

Horocycle flow orbits of translation surfaces

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A **translation surface** is a closed, compact, 2-real-dimensional manifold M together with a finite subset of points $P \subset M$ and a maximal atlas of charts such that the restriction to $M \setminus P$ of each transition map is a translation.

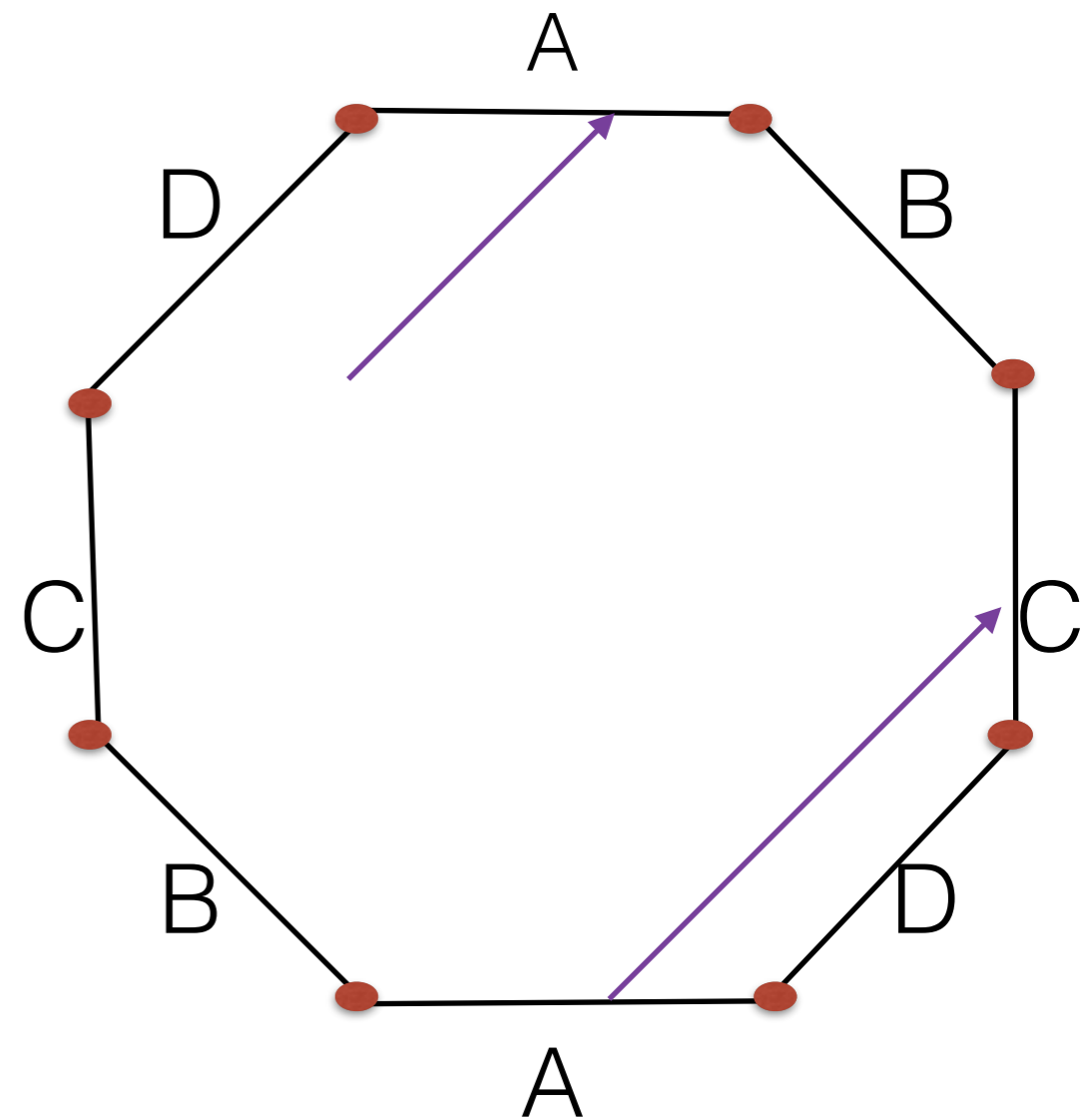
\Rightarrow On $M \setminus P$, “directions” are well-defined
e.g. positive vertical direction

\Rightarrow Euclidean metric

Points in P are called **cone points**.

