

# Profinite number theory

Hendrik Lenstra



Mathematisch Instituut  
Universiteit Leiden

# The factorial number system

Each  $n \in \mathbf{Z}_{\geq 0}$  has a unique representation

$$n = \sum_{i=1}^{\infty} c_i i! \quad \text{with } c_i \in \mathbf{Z},$$
$$0 \leq c_i \leq i, \quad \#\{i : c_i \neq 0\} < \infty.$$

In factorial notation:

$$n = (\dots c_3 c_2 c_1)!$$

# The factorial number system

Each  $n \in \mathbf{Z}_{\geq 0}$  has a unique representation

$$n = \sum_{i=1}^{\infty} c_i i! \quad \text{with } c_i \in \mathbf{Z},$$
$$0 \leq c_i \leq i, \quad \#\{i : c_i \neq 0\} < \infty.$$

In factorial notation:

$$n = (\dots c_3 c_2 c_1)!.$$

*Examples:*  $25 = (1001)!$ ,  $1001 = (121221)!$ .

Note:  $c_1 \equiv n \pmod{2}$ .

# Conversion

Given  $n$ , one finds all  $c_i$  by

$$c_1 = (\text{remainder of } n_1 = n \text{ upon division by } 2),$$

$$c_i = (\text{remainder of } n_i = \frac{n_{i-1} - c_{i-1}}{i} \text{ upon division by } i+1),$$

until  $n_i = 0$ .

# Conversion

Given  $n$ , one finds all  $c_i$  by

$$c_1 = (\text{remainder of } n_1 = n \text{ upon division by } 2),$$

$$c_i = (\text{remainder of } n_i = \frac{n_{i-1} - c_{i-1}}{i} \text{ upon division by } i+1),$$

until  $n_i = 0$ .

Knowing  $c_1, c_2, \dots, c_{k-1}$  is equivalent to knowing  $n$  modulo  $k!$ .

# Profinite numbers

If one starts with  $n = -1$ , one finds  $c_i = i$  for all  $i$ :

$$-1 = (\dots 54321)_!$$

In general, for a negative integer  $n$  one finds  $c_i = i$  for almost all  $i$ .

# Profinite numbers

If one starts with  $n = -1$ , one finds  $c_i = i$  for all  $i$ :

$$-1 = (\dots 54321)_!$$

In general, for a negative integer  $n$  one finds  $c_i = i$  for almost all  $i$ .

A *profinite integer* is an infinite string  $(\dots c_3c_2c_1)_!$  with each  $c_i \in \mathbf{Z}$ ,  $0 \leq c_i \leq i$ .

Notation:  $\hat{\mathbf{Z}} = \{\text{profinite integers}\}$ .

# A citizen of the world

Features of  $\hat{\mathbf{Z}}$ :

- it has an *algebraic structure*,
- it comes with a *topology*,
- it occurs in *Galois theory*,
- it shows up in *arithmetic geometry*,
- it connects to *ultrafilters*,
- it carries “*analytic*” *functions*,
- and it knows *Fibonacci numbers*!

# Addition and multiplication

For any  $k$ , the  $k$  last digits of  $n + m$  depend only on the  $k$  last digits of  $n$  and of  $m$ .

Likewise for  $n \cdot m$ .

# Addition and multiplication

For any  $k$ , the  $k$  last digits of  $n + m$  depend only on the  $k$  last digits of  $n$  and of  $m$ .

Likewise for  $n \cdot m$ .

Hence one can also define the sum and the product of *any* two profinite integers, and  $\hat{\mathbf{Z}}$  is a *commutative ring*.

# Ring homomorphisms

Call a profinite integer  $(\dots c_3 c_2 c_1)_!$  *even* if  $c_1 = 0$  and *odd* if  $c_1 = 1$ .

The map  $\hat{\mathbf{Z}} \rightarrow \mathbf{Z}/2\mathbf{Z}$ ,  $(\dots c_3 c_2 c_1)_! \mapsto (c_1 \bmod 2)$ , is a ring homomorphism. Its kernel is  $2\hat{\mathbf{Z}}$ .

# Ring homomorphisms

Call a profinite integer  $(\dots c_3 c_2 c_1)_!$  *even* if  $c_1 = 0$  and *odd* if  $c_1 = 1$ .

