given scale.





neutral model after 500 gens

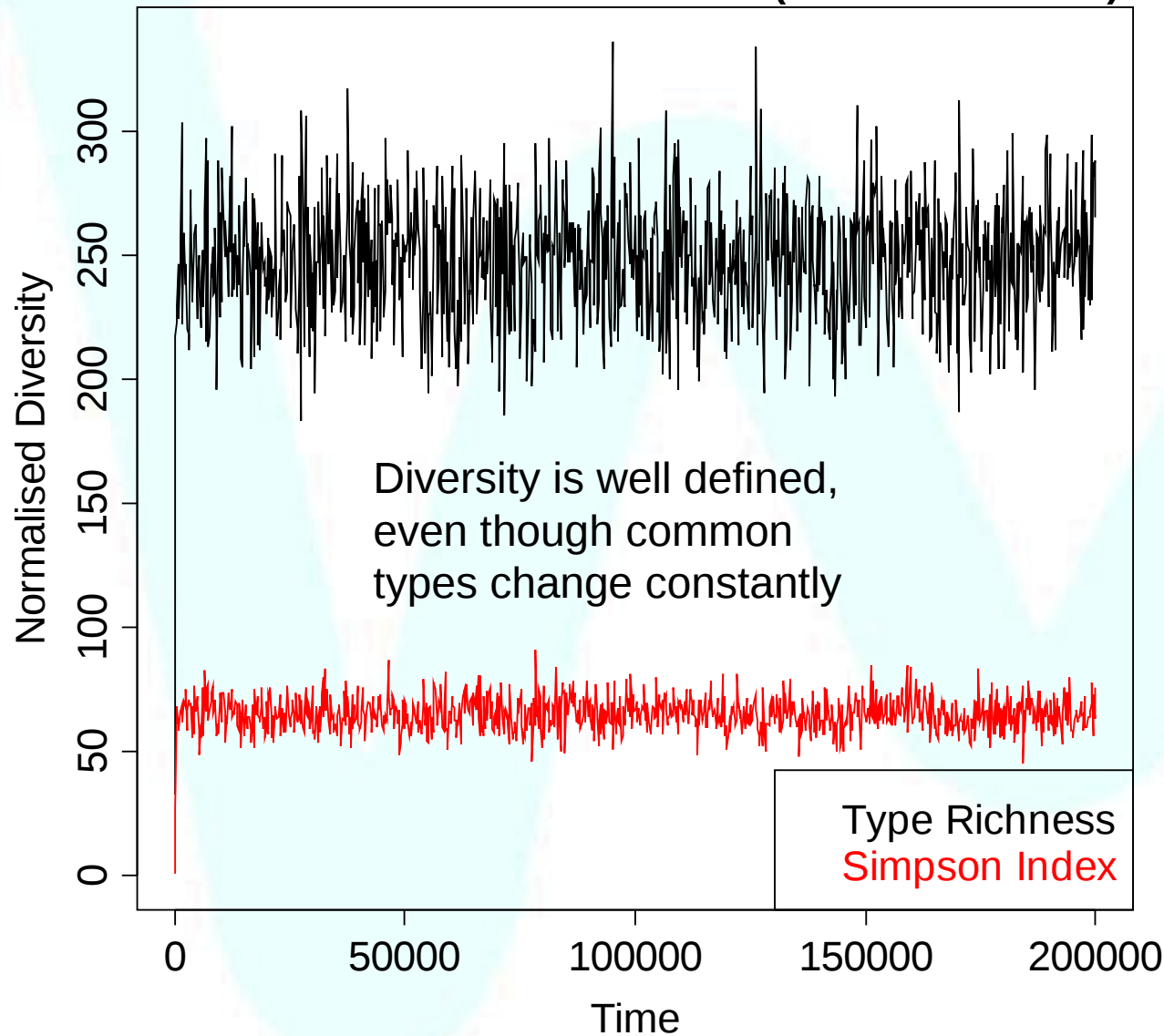
correctly curved SAR





# Diversity Time Series

(10000 individuals)



# Results of extensions

- Explains the power law species area relation – and deviations from it
- Space is a satisfying explanation of metacommunity
- Although specific species change constantly, diversity is well defined
- Fission solves species lifetimes problem (*but what is it?*)

# Success and failure

See: J Chave, Ecol. Lett. 2004

- Not good for birds (they move too much)
- Fits “non-persistent” fish species – but not dominant species
- Good fits to rainforests in Camaroon, Ecuador, Panama, Peru – poor on Barro Colorado Island
- Hard to distinguish from distributions of very specialised species in patchy terrain.



# Success and failure

See: J Chave, Ecol. Lett. 2004

- Equivalence of individuals questionable (only 26% of species in one Rainforest).
- But per-capita averages of species often show equivalence.

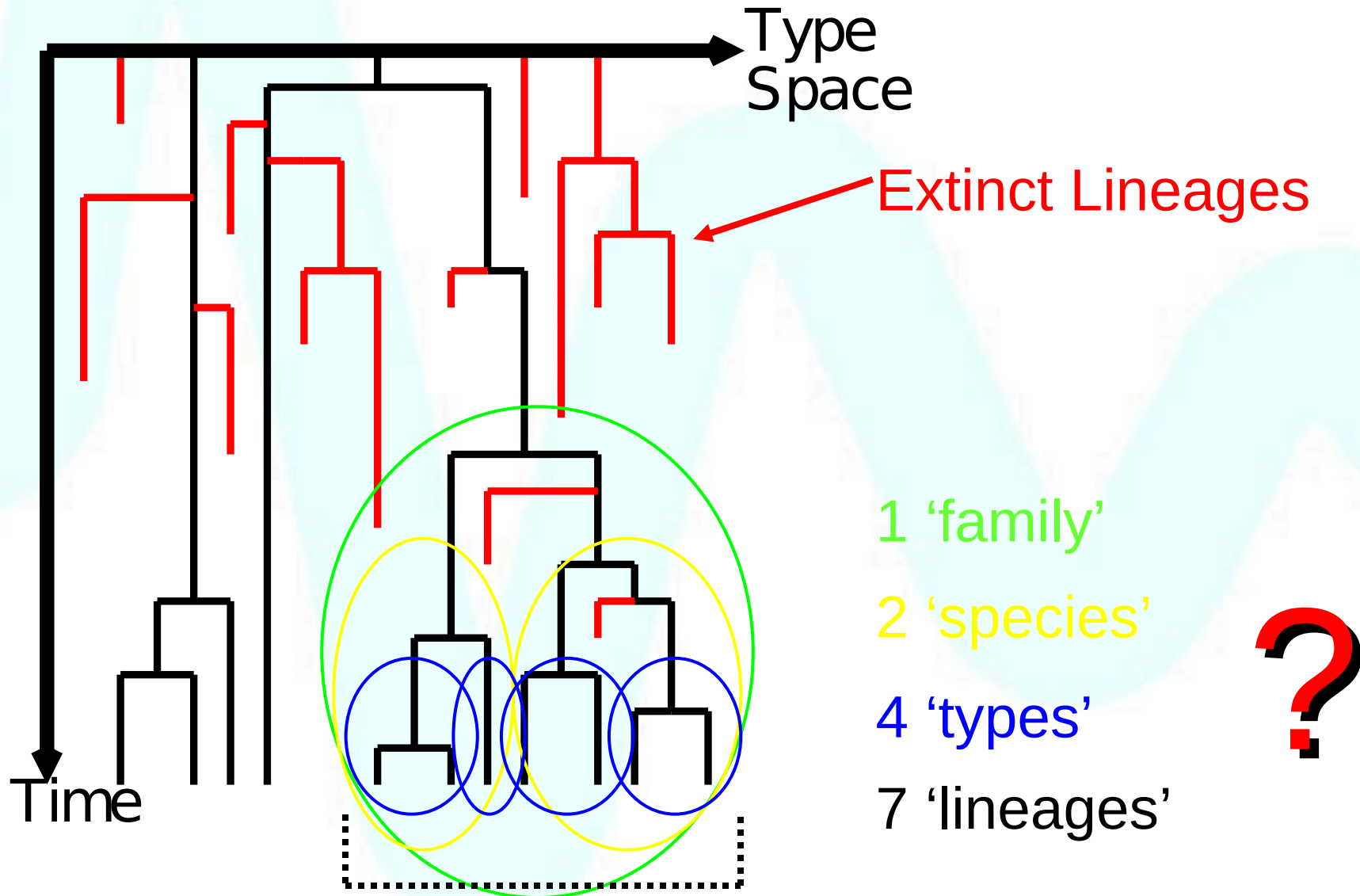
**IN SHORT:**

It works more often than you'd expect, but  
not always

## Part 2: Observations.

- We expect a “species”:
  - To be “different enough” from other species.
  - To be constant in time. An individual of a species today is comparable with an individual of that species in the past.
- But how different is “different enough”?
- How constant is constant?
- These concepts aren’t in the model!

# The Lineage





# Diversity measures

- Measured diversity depends on diversity measure:

- Species Richness:  
*The “Number” of different types*

$$D_{Raw} = \sum_i 1$$

*Sum over species i*

- Simpson Diversity:  
*Diversity measure accounting for different rarities*

$$D_S = \frac{1}{\sum_i p_i^2}$$

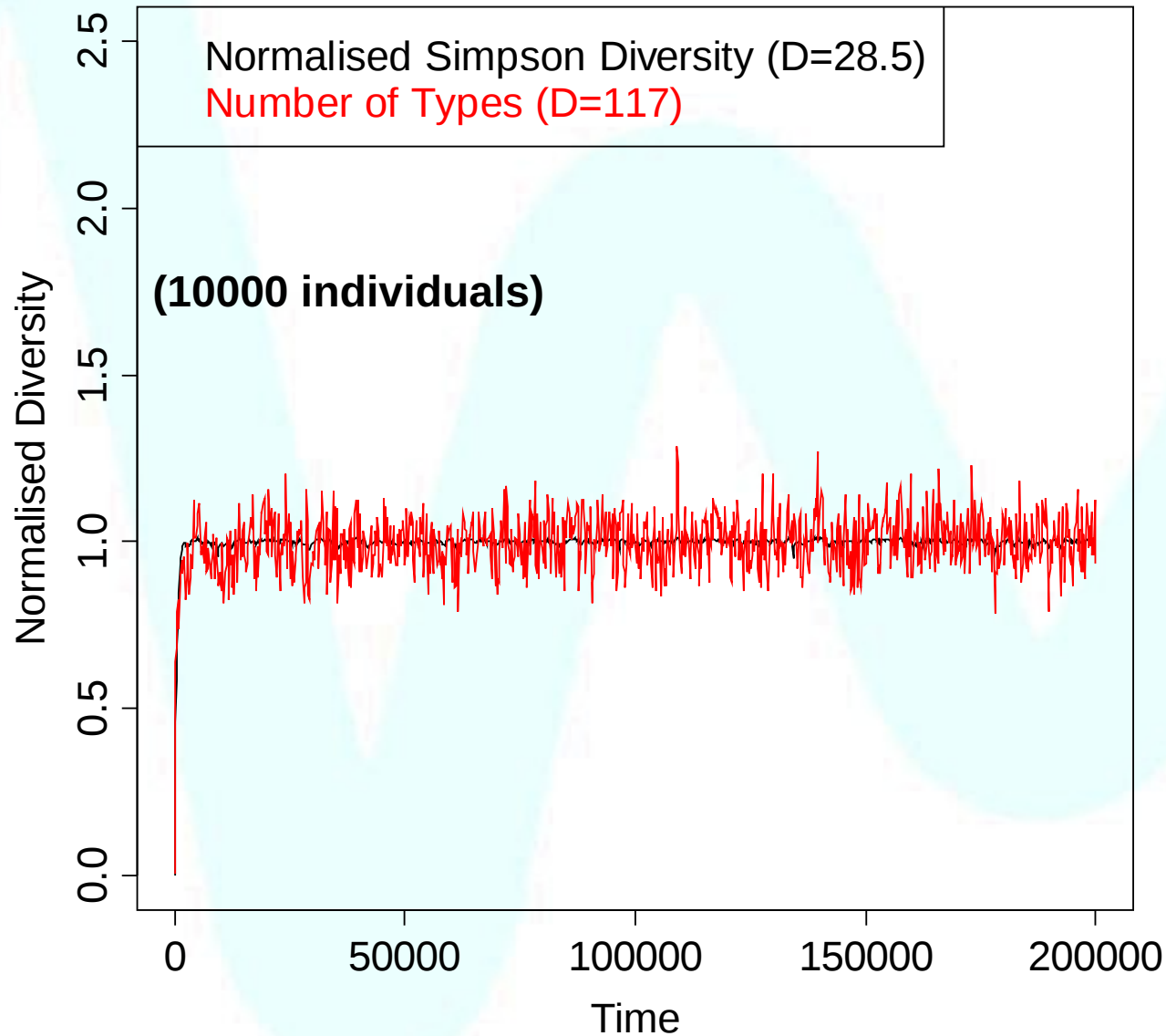
*Proportion of species i from total population N*