\Rightarrow The **cone angle** of a cone point is of the form $2\pi(k + 1)$, $k \in \mathbb{N}^{\geq 0}$
 k is the **order** of the cone point.

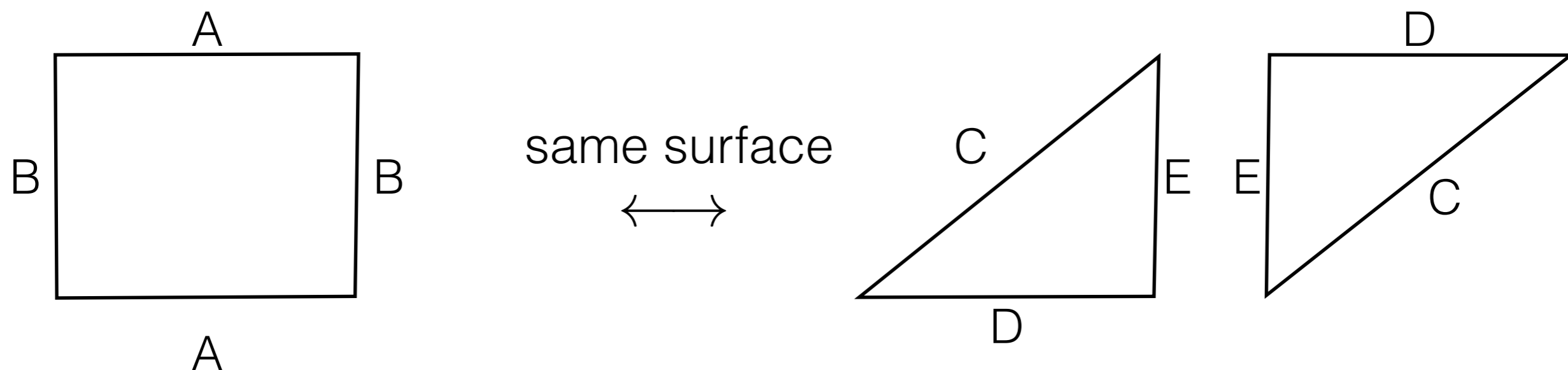
$$\Rightarrow \sum_{p \in P} \text{order}(p) = 2g - 2$$



$$P = \{\bullet\}$$

\bullet has cone angle 6π ,
i.e. order 2

We care about underlying surface, not specific representation in terms of polygons/charts. i.e:



$\mathcal{H}(k_1, \dots, k_n)$ consists of all translation surfaces whose cone points are of orders k_1, \dots, k_n .

A “stratum in moduli space of translation surfaces”

Topology on $\mathcal{H}(k_1, \dots, k_n)$: slightly perturbing the polygon edges yields “nearby” surfaces.

$SL_2(\mathbb{R})$ acts on $\mathcal{H}(k_1, \dots, k_n)$

(Each element determines a linear transformation of the plane;
apply this transformation to the polygons/charts.)

Action of one-parameter subgroups:

geodesic flow, G, action of matrices of form $g_t := \begin{pmatrix} e^{t/2} & 0 \\ 0 & e^{-t/2} \end{pmatrix}$

horocycle flow, H, action of matrices of form $h_t := \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix}$

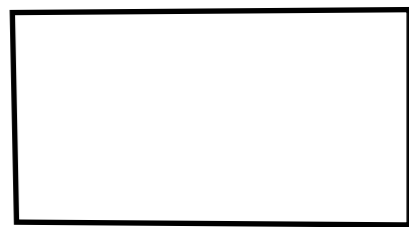
rotations, actions of matrices of form $r_\theta := \begin{pmatrix} \cos(\theta) & -\sin(\theta) \\ \sin \theta & \cos(\theta) \end{pmatrix}$

Understanding the dynamics of the $SL_2\mathbb{R}$ action has been and continues to be the focus of a great deal of work by many people.