The map  $\hat{\mathbf{Z}} \rightarrow \mathbf{Z}/2\mathbf{Z}$ ,  $(\dots c_3 c_2 c_1)_! \mapsto (c_1 \bmod 2)$ , is a ring homomorphism. Its kernel is  $2\hat{\mathbf{Z}}$ .

More generally, for any  $k \in \mathbf{Z}_{>0}$ , one has a ring homomorphism  $\hat{\mathbf{Z}} \rightarrow \mathbf{Z}/k!\mathbf{Z}$  sending  $(\dots c_3 c_2 c_1)_!$  to  $(\sum_{i < k} c_i i! \bmod k!)$ , and it has kernel  $k!\hat{\mathbf{Z}}$ .

# Visualising profinite numbers

Define  $v: \hat{\mathbf{Z}} \rightarrow [0, 1]$  by

$$v((\dots c_3 c_2 c_1)!) = \sum_{i \geq 1} \frac{c_i}{(i+1)!}.$$

Then  $v(2\hat{\mathbf{Z}}) = [0, \frac{1}{2}]$ ,  $v(1 + 2\hat{\mathbf{Z}}) = [\frac{1}{2}, 1]$ ,  $v(1 + 6\hat{\mathbf{Z}}) = [\frac{1}{2}, \frac{2}{3}]$ .

## Visualising profinite numbers

Define  $v: \hat{\mathbf{Z}} \rightarrow [0, 1]$  by

$$v((\dots c_3 c_2 c_1)!) = \sum_{i \geq 1} \frac{c_i}{(i+1)!}.$$

Then  $v(2\hat{\mathbf{Z}}) = [0, \frac{1}{2}]$ ,  $v(1 + 2\hat{\mathbf{Z}}) = [\frac{1}{2}, 1]$ ,  $v(1 + 6\hat{\mathbf{Z}}) = [\frac{1}{2}, \frac{2}{3}]$ .

One has

$$\begin{aligned} \#v^{-1}r &= 2 \text{ for } r \in \mathbf{Q} \cap (0, 1), \\ \#v^{-1}r &= 1 \text{ for all other } r \in [0, 1]. \end{aligned}$$

*Examples:*

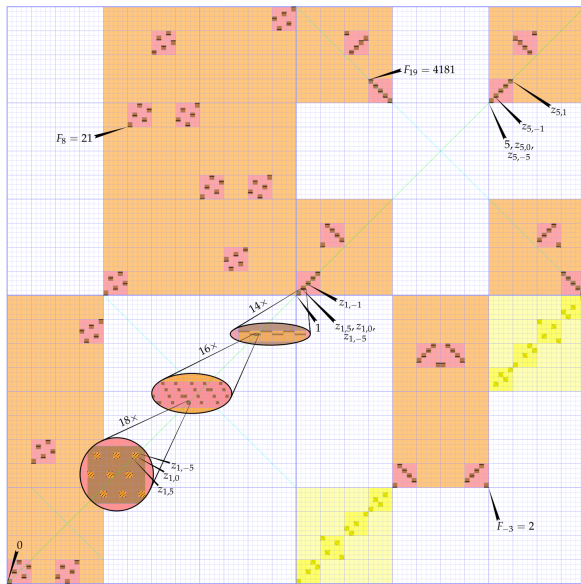
$$v^{-1}\frac{1}{2} = \{-2, 1\}, \quad v^{-1}\frac{2}{3} = \{-5, 3\}, \quad v^{-1}1 = \{-1\}.$$

# Graphs

For graphical purposes, we represent  $a \in \hat{\mathbf{Z}}$  by  $v(a) \in [0, 1]$ .

We visualise a function  $f: \hat{\mathbf{Z}} \rightarrow \hat{\mathbf{Z}}$  by representing its graph  $\{(a, f(a)) : a \in \hat{\mathbf{Z}}\}$  in  $[0, 1] \times [0, 1]$ .

# Illustration by Willem Jan Palenstijn



# Four functions

In green: the graph of  $a \mapsto a$ .

In blue: the graph of  $a \mapsto -a$ .

In yellow: the graph of  $a \mapsto a^{-1} - 1$  ( $a \in \hat{\mathbf{Z}}^*$ ).

In orange/red/brown: the graph of  $a \mapsto F(a)$ , the “ $a$ -th Fibonacci number”.