- Rao Index:  
*Diversity measure accounting for difference between types*

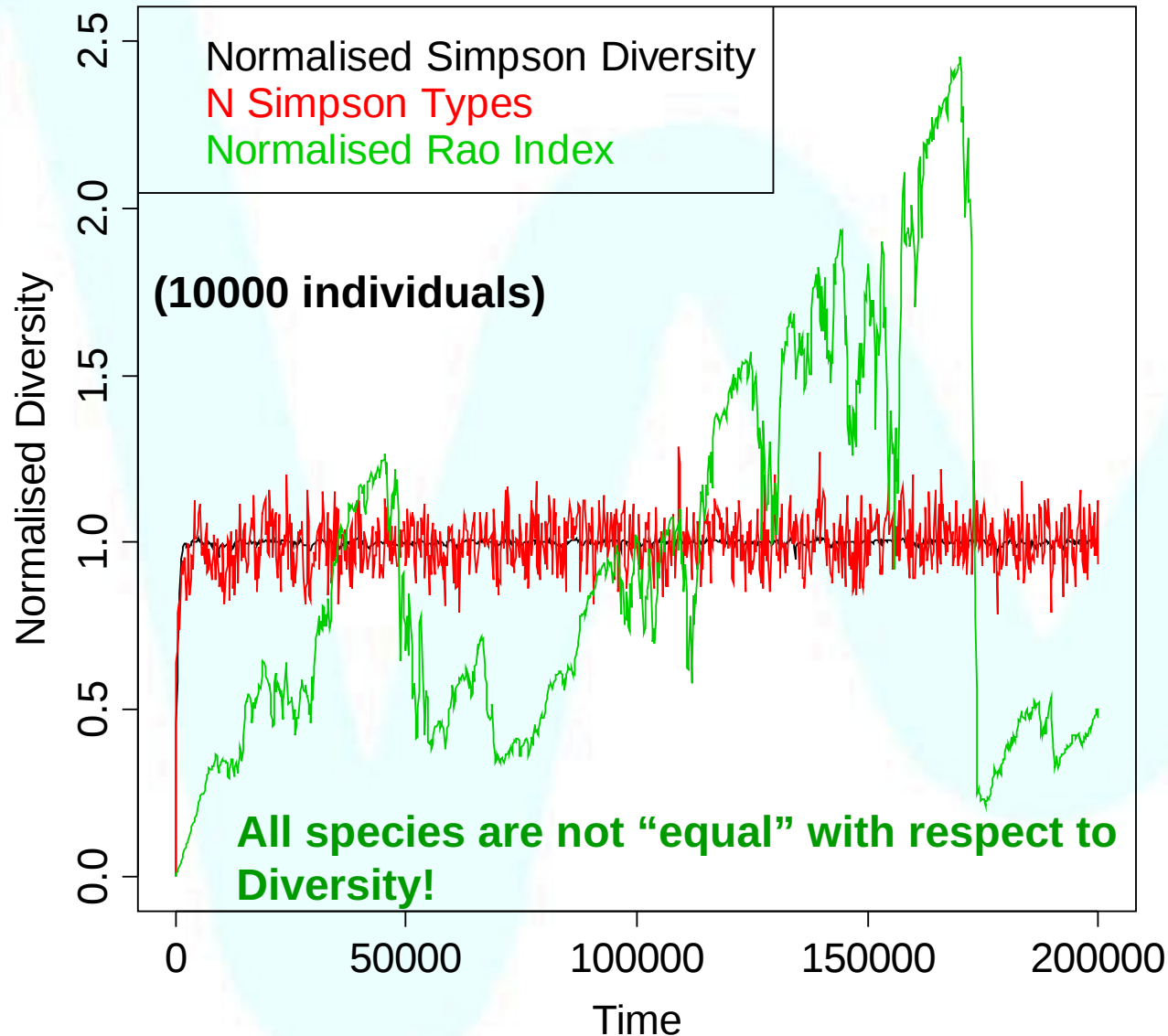
$$D_{Rau} = \sum_{i,j} d_{ij} p_i p_j$$

*“Difference” between types*

# Diversity Time Series



# Diversity Time Series

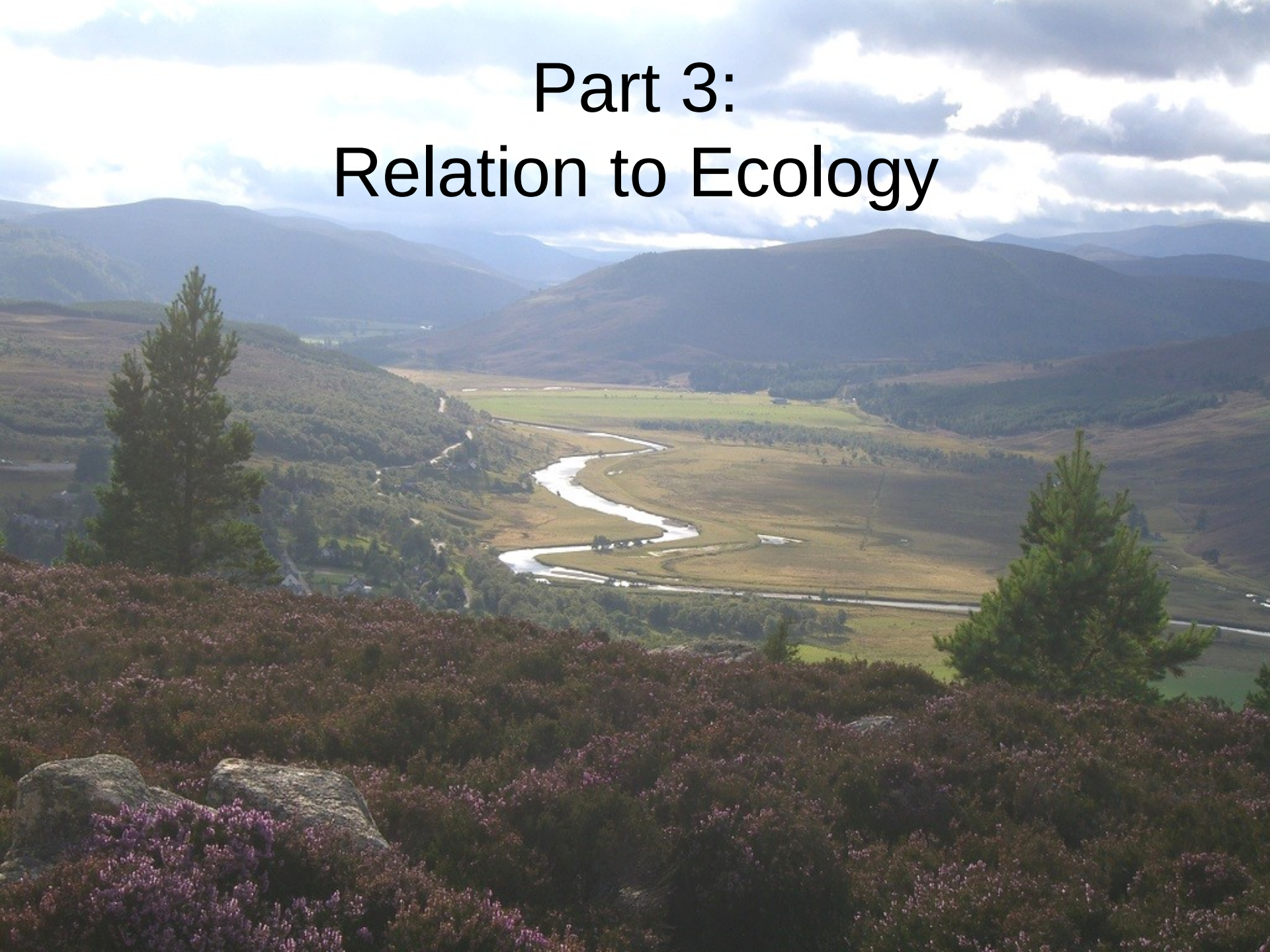




# Assumptions?

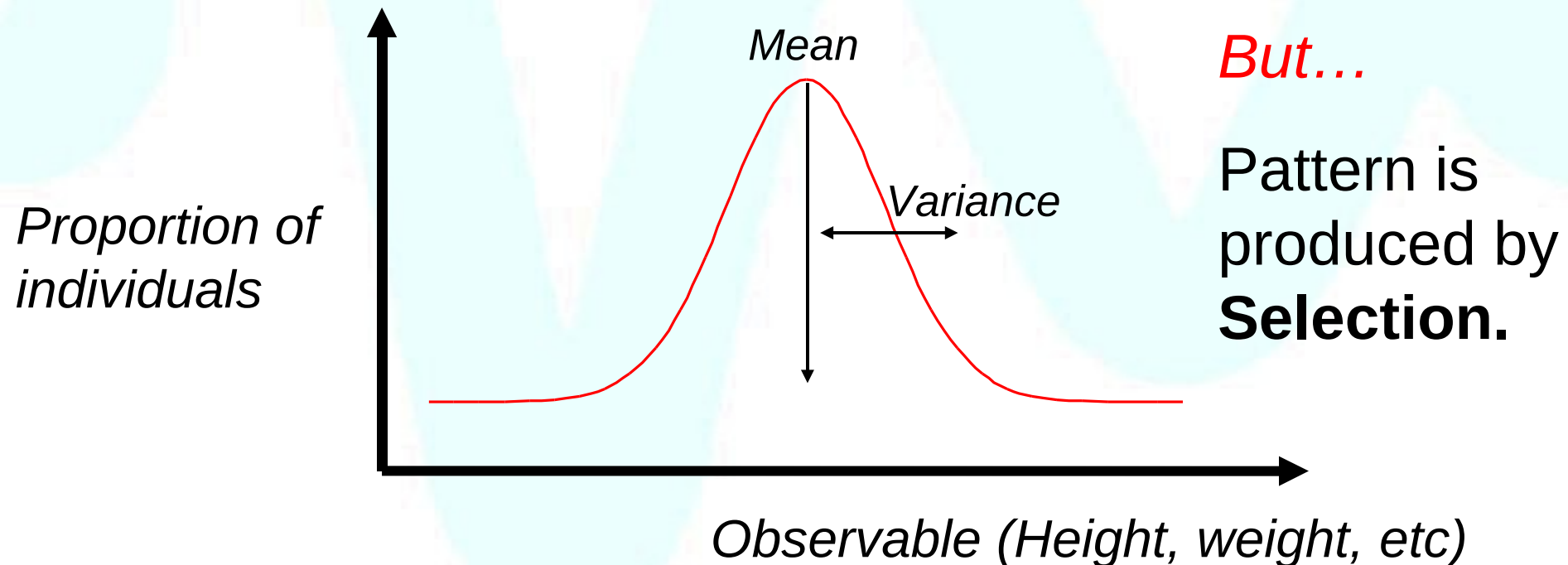
- Fixed population size
- All individuals are equivalent
- Individual life history is irrelevant
- There is a speciation “event”