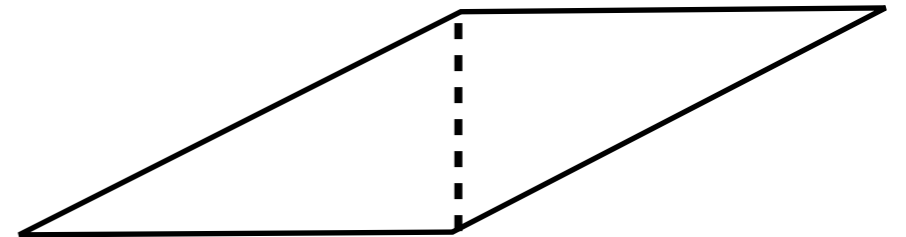
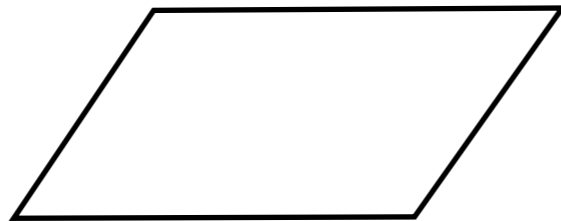
Dynamics of horocycle flow?

Notation: $\overline{H \cdot S}$ means closure in the stratum of the orbit of S under H

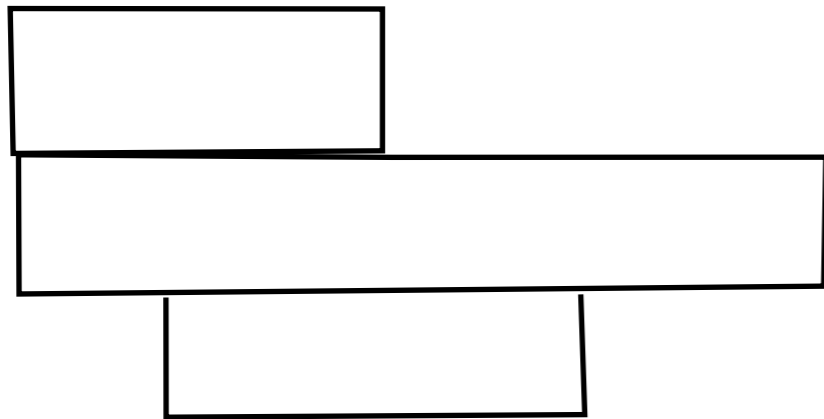
$$\begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} x + ty \\ y \end{pmatrix}$$



$t=0$



period: $t = \text{modulus} (= \text{height}/\text{width})$



periodic (under H) if moduli all rationally related

Smillie and Weiss (2004):

Minimal sets for $H \iff$ orbit closures (under H) surfaces that admit a horizontal cylinder decomposition.

(A minimal subset for a group G acting on a space X is a closed, G -invariant set that is a minimal such set with respect to inclusion.)

Joint with **Jon Chaika**:

Theorem 1: For any translation surface M ,

$$\overline{H \cdot r_\theta \cdot M} = \overline{SL_2(\mathbb{R}) \cdot M}$$

for (Lebesgue) almost every angle $\theta \in S^1$.

Joint with **Jon Chaika** (2015):

Theorem 1: For any translation surface M ,

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for (Lebesgue) almost every angle $\theta \in S^1$.

Theorem 2: The following are equivalent:

- (i) M is a lattice (Veech) surface.
- (ii) For every angle $\theta \in S^1$, every H -minimal subset of $\overline{H r_\theta \cdot M}$ is a periodic H -orbit.
- (iii) Every H -minimal subset of $\overline{SL_2(\mathbb{R}) \cdot M}$ is a periodic H -orbit.