## A formal definition

A more satisfactory definition is

$$\hat{\mathbf{Z}} = \{(a_n)_{n=1}^{\infty} \in \prod_{n=1}^{\infty} (\mathbf{Z}/n\mathbf{Z}) : n|m \Rightarrow a_m \equiv a_n \pmod{n}\}.$$

This is a subring of  $\prod_{n=1}^{\infty} (\mathbf{Z}/n\mathbf{Z})$ .

Its unit group  $\hat{\mathbf{Z}}^*$  is a subgroup of  $\prod_{n=1}^{\infty} (\mathbf{Z}/n\mathbf{Z})^*$ .

## A formal definition

A more satisfactory definition is

$$\hat{\mathbf{Z}} = \{(a_n)_{n=1}^{\infty} \in \prod_{n=1}^{\infty} (\mathbf{Z}/n\mathbf{Z}) : n|m \Rightarrow a_m \equiv a_n \pmod{n}\}.$$

This is a subring of  $\prod_{n=1}^{\infty} (\mathbf{Z}/n\mathbf{Z})$ .

Its unit group  $\hat{\mathbf{Z}}^*$  is a subgroup of  $\prod_{n=1}^{\infty} (\mathbf{Z}/n\mathbf{Z})^*$ .

Alternative definition:  $\hat{\mathbf{Z}} = \text{End}(\mathbf{Q}/\mathbf{Z})$ , the *endomorphism ring* of the abelian group  $\mathbf{Q}/\mathbf{Z}$ . Then  $\hat{\mathbf{Z}}^* = \text{Aut}(\mathbf{Q}/\mathbf{Z})$ .

## Basic facts

The ring  $\hat{\mathbf{Z}}$  is *uncountable*, it is *commutative*, and it has  $\mathbf{Z}$  as a subring. It has lots of zero-divisors.

## Basic facts

The ring  $\hat{\mathbf{Z}}$  is *uncountable*, it is *commutative*, and it has  $\mathbf{Z}$  as a subring. It has lots of zero-divisors.

For each  $m \in \mathbf{Z}_{>0}$ , there is a ring homomorphism

$$\hat{\mathbf{Z}} \rightarrow \mathbf{Z}/m\mathbf{Z}, \quad a = (a_n)_{n=1}^{\infty} \mapsto a_m,$$

which together with the group homomorphism  $\hat{\mathbf{Z}} \rightarrow \hat{\mathbf{Z}}$ ,  $a \mapsto ma$ , fits into a short exact sequence

$$0 \rightarrow \hat{\mathbf{Z}} \xrightarrow{m} \hat{\mathbf{Z}} \rightarrow \mathbf{Z}/m\mathbf{Z} \rightarrow 0.$$

# Profinite rationals

Write

$$\hat{\mathbf{Q}} = \{(a_n)_{n=1}^{\infty} \in \prod_{n=1}^{\infty} (\mathbf{Q}/n\mathbf{Z}) : n|m \Rightarrow a_m \equiv a_n \pmod{n\mathbf{Z}}\}.$$

The additive group  $\hat{\mathbf{Q}}$  has exactly one ring multiplication extending the ring multiplication on  $\hat{\mathbf{Z}}$ .

# Profinite rationals

Write

$$\hat{\mathbf{Q}} = \{(a_n)_{n=1}^{\infty} \in \prod_{n=1}^{\infty} (\mathbf{Q}/n\mathbf{Z}) : n|m \Rightarrow a_m \equiv a_n \pmod{n\mathbf{Z}}\}.$$

The additive group  $\hat{\mathbf{Q}}$  has exactly one ring multiplication extending the ring multiplication on  $\hat{\mathbf{Z}}$ .

It is a commutative ring, with  $\mathbf{Q}$  and  $\hat{\mathbf{Z}}$  as subrings, and

$$\hat{\mathbf{Q}} = \mathbf{Q} + \hat{\mathbf{Z}} = \mathbf{Q} \cdot \hat{\mathbf{Z}} \cong \mathbf{Q} \otimes_{\mathbf{Z}} \hat{\mathbf{Z}}$$

(as rings).

# Topology

If each  $\mathbf{Z}/n\mathbf{Z}$  has the discrete topology and  $\prod_{n=1}^{\infty}(\mathbf{Z}/n\mathbf{Z})$  the product topology, then  $\hat{\mathbf{Z}}$  is *closed* in  $\prod_{n=1}^{\infty}(\mathbf{Z}/n\mathbf{Z})$ .