# Part 3: Relation to Ecology



# Phenotype Distribution

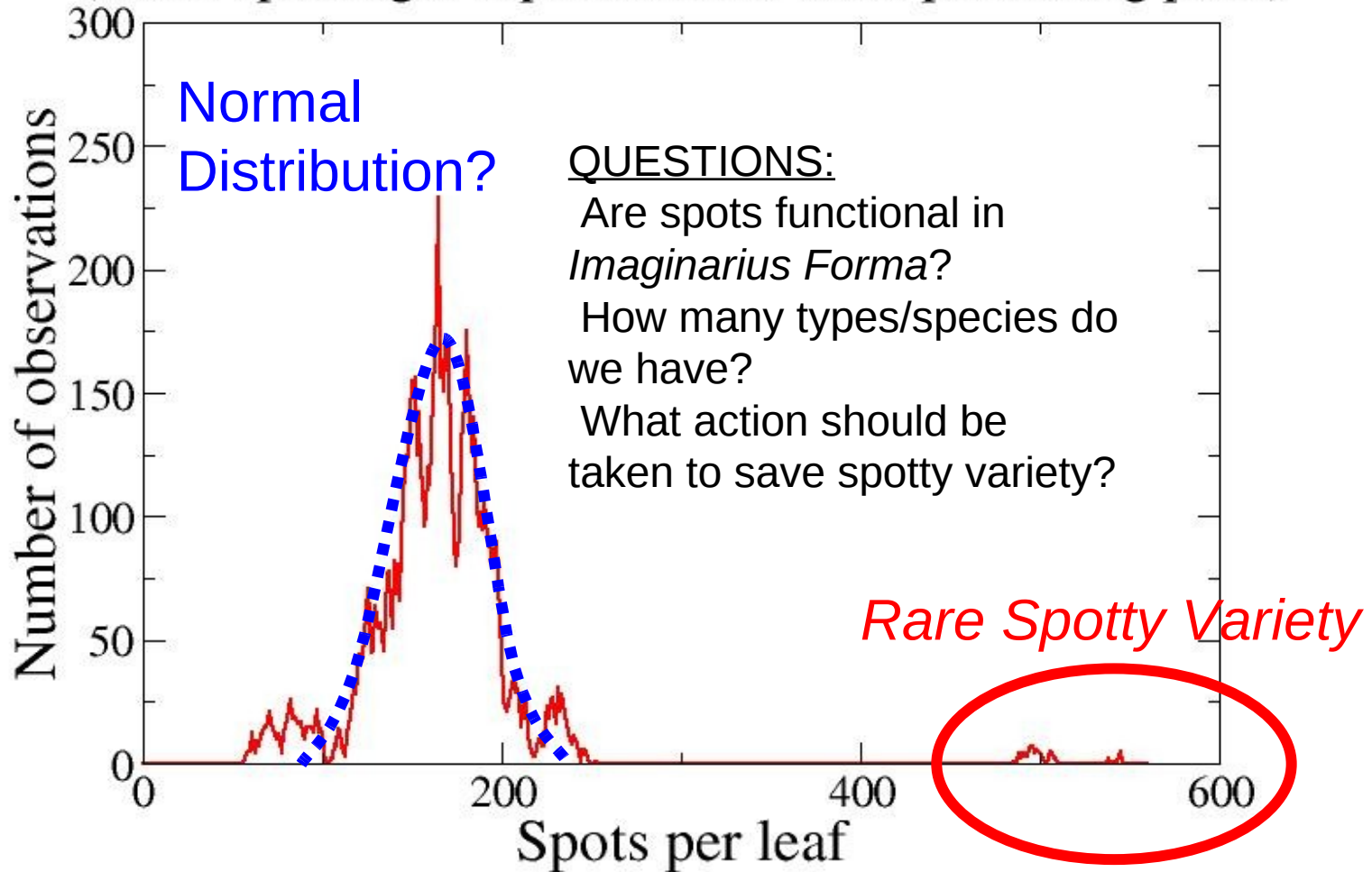
- Consider 1 dimensional case: mutations can be either to the left or to the right.
- Expected pattern is a Normal Distribution:





# Test Problem

Observations of number of spots per leaf of *Imaginarium Forma*  
(Made up thought experiment for a self pollinating plant)



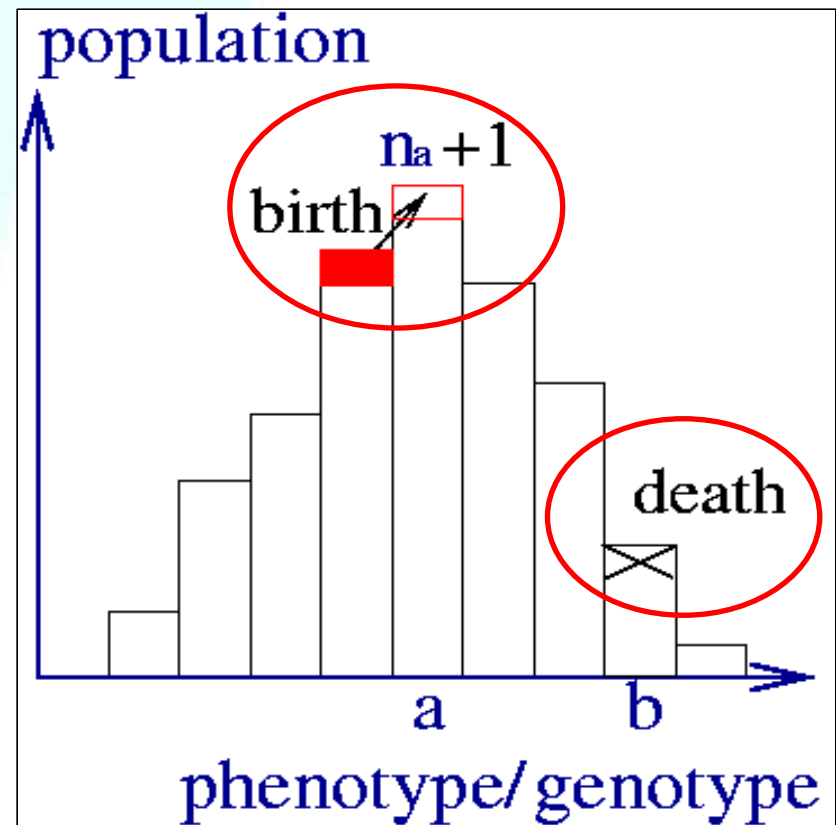


# An evolution model

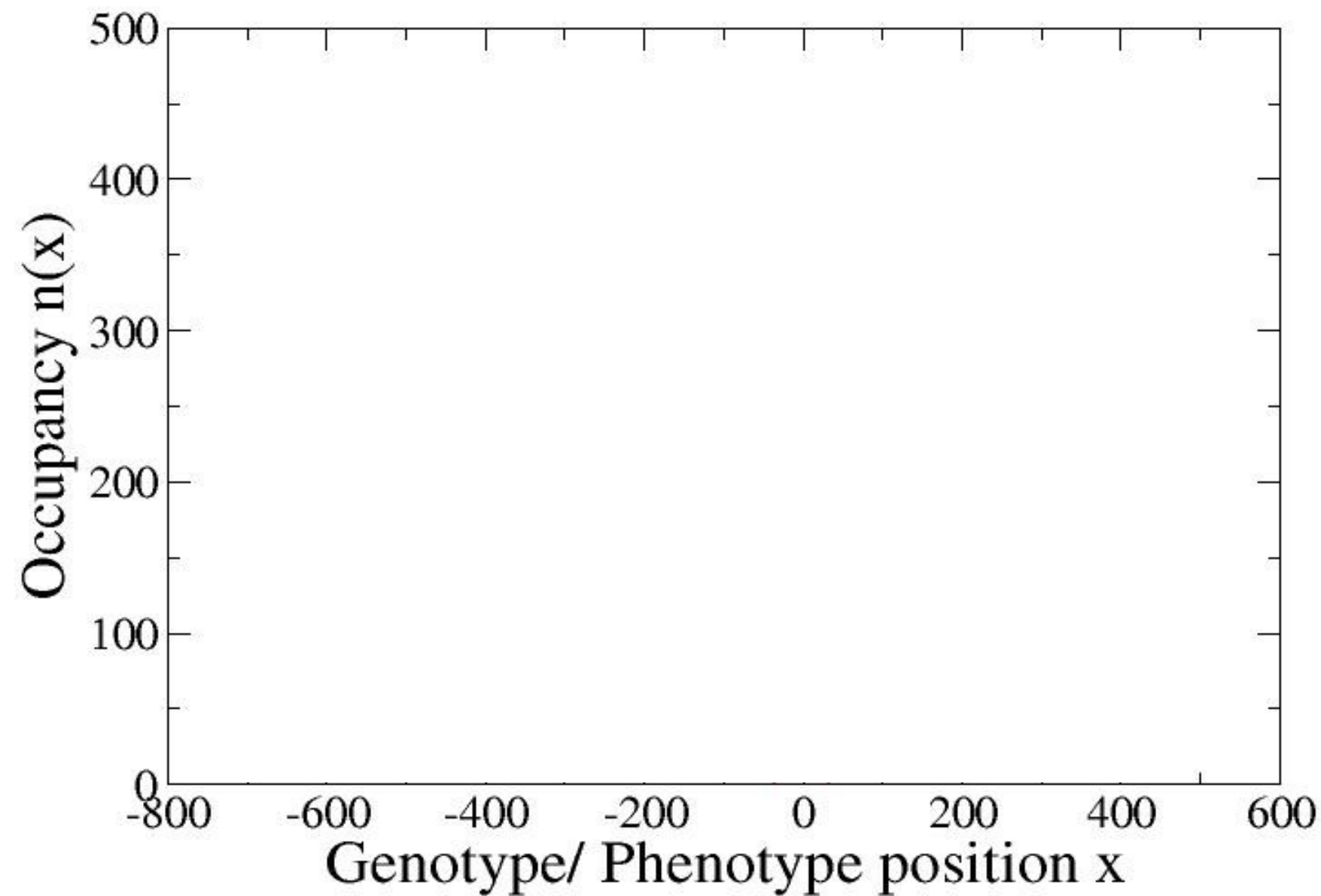
- Consider  $N$  individuals each labeled by phenotype position:

## TIMESTEP:

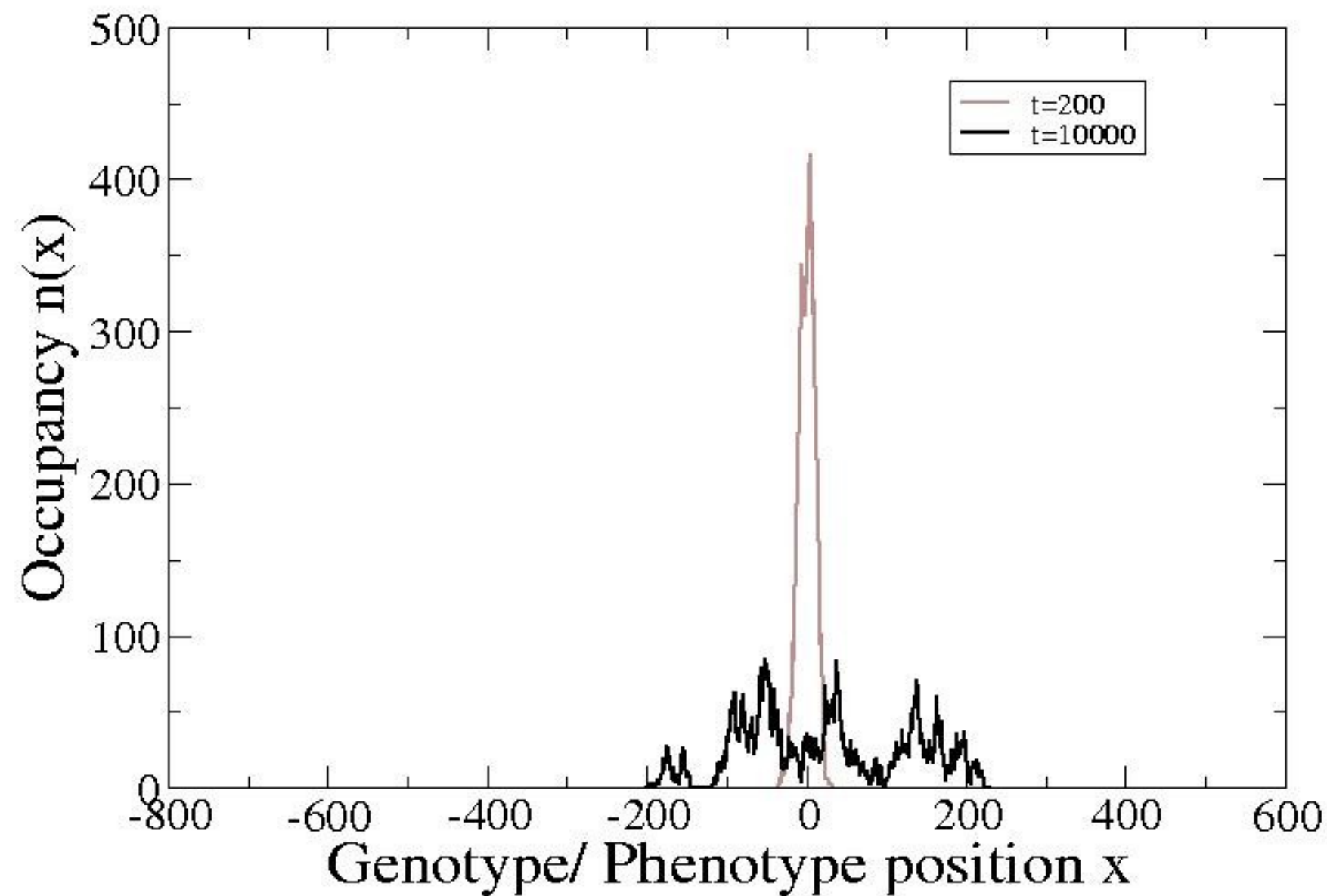
- Pick an individual (from  $N$ ) and mark it to die.
- Pick an individual (from  $N$ ) and copy it. With probability  $p_m$  **Mutate to a similar type.**
- Kill the marked individual.



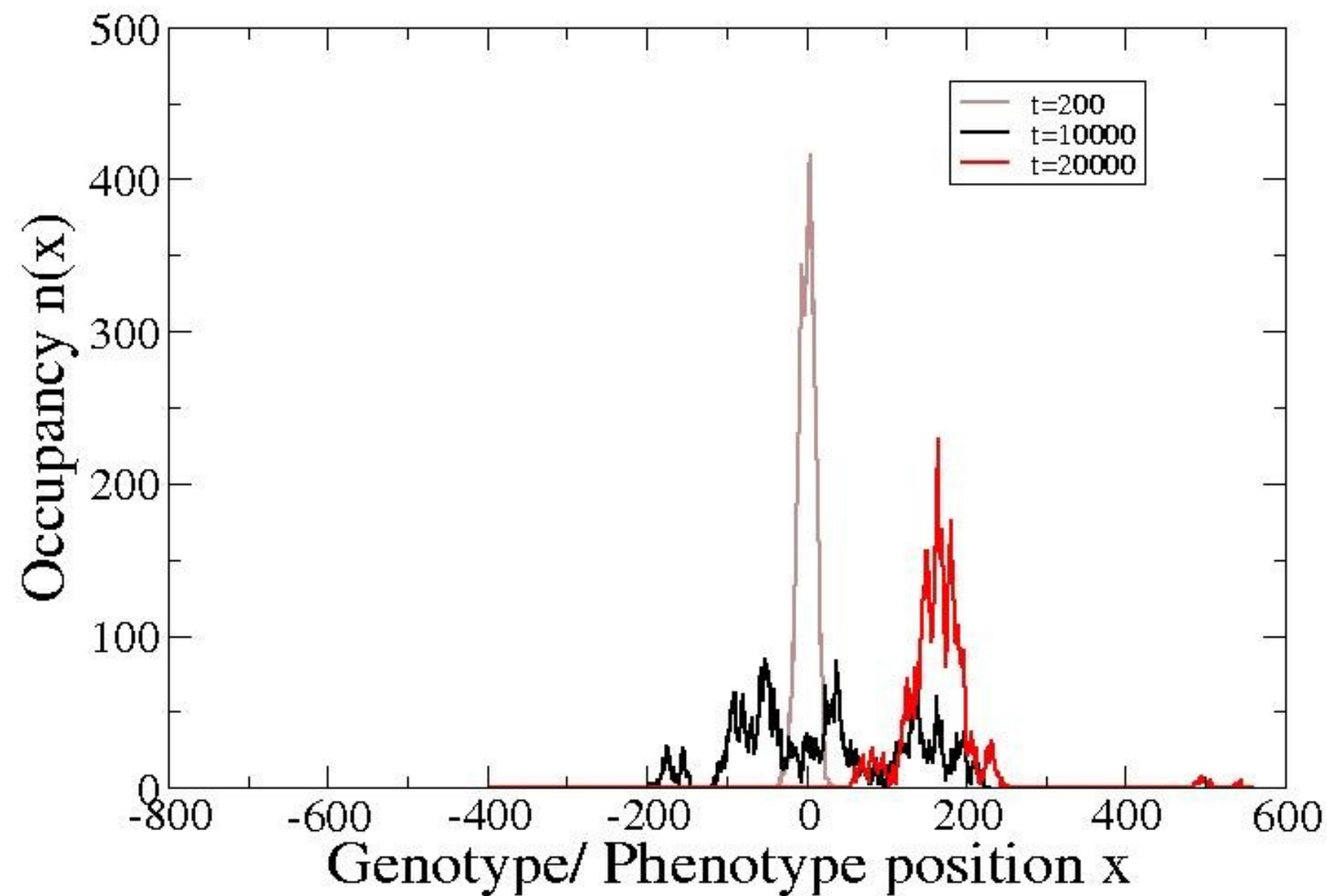
## Evolution of 10000 particles



## Evolution of 10000 particles

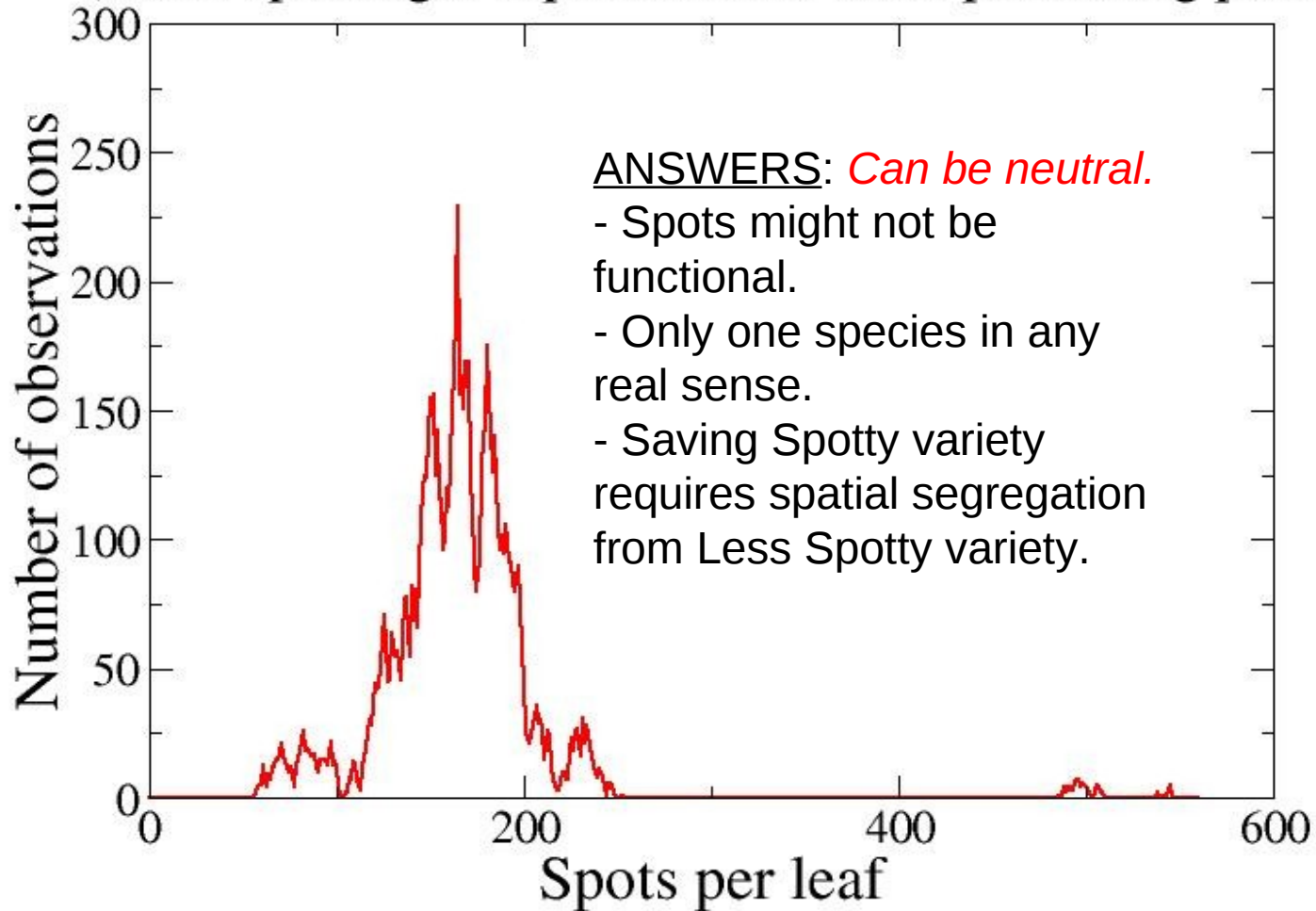


## Evolution of 10000 particles



# Test Problem

Observations of number of spots per leaf of *Imaginarium Forma*  
(Made up thought experiment for a self pollinating plant)





# Solution

- Simplify the model – consider only first two moments of the distribution.
- Peak is a Gaussian distribution of area 1 with dynamic mean  $\mu$  and width  $w$ .

