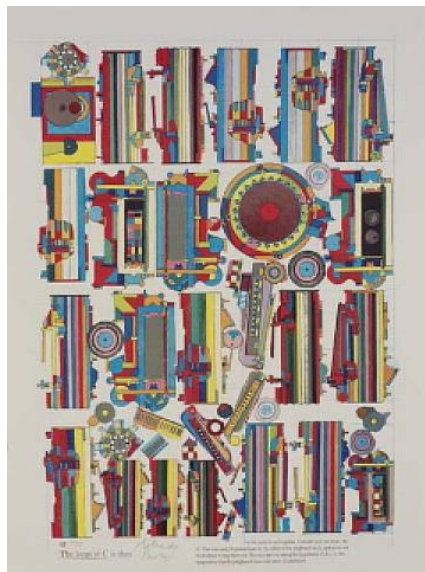


# Transfinite dynamical models

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*CIE 2012, Cambridge*



# Introduction: Part I Various models

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Hamkins-Kidder

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- ▶ Rudimentary and Primitive Recursion on sets Gandy, Jensen, Karp
- ▶ Set Recursion Normann, Sacks
- ▶ Safe Set Recursion Beckmann, Buss, Friedman

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*Robustness*: links to other forms of inductive definition or a recursion theory (of the types just listed).

- Ideally the ‘semi-decidable’ sets of integers (and of reals) should form a Spector class.
- When not, then some other proof theoretic feature, or independent representation may be at hand.

## Kleene or “ $\Delta_1^1$ ”-recursion

- Rogers called this the “ $\aleph_0$ -mind”:  
one has machine with a countable tape and work area, and ability to inspect, and manipulate the tape doing countably many operations in a finite time; the oracle version has the ability to query of a set of reals  $A$  whether a real that has been computed so far is, or is not, in  $A$ .

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- The semi-decidable sets of integers or reals are the  $\Pi_1^1$  sets, and the decidable the Borel (or hyperarithmetical).
- Thus, for computations on integers, the natural “companion structure” here is  $L_{\omega_1^{ck}}$  whose reals are precisely the Kleene-decidable sets of integers, the *hyperarithmetical* sets.
- $\Pi_1^1$  is the original, first Spector class.



- Here the *Liminf* process at limit stages enables complex sets to be built up. One gets the complete Spector class theory: the semi-decidable sets of integers are precisely those  $\Sigma_1(L_\zeta)$  where  $\zeta$  is least such that

$$\exists \Sigma (\zeta < \Sigma \wedge L_\zeta \prec_{\Sigma_2} L_\Sigma) \quad - \quad (\zeta \text{ is “}\Sigma_2\text{-extendible”}).$$

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- Thus every real in the least model of second order number theory is HM-computable.

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## FITTM's: ITTM's with *Feedback*

- Lubarsky's idea: expand the ITTM to allow for 'oracle calls' to ask whether the current worktape  $w$  when input to  $\tilde{P}_e$  results in a looping program with constant output; of course  $\tilde{P}_e$  will also be an FITTM program that may elicit further such oracle calls . . . .

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*Conjecture*  $\exists \Sigma_3^0$  sets of integers are FITTM-computable.

## ITRM's

- (Koepke-Miller) We take a standard  $N$ -register machine with entries  $m \in \mathbb{N}$  and define a transfinite action on it - again a *Liminf* construction, for limit times  $\lambda$  we set

$$R_i(\lambda) = \text{Liminf}_{\alpha \rightarrow \lambda}^* R_i(\alpha)$$

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However we have weak universality: the companion structure is  $L_{\omega_1^{ck}}$  - the first limit of admissibles, and all reals here are ITRM-computable.

# Infinite Time Blum-Shub-Smale Machines: IBSM

- A standard BSS machine consists of
  - (i) a finite number  $N$  of registers which hold standard reals  $r \in \mathbb{R}$ ;
  - (ii) a program of a finite flow diagram with algebraic operations or conditional jumps to be performed at nodes.

A typical instruction is of the form

$$(i, \varphi, j, k, l) \in \omega \times \{f \mid f : \mathbb{R}^N \rightarrow \mathbb{R}^N, f \text{ rational}\} \times 2 \times \omega \times \omega$$



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We allow the machine to run transfinitely by requiring at limit stages  $\lambda$ :

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*Question:* What is the strength of such a machine?

## Continuous IBSM machines

Koepke-Seyfferth<sup>3</sup> require additionally *continuity* in (b) (o.w. the computation crashes).