# Topology

If each  $\mathbf{Z}/n\mathbf{Z}$  has the discrete topology and  $\prod_{n=1}^{\infty}(\mathbf{Z}/n\mathbf{Z})$  the product topology, then  $\hat{\mathbf{Z}}$  is *closed* in  $\prod_{n=1}^{\infty}(\mathbf{Z}/n\mathbf{Z})$ .

One can define the topology on  $\hat{\mathbf{Z}}$  by the metric

$$\begin{aligned}d(x, y) &= \frac{1}{\min\{k \in \mathbf{Z}_{>0} : x \not\equiv y \pmod{(k+1)!}\}} \\ &= \frac{1}{\min\{k \in \mathbf{Z}_{>0} : c_k \neq d_k\}}\end{aligned}$$

if  $x = (\dots c_3 c_2 c_1)_!$ ,  $y = (\dots d_3 d_2 d_1)_!$ ,  $x \neq y$ .

## More topology

*Fact:*  $\hat{\mathbf{Z}}$  is a compact Hausdorff totally disconnected topological ring.

One can make the map  $v: \hat{\mathbf{Z}} \rightarrow [0, 1]$  into a homeomorphism by “cutting”  $[0, 1]$  at every  $r \in \mathbf{Q} \cap (0, 1)$ .

## More topology

*Fact:*  $\hat{\mathbf{Z}}$  is a compact Hausdorff totally disconnected topological ring.

One can make the map  $v: \hat{\mathbf{Z}} \rightarrow [0, 1]$  into a homeomorphism by “cutting”  $[0, 1]$  at every  $r \in \mathbf{Q} \cap (0, 1)$ .

A neighborhood base of 0 in  $\hat{\mathbf{Z}}$  is  $\{m\hat{\mathbf{Z}} : m \in \mathbf{Z}_{>0}\}$ .

With the same neighborhood base,  $\hat{\mathbf{Q}}$  is also a topological ring. It is *locally* compact, Hausdorff, and totally disconnected.

# Amusements for algebraists

We have  $\hat{\mathbf{Z}} \subset A = \prod_{n=1}^{\infty} (\mathbf{Z}/n\mathbf{Z})$ .

**Theorem.** *One has  $A/\hat{\mathbf{Z}} \cong A$  as additive topological groups.*

*Proof* (Carlo Pagano): write down a surjective continuous group homomorphism  $\epsilon: A \rightarrow A$  with  $\ker \epsilon = \hat{\mathbf{Z}}$ .

# Amusements for algebraists

We have  $\hat{\mathbf{Z}} \subset A = \prod_{n=1}^{\infty} (\mathbf{Z}/n\mathbf{Z})$ .

**Theorem.** *One has  $A/\hat{\mathbf{Z}} \cong A$  as additive topological groups.*

*Proof* (Carlo Pagano): write down a surjective continuous group homomorphism  $\epsilon: A \rightarrow A$  with  $\ker \epsilon = \hat{\mathbf{Z}}$ .

**Theorem.** *One has  $A \cong A \times \hat{\mathbf{Z}}$  as groups but not as topological groups.*

Here the axiom of choice comes in.

# Profinite groups

In infinite Galois theory, the Galois groups that one encounters are *profinite groups*.

A profinite group is a topological group that is isomorphic to a closed subgroup of a product of finite discrete groups.

Equivalent definition: it is a compact Hausdorff totally disconnected topological group.

*Examples:* the additive group of  $\hat{\mathbf{Z}}$  and its unit group  $\hat{\mathbf{Z}}^*$  are profinite groups.

## $\hat{\mathbf{Z}}$ as the analogue of $\mathbf{Z}$

*Familiar fact.* For each group  $G$  and each  $\gamma \in G$  there is a unique group homomorphism  $\mathbf{Z} \rightarrow G$  with  $1 \mapsto \gamma$ , namely  $n \mapsto \gamma^n$ .

*Analogue for  $\hat{\mathbf{Z}}$ .* For each profinite group  $G$  and each  $\gamma \in G$  there is a unique group homomorphism  $\hat{\mathbf{Z}} \rightarrow G$  with  $1 \mapsto \gamma$ , and it is continuous. Notation:  $a \mapsto \gamma^a$ .