- Select death location  $x$
- Select birth location  $y$ , mutated by 1 with probability  $P_m$
- Remove  $1/N$  from death location and place at birth location
- Update  $\mu$  and  $w$

# Solution method

- Write down equations for the change in the mean and the variance of the peak position  $\mu$  and the width  $w$ .
- Take continuous limit to obtain Stochastic Differential Equations.
- Solve...

# Neutral Phenotype Results

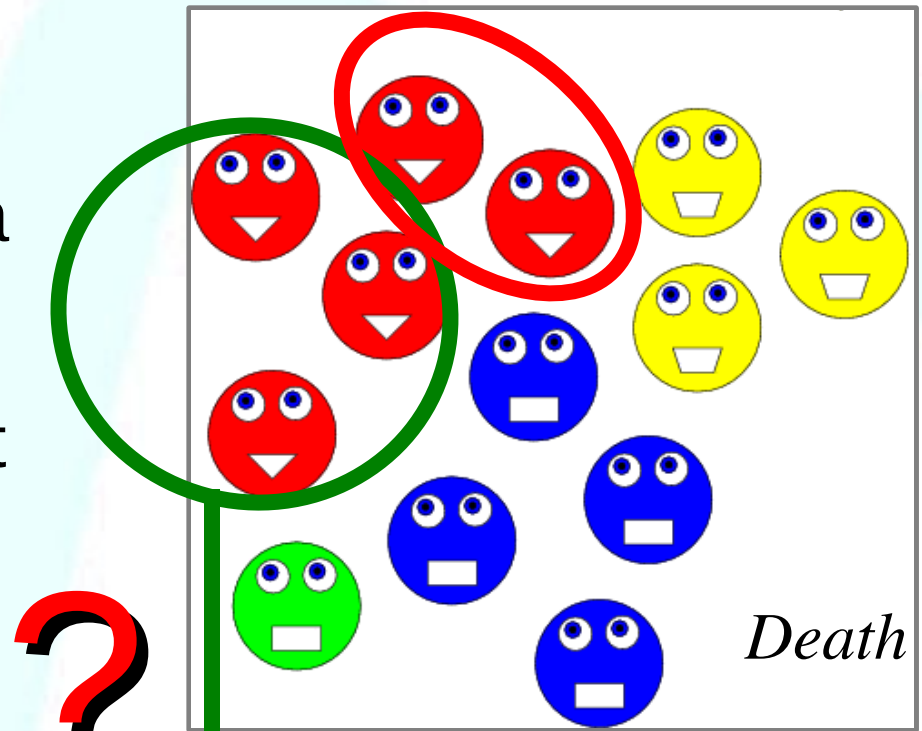
- Width of peak is proportional to fluctuations in the width of the peak.
  - *Corresponds to multiple clusters*
- Peak position drifts with constant speed when population size changes.
  - *Evolution speed is the same in small and large populations!*
- Obtain an analytic solution to act as a null hypothesis.
- Clearly, differences between types matter!

# Fission Speciation

- Consider  $N$  individuals each labeled by species:

Fission ASSUMES a *neutral drift process*.

But differences can't change without a death!

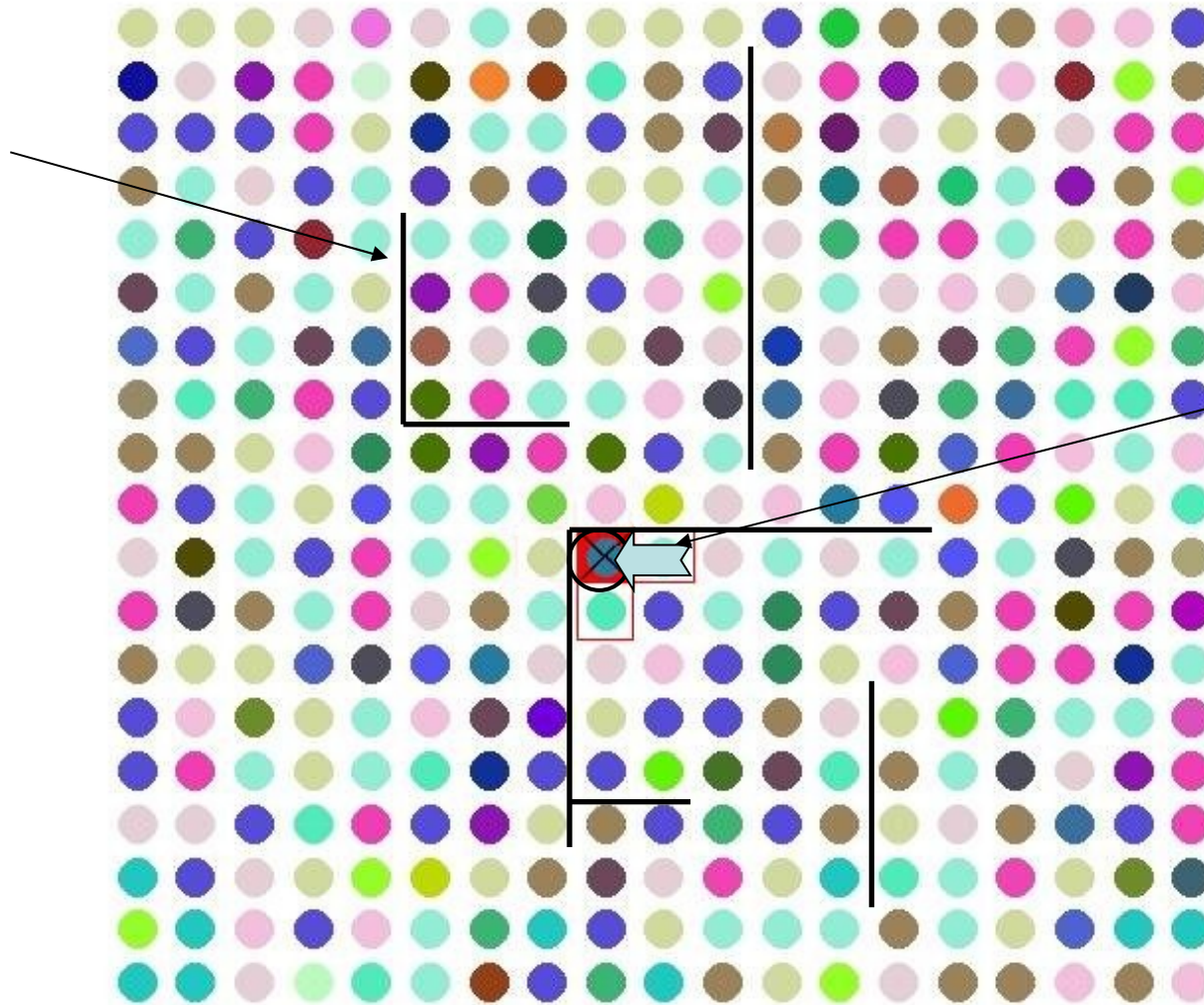


FISSION SPECIATION

*Mutate proportion of population allopatrically*

# Fix fission speciation?

Barriers  
(move in  
time)



Random Death

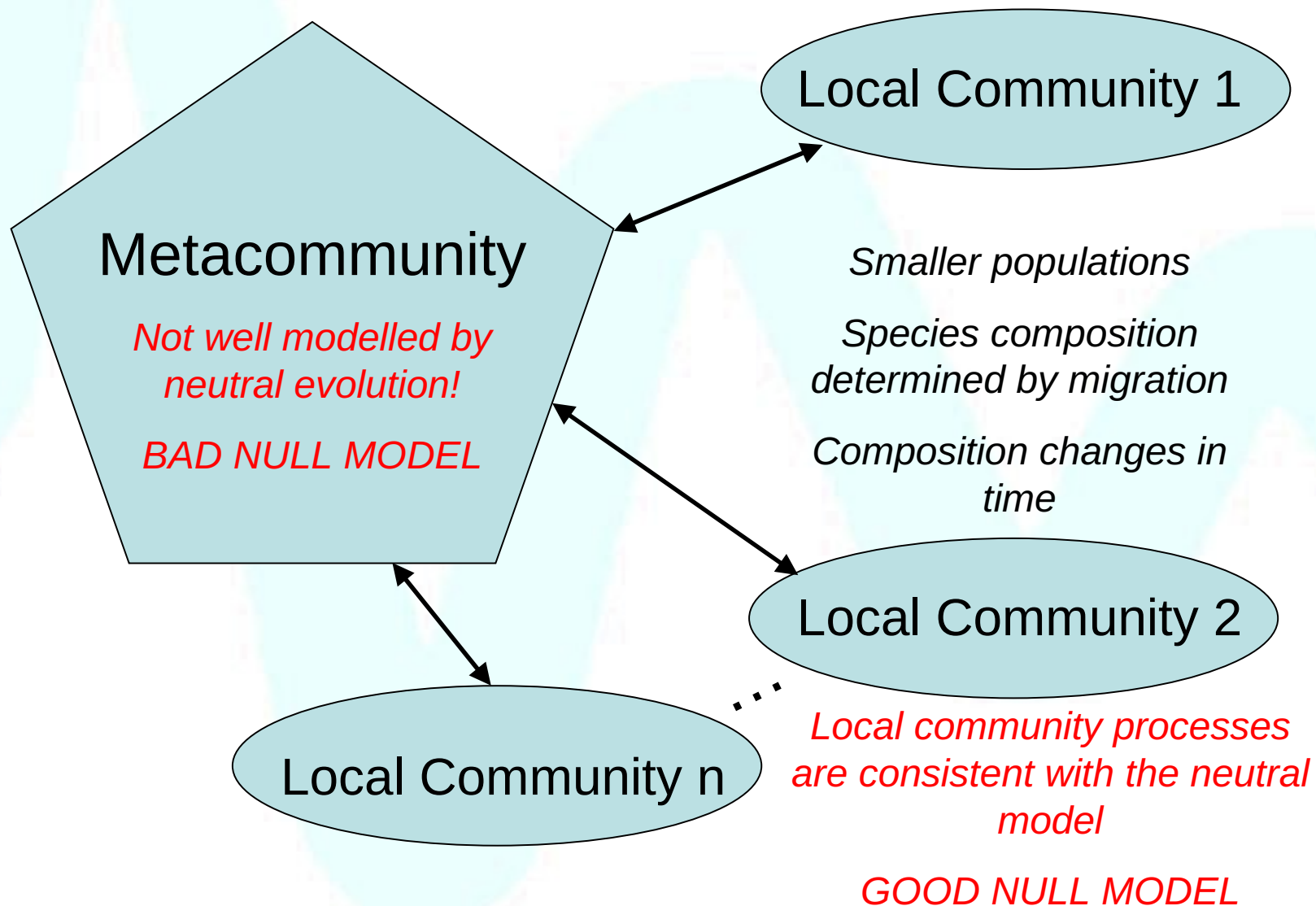
Local  
Reproduction



# Implications?

- There is no “natural” species definition – though arbitrary cut-offs still work.
- (Following holds in sexual case, where there is a natural species definition)
- No “speciation event” – but a “speciation process”.
- Fission speciation makes little sense in this context – and the fix is complex.
- So: neutral ecological model is not “parsimonious” for the metacommunity.