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They show:

### Theorem (Koepke-Seyfferth)

*Any CIBSM computation either crashes or halts in  $< \omega^\omega$  steps, or is for ever looping.*

### Fact (K,S)

*(i) Standard TM's can be simulated by IBSM's.*

*(ii) The standard halting problem is computable by a CIBSM: there is a CIBSM program  $P$  which halts with a real whose binary expansion is the characteristic of  $0'$ .*

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Q.1 Does this model correspond to a recursion theory as listed earlier?

Q.2 It is natural to conjecture that any set of integers in  $L_{\omega^\omega}$  can be computed, and that CIBSM's are weakly universal.

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## Indeed, CIBSM's are weakly universal

- We wish to see that a code for every course of an CIBSM-computation is also CIBSM-computable.
- Note that we can consider any CIBSM operating with integer, or recursive input, with recursive parameters, as being run inside the model  $L_{\omega^k}$  for some sufficiently large  $k < \omega$ , as everything is very absolute.

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*Fact:* Let  $r \in \mathcal{P}(\mathbb{N}) \cap L_{\omega^\omega}$ . Then  $\exists \alpha < \omega^\omega (r \leq_1 h_\alpha)$  where we define:

$$h_0 = \mathbb{N}; \quad h_{\beta+1} = h_\beta \cap (h_\beta)'; \quad \text{Lim}(\lambda) \rightarrow h_\lambda = \bigcap_{\alpha < \lambda} h_\alpha.$$

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- This shows that the ‘companion-structure’ to CIBSM computing is  $L_{\omega^\omega}$ .

# Is there a recursion theory for CIBSM?

- The answer here is yes: *Safe Recursive Set Functions*<sup>4</sup>

## Theorem

*The least safe recursively closed set  $M_0$  with  $\omega \in M_0$  is  $L_{\omega^\omega}$ .*

Conclusion: Since codes for sets in  $M_0$  are computable by *CIBSM*'s, we can view this machine model as a computational vehicle for this theory of (set)-recursion.

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<sup>4</sup>of Beckmann, Buss, Friedman to appear

What else can these machines do? What is  $L_{\omega^\omega}$  good for?

- Note: This is the first level beyond  $\omega$  of the  $L$  and  $J$  hierarchies of constructible sets, where  $J_\alpha = L_\alpha$ .

What else can these machines do? What is  $L_{\omega^\omega}$  good for?

- Note: This is the first level beyond  $\omega$  of the  $L$  and  $J$  hierarchies of constructible sets, where  $J_\alpha = L_\alpha$ .
- Example: *The isomorphism problem for tree-automatic wellfounded trees (on  $2^{<\omega}$ ) is  $\Delta_{\omega^\omega}^0$  complete* (by Kartzow, Liu, Lohrey)<sup>5</sup>. As a corollary of weak universality, for any two such trees which have rank  $< \omega^k$  say) there is a CIBSM-machine checking for an isomorphism.

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<sup>5</sup>*This CIE2012 conference volume*

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- The existence of a Zero set (those points that eventually sink to the origin) for all such  $f$ 's is a statement equivalent to  $\Pi_1^1$ -CA<sub>0</sub>.

## A rational variant

- Suppose now  $f : (\mathbb{Q} \cap [0, 1])^n \longrightarrow (\mathbb{Q} \cap [0, 1])^n$  and consider iterates of  $f$  on this *rational torus*.



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- Q. Is this best possible?

## The real thing

- Suppose now  $f : (\mathbb{R} \cap [0, 1])^n \longrightarrow (\mathbb{R} \cap [0, 1])^n$  is continuous and consider iterates of  $f$  on this the *real torus*.

Now this kind of iteration requires reals to be carried along, *i.e.* sets of rationals; again the  $\text{Liminf}^*$  operation is a  $\Pi_3(f)$  operation.

### Definition

$\zeta \in On$  is  $f$ -extendible if  $L_\zeta[f] \prec_{\Sigma_2} L_\Sigma[f]$  for some  $\Sigma > \zeta$ .

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Then to determine whether, say iterations of  $f$  on starting real  $x$  go through  $(0, 0, \dots, 0)$  can be determined by an iteration of length  $\zeta(f \oplus x)$ .

But:

### Lemma

$\Pi_3^1\text{-CA}_0 \vdash$  “For every  $f$  an  $f$ -*extendible* exists”.