# Examples of infinite Galois groups

For a field  $k$ , denote by  $\bar{k}$  an algebraic closure.

*Example 1:* with  $p$  prime and  $\mathbf{F}_p = \mathbf{Z}/p\mathbf{Z}$  one has

$$\hat{\mathbf{Z}} \cong \text{Gal}(\bar{\mathbf{F}}_p/\mathbf{F}_p), \quad a \mapsto \text{Frob}^a,$$

where  $\text{Frob}(\alpha) = \alpha^p$  for all  $\alpha \in \bar{\mathbf{F}}_p$ .

## Examples of infinite Galois groups

For a field  $k$ , denote by  $\bar{k}$  an algebraic closure.

*Example 1:* with  $p$  prime and  $\mathbf{F}_p = \mathbf{Z}/p\mathbf{Z}$  one has

$$\hat{\mathbf{Z}} \cong \text{Gal}(\bar{\mathbf{F}}_p/\mathbf{F}_p), \quad a \mapsto \text{Frob}^a,$$

where  $\text{Frob}(\alpha) = \alpha^p$  for all  $\alpha \in \bar{\mathbf{F}}_p$ .

*Example 2:* with

$$\mu = \{\text{roots of unity in } \bar{\mathbf{Q}}^*\} \cong \mathbf{Q}/\mathbf{Z}$$

one has

$$\text{Gal}(\mathbf{Q}(\mu)/\mathbf{Q}) \cong \text{Aut } \mu \cong \hat{\mathbf{Z}}^*$$

as topological groups.

# Radical Galois groups

*Example 3.* For  $r \in \mathbf{Q}$ ,  $r \notin \{-1, 0, 1\}$ , put

$$\sqrt[n]{r} = \{\alpha \in \bar{\mathbf{Q}} : \exists n \in \mathbf{Z}_{>0} : \alpha^n = r\}.$$

**Theorem** (Abtien Javanpeykar). *Let  $G$  be a profinite group. Then there exists  $r \in \mathbf{Q} \setminus \{-1, 0, 1\}$  with  $G \cong \text{Gal}(\mathbf{Q}(\sqrt[n]{r})/\mathbf{Q})$  (as topological groups) if and only if there is a non-split exact sequence*

$$0 \rightarrow \hat{\mathbf{Z}} \xrightarrow{\iota} G \xrightarrow{\pi} \hat{\mathbf{Z}}^* \rightarrow 1$$

*of profinite groups such that*

$$\forall a \in \hat{\mathbf{Z}}, \gamma \in G : \gamma \cdot \iota(a) \cdot \gamma^{-1} = \iota(\pi(\gamma) \cdot a).$$

# Arithmetic geometry

Given  $f_1, \dots, f_k \in \mathbf{Z}[X_1, \dots, X_n]$ , one wants to solve the system  $f_1(x) = \dots = f_k(x) = 0$  in  $x = (x_1, \dots, x_n) \in \mathbf{Z}^n$ .

**Theorem.** (a) *There is a solution  $x \in \mathbf{Z}^n \Rightarrow$  for each  $m \in \mathbf{Z}_{>0}$  there is a solution modulo  $m \Leftrightarrow$  there is a solution  $x \in \hat{\mathbf{Z}}^n$ .*

(b) *It is decidable whether a given system has a solution  $x \in \hat{\mathbf{Z}}^n$ .*

## $p$ -adic numbers

Let  $p$  be prime. The *ring of  $p$ -adic integers* is

$$\mathbf{Z}_p = \{(b_i)_{i=0}^{\infty} \in \prod_{i=0}^{\infty} (\mathbf{Z}/p^i\mathbf{Z}) : i \leq j \Rightarrow b_j \equiv b_i \pmod{p^i}\}.$$

Just as  $\hat{\mathbf{Z}}$ , it is a compact Hausdorff totally disconnected topological ring.

## $p$ -adic numbers

Let  $p$  be prime. The *ring of  $p$ -adic integers* is

$$\mathbf{Z}_p = \{(b_i)_{i=0}^\infty \in \prod_{i=0}^\infty (\mathbf{Z}/p^i\mathbf{Z}) : i \leq j \Rightarrow b_j \equiv b_i \pmod{p^i}\}.$$

Just as  $\hat{\mathbf{Z}}$ , it is a compact Hausdorff totally disconnected topological ring.