# Full ecological model



# Part 4: Application to Pine Trees

- Pine trees produce *varying monoterpenes*.
- Large diversity observed within a forest.
- Observed forests are remnants of much larger historical forests -
  - Metacommunity concept relevant
- Neutrality is a good null model within a single species.
- But monoterpenes can *effect sapling mortality*...  
which effect is most important?



# (not) A neutral model

Work with Colin Beale and Jack Lennon

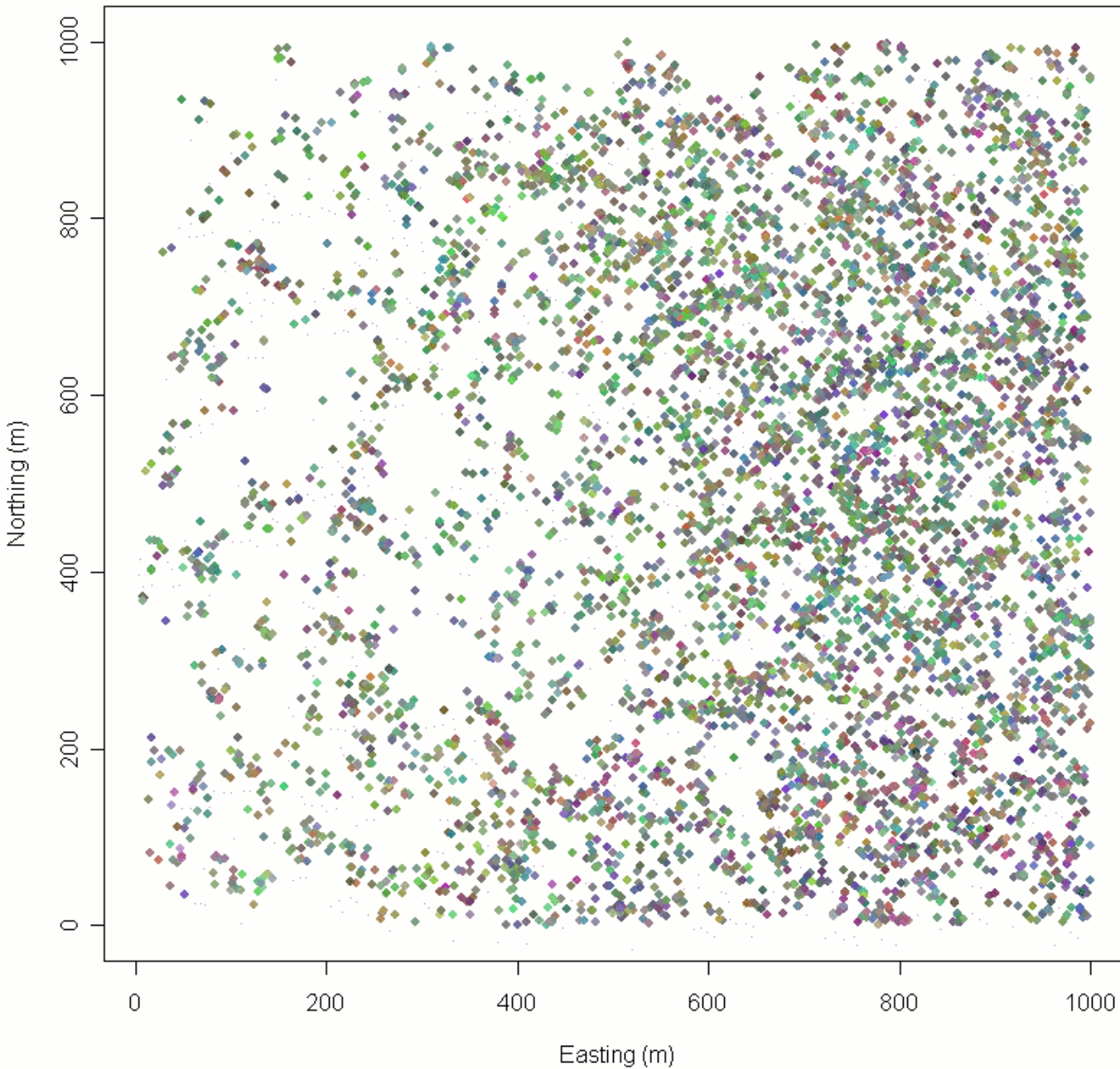
- Trees grow at a given location -
- And compete for resources.
- Therefore future success is driven by intensity of competition.
- Neutral model with respect to genotype, but not individuals.
- Resolves the problem of non-observation of individual level equivalence.



# Model details

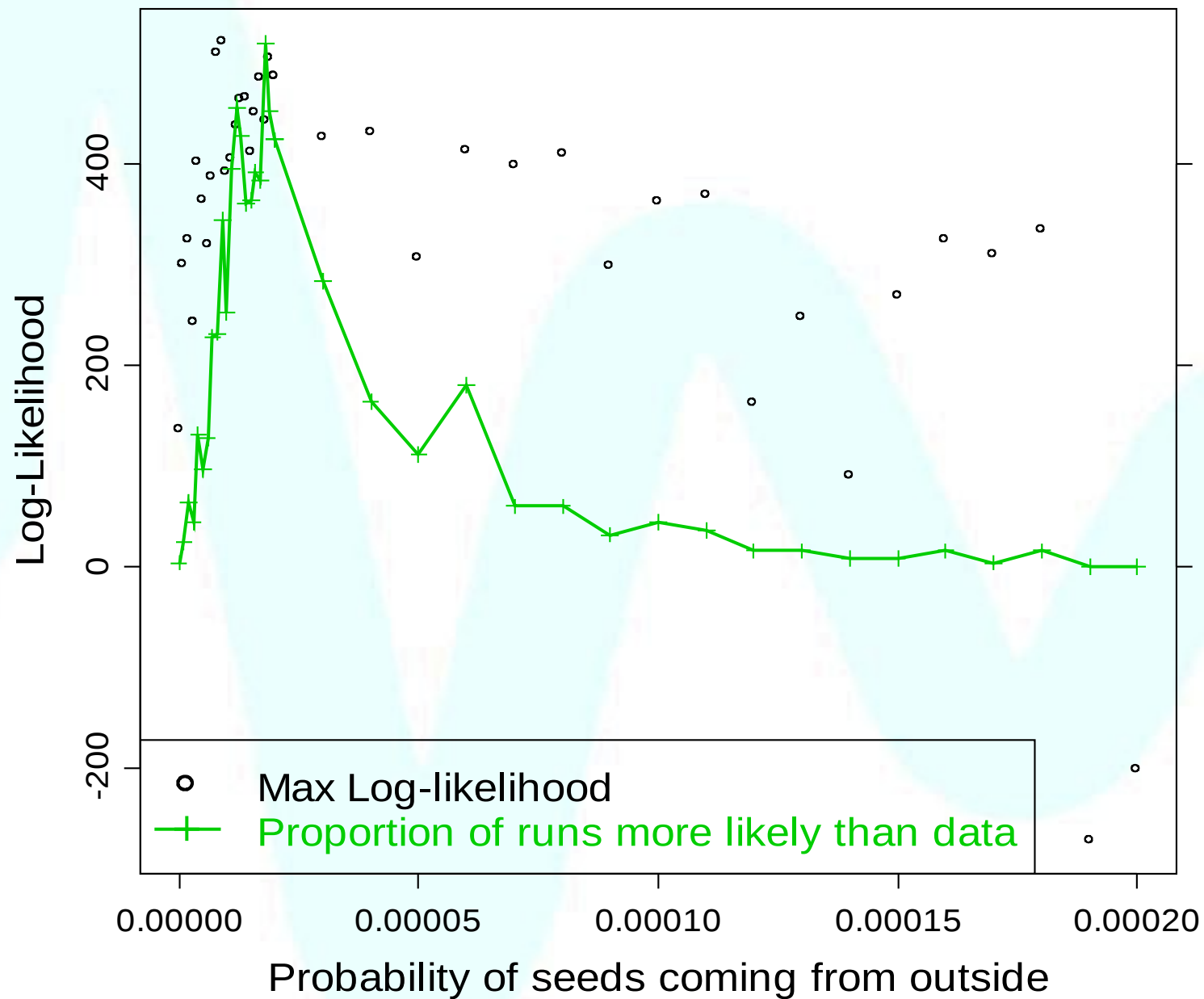
- Trees grow and *compete for space*
- Trees produce seeds, which *disperse*
- Seeds are *pollinated* by other trees, whilst still on the mother tree
- Diversity of local forest is maintained by occasional *external pollen*
- Monoterpene production is heritable

## Equilibrium Genetic Distribution of a simulated forest.



Colour represents  
terpene  
concentrations

similar colour -  
similar terpenes -  
recent ancestry



# Conclusions

- Neutrality is a useful concept for null models
- Ecological models can be informed by evolution
  - Speciation “event” - examined more closely
- Null models are useful to inform which processes are interesting



# Beyond Neutrality

- Compare with other models
  - Deterministic Differential Equation Models
  - Stochastic models with selection
  - Network models, etc.
- Neutrality is not for life – its just for Christmas!
  - Solves some problems but is *just a null model!*

# References

*Hubbell: “Unified neutral theory of biodiversity and biogeography”, 2001*

*Chave: “Neutral Theory and Community Ecology”, Ecology Letters, (2004) 7: 241–253*