(A lattice surface is a surface whose $SL_2\mathbb{R}$ orbit is closed.)

Theorem 1: For any translation surface M , $\overline{H \cdot r_\theta \cdot M} = \overline{SL_2(\mathbb{R}) \cdot M}$ for (Lebesgue) almost every angle $\theta \in S^1$.

Is the “almost every” necessary?

An easy way to see the answer is “yes”: saddle connection directions. Horocycle flow preserves horizontal segments.

So if $r_\theta \cdot M$ has a horizontal saddle connection, every surface in $Hr_\theta \cdot M$ will have a horizontal saddle connection of the same length.

Open question: for what angles θ is $\overline{H \cdot r_\theta \cdot M} \neq \overline{SL_2(\mathbb{R}) \cdot M}$?

Eskin-Mirzakhani-Mohammadi (2013): $SL_2(\mathbb{R})$ orbits are “nice.”

- technical term “affine invariant submanifolds”
- determined by linear equations in period coordinates
- no boundary
- $SL_2\mathbb{R}$ invariant, ergodic probability measure with full support

Bainbridge-Smillie-Weiss (2016): classified H-inv. prob. measures in $\mathcal{H}(1, 1)$

Smillie-Weiss: examples of H-orbit closures that are very far from “nice.”

Sketch of proof of Theorem 1

Fix an $SL_2\mathbb{R}$ orbit closure \mathcal{M} with associated $SL_2\mathbb{R}$ inv. ergodic prob. measure μ . Fix $M \in \mathcal{M}$, $SL_2(\mathbb{R}) \cdot M = \mathcal{M}$.

(Goal: for $\epsilon > 0$ show $Hr_\theta \cdot M$ is ϵ -dense in $\mathcal{M} \cap K_\epsilon$ for a full measure set of θ .)

The **Mautner phenomenon** for $SL_2\mathbb{R}$:

An $SL_2\mathbb{R}$ invariant measure on \mathcal{H} is ergodic for $SL_2\mathbb{R}$ if and only if it is ergodic for H .

So μ is ergodic for H .

So μ -almost every surface in \mathcal{M} has a dense H -orbit.

Def: Say M is (L, ϵ) -nice if $\bigcup_{s=0}^L h_s \cdot M$ is ϵ -dense in $\mathcal{M} \cap K_\epsilon$

So there exist sets $U_{L, \epsilon}^{s=0}$ of (L, ϵ) -nice surfaces of measure arbitrarily close to 1.

(New goal: show $Hr_\theta \cdot M$ hits $U_{L, \epsilon}$ for a full measure set of θ)

A result from EMM guarantees that there exist arbitrarily large t s.t. all but ϵ percent of the “circle” (in θ) $g_t r_\theta \cdot M$ is in $U_{L,\epsilon}$.

So for arbitrarily large t , $g_t r_\theta \cdot M$ contains a point u in $U_{L,\epsilon}$.

But for very large t , an ϵ -arc of $g_t r_\theta \cdot M$ ϵ -approximates a length L segment of the horocycle flow applied to u .

So for sufficiently large t , ϵ -arcs of $g_t r_\theta \cdot M$ are 2ϵ -dense in $\mathcal{M} \cap K_\epsilon$.

Cartan decomposition: every element of $SL_2\mathbb{R}$ can be written as $r_a g_b r_c$ for some a, b, c .

Use Cartan decomposition of h_s to turn into a statement that for sufficiently large s , any ϵ -arc of $h_s r_\theta \cdot M$ is 2ϵ -dense.

Push this train of logic more...take intersections of sets as $\epsilon \rightarrow 0$...
... and this leads to Theorem 1.

$$\overline{H \cdot r_\theta \cdot M} = \overline{SL_2(\mathbb{R}) \cdot M} \quad \text{for almost every angle } \theta \in S^1.$$

Thank you!