It is also a *principal ideal domain*, with  $p\mathbf{Z}_p$  as its only non-zero prime ideal. Its field of fractions is written  $\mathbf{Q}_p$ .

All ideals of  $\mathbf{Z}_p$  are *closed*, and of the form  $p^h\mathbf{Z}_p$  with  $h \in \mathbf{Z}_{\geq 0} \cup \{\infty\}$ , where  $p^\infty\mathbf{Z}_p = \{0\}$ .

# The Chinese remainder theorem

For  $n = \prod_{p \text{ prime}} p^{i(p)}$  one has

$$\mathbf{Z}/n\mathbf{Z} \cong \prod_{p \text{ prime}} (\mathbf{Z}/p^{i(p)}\mathbf{Z}) \quad (\text{as rings}).$$

In the limit:

$$\hat{\mathbf{Z}} \cong \prod_{p \text{ prime}} \mathbf{Z}_p \quad (\text{as topological rings}).$$

# The Chinese remainder theorem

For  $n = \prod_{p \text{ prime}} p^{i(p)}$  one has

$$\mathbf{Z}/n\mathbf{Z} \cong \prod_{p \text{ prime}} (\mathbf{Z}/p^{i(p)}\mathbf{Z}) \quad (\text{as rings}).$$

In the limit:

$$\hat{\mathbf{Z}} \cong \prod_{p \text{ prime}} \mathbf{Z}_p \quad (\text{as topological rings}).$$

For each  $p$ , the projection map  $\hat{\mathbf{Z}} \rightarrow \mathbf{Z}_p$  induces a ring homomorphism  $\pi_p: \hat{\mathbf{Q}} \rightarrow \mathbf{Q}_p$ .

# Profinite number theory

The isomorphism  $\hat{\mathbf{Z}} \cong \prod_p \mathbf{Z}_p$  reduces most questions that one may ask about  $\hat{\mathbf{Z}}$  to similar questions about the much better behaved rings  $\mathbf{Z}_p$ .

*Profinite number theory* studies the exceptions. Many of these are caused by the set  $\mathcal{P}$  of primes being *infinite*.

## Ideals of $\hat{\mathbf{Z}}$

For an ideal  $\mathfrak{a} \subset \hat{\mathbf{Z}} = \prod_p \mathbf{Z}_p$ , one has:

$$\begin{aligned} \mathfrak{a} \text{ is closed} &\Leftrightarrow \mathfrak{a} \text{ is finitely generated} \Leftrightarrow \mathfrak{a} \text{ is principal} \\ &\Leftrightarrow \mathfrak{a} = \prod_p \mathfrak{a}_p \text{ where each } \mathfrak{a}_p \subset \mathbf{Z}_p \text{ an ideal.} \end{aligned}$$

The set of closed ideals of  $\hat{\mathbf{Z}}$  is in bijection with the set  $\{\prod_p p^{h(p)} : h(p) \in \mathbf{Z}_{\geq 0} \cup \{\infty\}\}$  of *Steinitz numbers*.

Most ideals of  $\hat{\mathbf{Z}}$  are not closed.

# The spectrum and ultrafilters

The *spectrum*  $\text{Spec } R$  of a commutative ring  $R$  is its set of prime ideals. *Example:*  $\text{Spec } \mathbf{Z}_p = \{\{0\}, p\mathbf{Z}_p\}$ .

# The spectrum and ultrafilters

The *spectrum*  $\text{Spec } R$  of a commutative ring  $R$  is its set of prime ideals. *Example:*  $\text{Spec } \mathbf{Z}_p = \{\{0\}, p\mathbf{Z}_p\}$ .

With each  $\mathfrak{p} \in \text{Spec } \hat{\mathbf{Z}}$  one associates the *ultrafilter*

$$\Upsilon(\mathfrak{p}) = \{S \subset \mathcal{P} : e_S \in \mathfrak{p}\}$$

on the set  $\mathcal{P}$  of primes, where  $e_S \in \prod_{p \in \mathcal{P}} \mathbf{Z}_p = \hat{\mathbf{Z}}$  has coordinate 0 at  $p \in S$  and 1 at  $p \notin S$ .