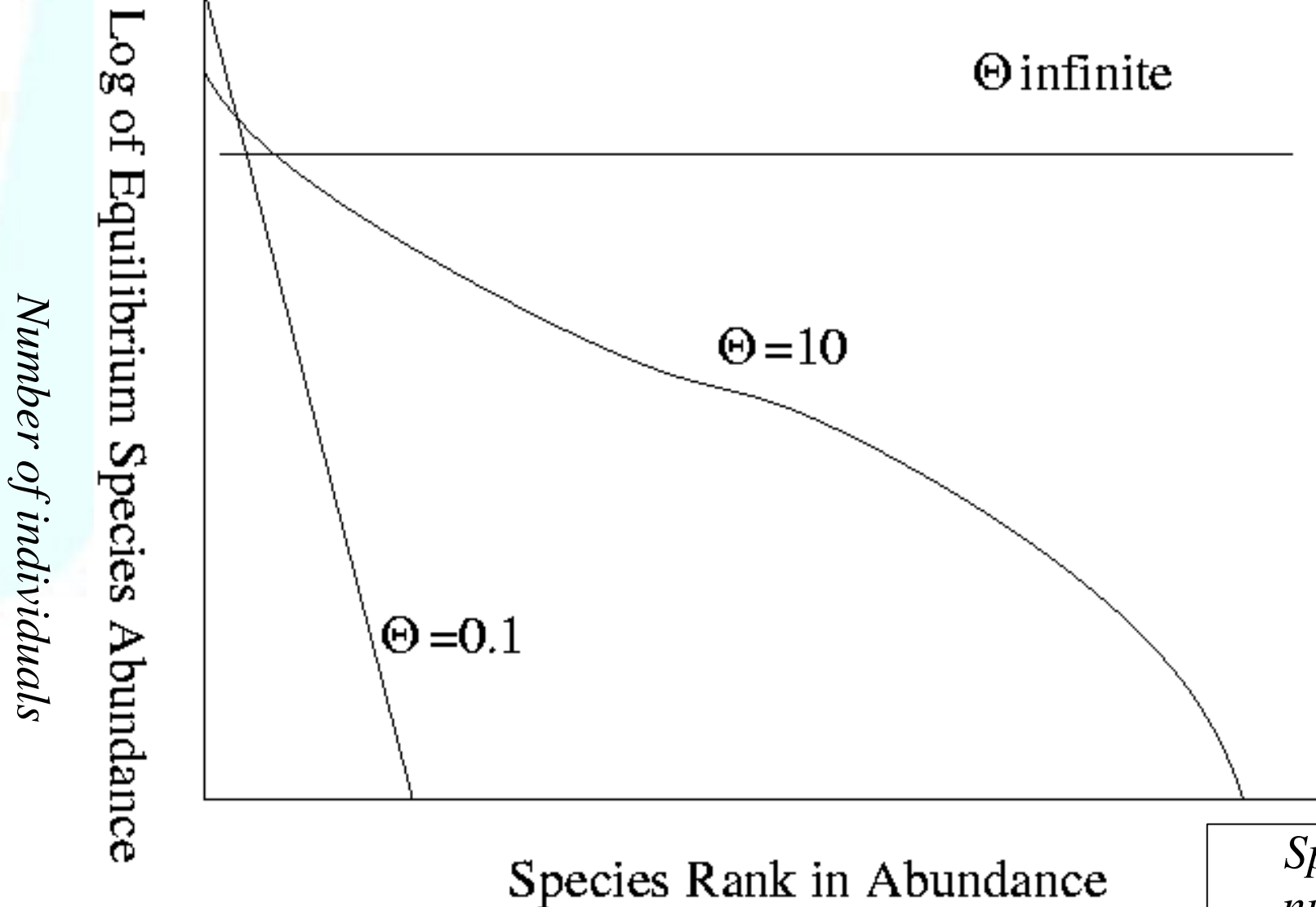
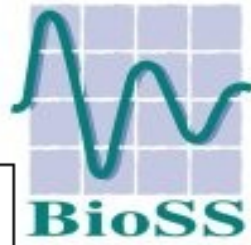
*Lawson and Jensen:  
“Neutral Evolution as Diffusion in phenotype space:  
reproduction with mutation but without selection”  
Physics Review Letters, March 07 (98, 098102)*

[www.arxiv.org/abs/q-bio/0609009](http://www.arxiv.org/abs/q-bio/0609009)

*Package UNTB for R*

Thank you for your attention!

# Ecological Model Results



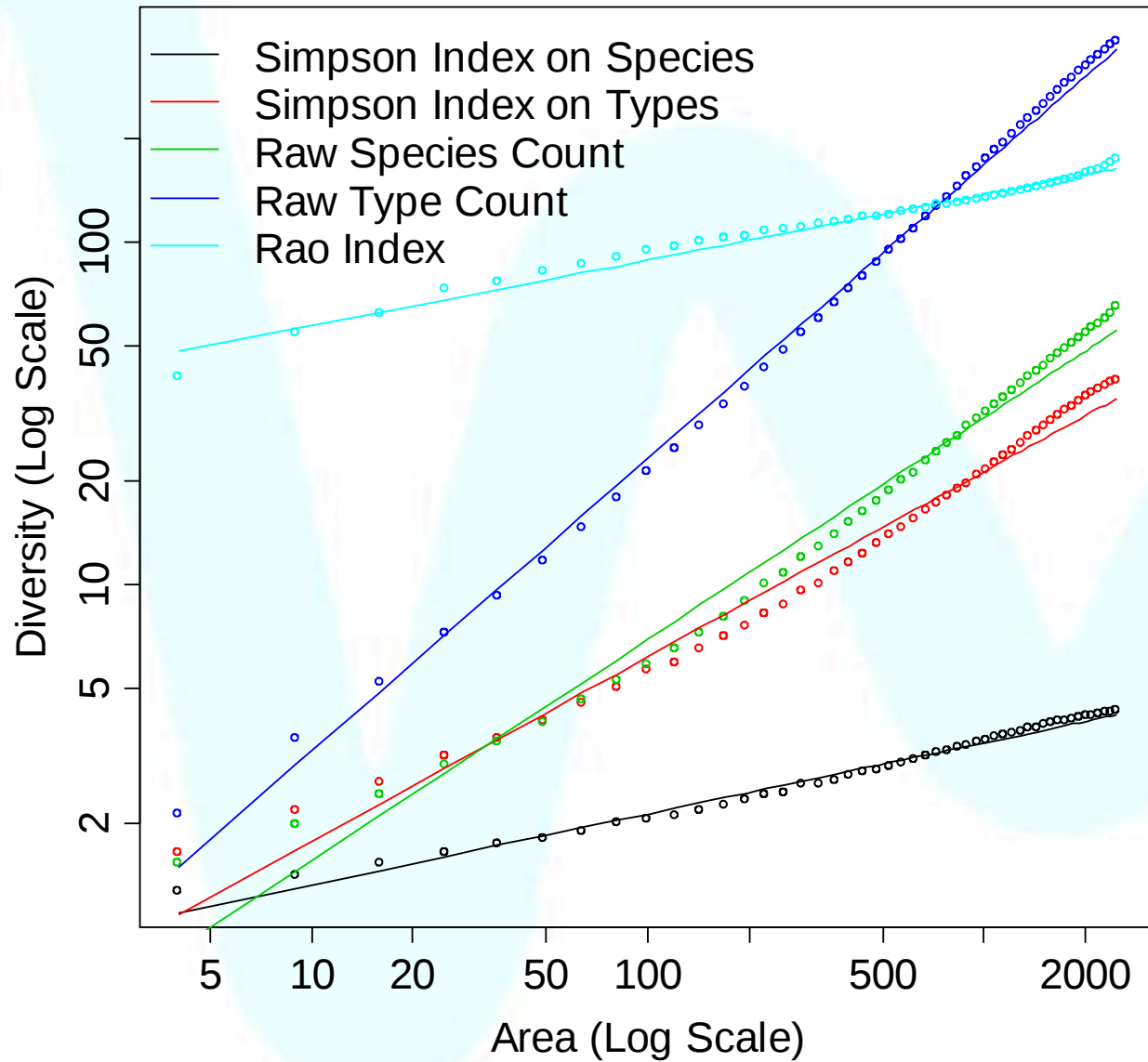
$\Theta = (\text{population size})(\text{probability of a new species})$  ordered by size

# So what is diversity?

- Ecological Sense: “number” of different species or types
- Requires definition of species:
  - Biological Species concept?
  - Phenotypically distinct?
  - Genotypic species concept?
- Definition of genotypic species is arbitrary:
  - Cut-off in time to “last common ancestor”
- Need a *difference based* measure.

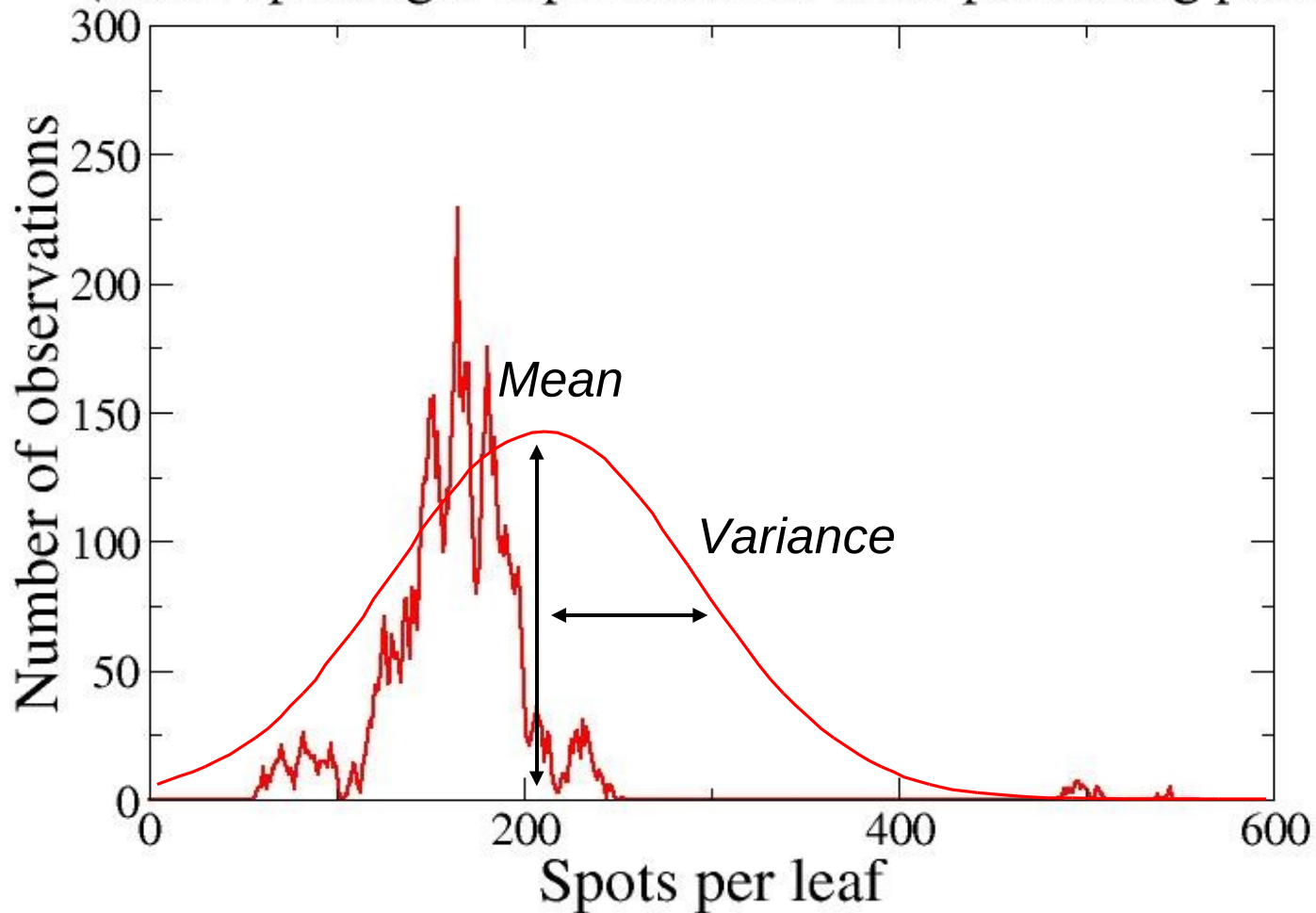


# Species Area Relation

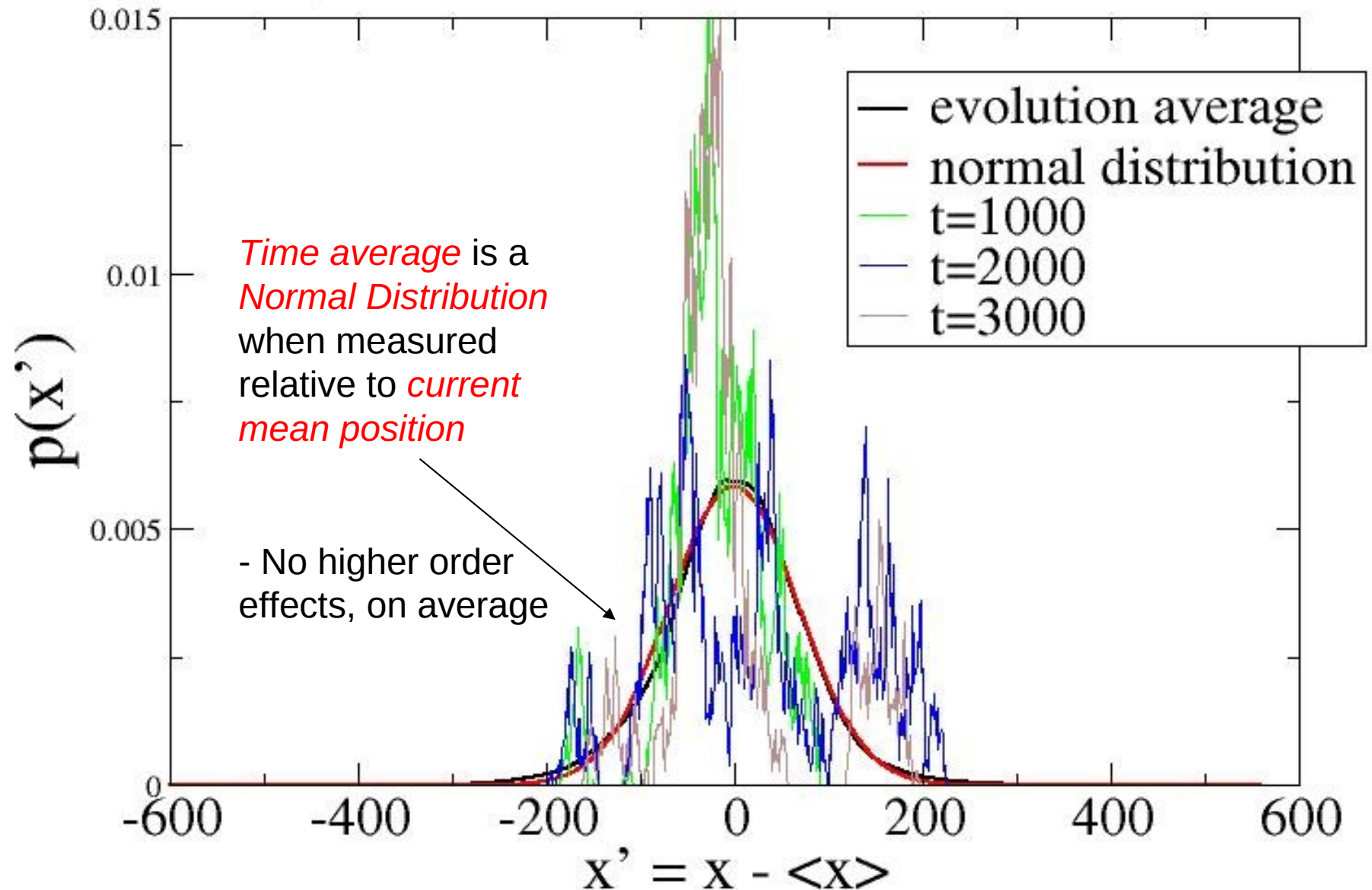


# Test Problem

Observations of number of spots per leaf of *Imaginarium Forma*  
(Made up thought experiment for a self pollinating plant)



# Time average distribution of evolution process around the mean compared with a normal distribution (N=10000)



# Solving for the width

$$d(w^2) = \left( \overset{\substack{\text{Mutation} \\ \text{distance}}}{p^*} - \frac{2w^2}{N} \right) \overset{\substack{\text{Generation} \\ \text{time}}}{dT} + \frac{2w^2}{\sqrt{N}} dW$$

$dW$  is Random, mean 0

Change in  
variance (in a  
timestep) =

Deterministic part

+ Noise part

Solution at steady state:

$$p(w)dw = \frac{(Np_m)^2}{2w^5} e^{\frac{Np_m}{2w^2}} dw$$

Power-law decay at large  $w$



# Neutral Clustering results

- Mean width:  $\langle w \rangle = \sqrt{\frac{Np_m\pi}{8}}$

*Fluctuations in  
w also  $\sim N^{0.5}$*

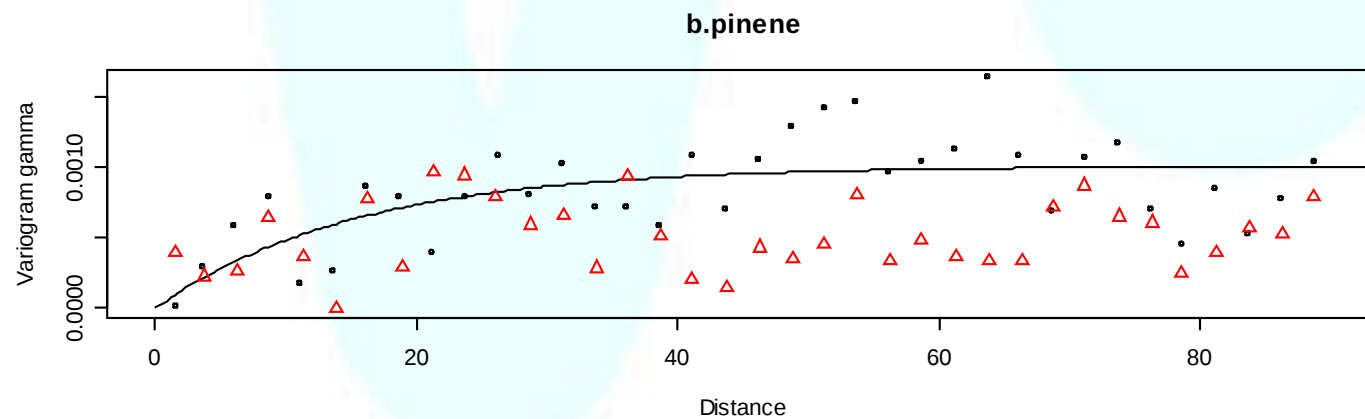
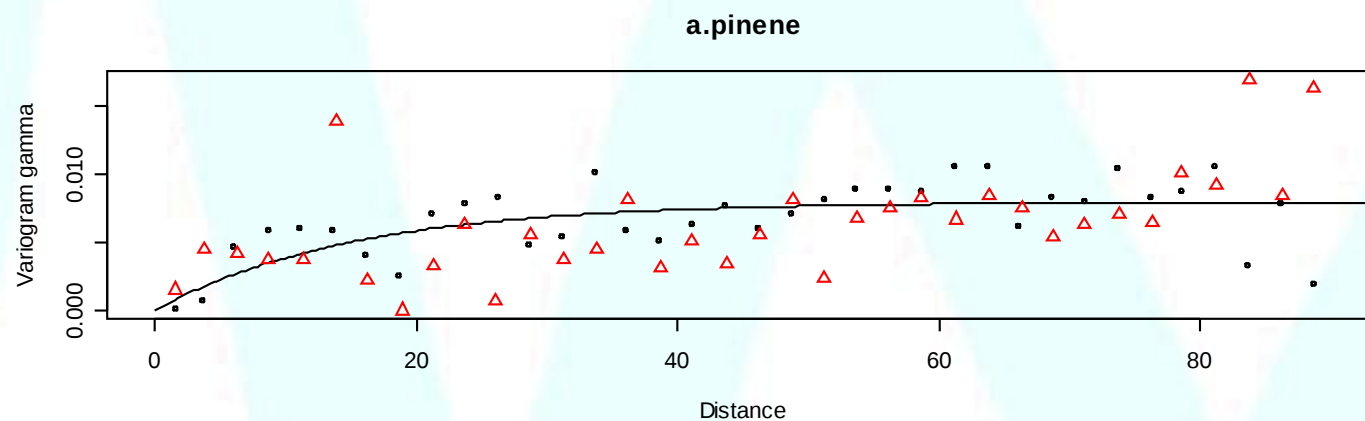
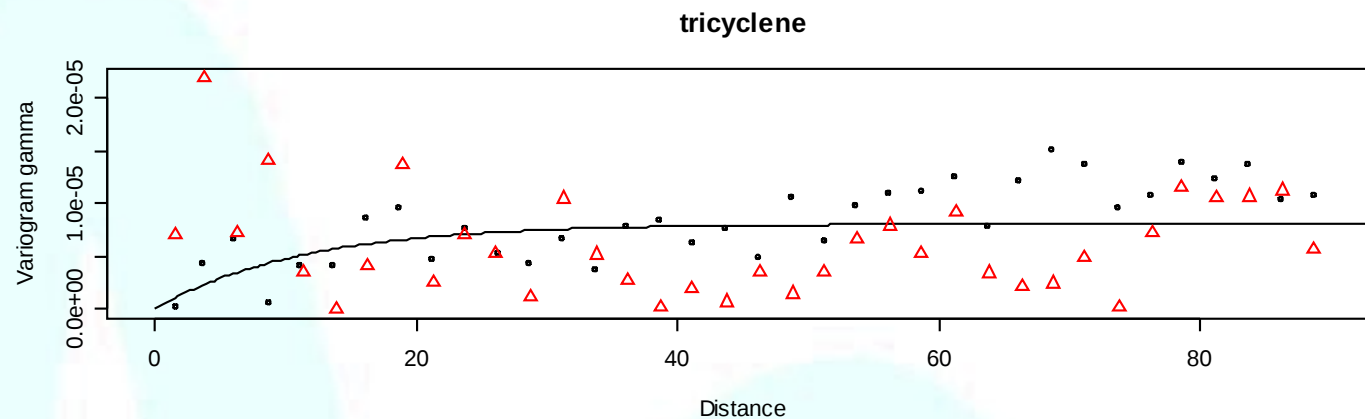
- Position:  $\langle x \rangle_{\text{RMS}} = \sqrt{T(p_m + w^2)} \quad \sqrt{\frac{p_m T}{2}}$

*With time in  
generations...  
 $\langle x \rangle_{\text{RMS}}$  is  
independent of  
N!*

- Compare with diffusion:

$$\langle x \rangle_{\text{RMS}} = \sqrt{\frac{p_m T}{N}} \quad \langle w \rangle_{\text{RMS}} = \sqrt{\frac{p_m T}{N}}$$

- Diffusion “does nothing” in infinite populations...  
evolution does “more”!



[Algebra]