Then  $\mathfrak{p}$  is closed if and only if  $\Upsilon(\mathfrak{p})$  is principal, and

$$\Upsilon(\mathfrak{p}) = \Upsilon(\mathfrak{q}) \Leftrightarrow \mathfrak{p} \subset \mathfrak{q} \text{ or } \mathfrak{q} \subset \mathfrak{p}.$$

# The logarithm

$$u \in \mathbf{R}_{>0} \Rightarrow \log u = \left(\frac{d}{dx} u^x\right)_{x=0} = \lim_{\epsilon \rightarrow 0} \frac{u^\epsilon - 1}{\epsilon}.$$

# The logarithm

$$u \in \mathbf{R}_{>0} \Rightarrow \log u = \left(\frac{d}{dx} u^x\right)_{x=0} = \lim_{\epsilon \rightarrow 0} \frac{u^\epsilon - 1}{\epsilon}.$$

Analogously, define  $\log: \hat{\mathbf{Z}}^* \rightarrow \hat{\mathbf{Z}}$  by

$$\log u = \lim_{n \rightarrow \infty} \frac{u^{n!} - 1}{n!}.$$

This is a well-defined continuous group homomorphism.

# The logarithm

$$u \in \mathbf{R}_{>0} \Rightarrow \log u = \left(\frac{d}{dx} u^x\right)_{x=0} = \lim_{\epsilon \rightarrow 0} \frac{u^\epsilon - 1}{\epsilon}.$$

Analogously, define  $\log: \hat{\mathbf{Z}}^* \rightarrow \hat{\mathbf{Z}}$  by

$$\log u = \lim_{n \rightarrow \infty} \frac{u^{n!} - 1}{n!}.$$

This is a well-defined continuous group homomorphism.

Its kernel is  $\hat{\mathbf{Z}}_{\text{tor}}^*$ , which is the closure of the set of elements of finite order in  $\hat{\mathbf{Z}}^*$ .

Its image is  $2J = \{2x : x \in J\}$ , where  $J = \bigcap_p p\hat{\mathbf{Z}}$  is the *Jacobson radical* of  $\hat{\mathbf{Z}}$ .

# Structure of $\hat{\mathbf{Z}}^*$

The logarithm fits in a commutative diagram

$$\begin{array}{ccccccc}
 1 & \longrightarrow & \hat{\mathbf{Z}}_{\text{tor}}^* & \longrightarrow & \hat{\mathbf{Z}}^* & \xrightarrow{\log} & 2\mathbf{J} \longrightarrow 0 \\
 & & \downarrow \wr & & \parallel & & \wr \uparrow \\
 1 & \longleftarrow & (\hat{\mathbf{Z}}/2\mathbf{J})^* & \longleftarrow & \hat{\mathbf{Z}}^* & \longleftarrow & 1 + 2\mathbf{J} \longleftarrow 1
 \end{array}$$

of profinite groups, where the other horizontal maps are the natural ones, the rows are exact, and the vertical maps are *isomorphisms*.

**Corollary:**  $\hat{\mathbf{Z}}^* \cong (\hat{\mathbf{Z}}/2\mathbf{J})^* \times 2\mathbf{J}$  (as topological groups).

## More on $\hat{\mathbf{Z}}^*$

Less canonically, with  $A = \prod_{n \geq 1} (\mathbf{Z}/n\mathbf{Z})$ :

$$2J \cong \hat{\mathbf{Z}},$$

$$(\hat{\mathbf{Z}}/2J)^* \cong (\mathbf{Z}/2\mathbf{Z}) \times \prod_p (\mathbf{Z}/(p-1)\mathbf{Z}) \cong A,$$

$$\hat{\mathbf{Z}}^* \cong A \times \hat{\mathbf{Z}},$$

as topological groups, and

$$\hat{\mathbf{Z}}^* \cong A$$

as groups.

# Power series expansions

The inverse isomorphisms

$$\log: 1 + 2J \xrightarrow{\sim} 2J$$

$$\exp: 2J \xrightarrow{\sim} 1 + 2J$$

are given by power series expansions

$$\log(1 - x) = - \sum_{n=1}^{\infty} \frac{x^n}{n}, \quad \exp x = \sum_{n=0}^{\infty} \frac{x^n}{n!}$$

that converge for all  $x \in 2J$ .

The logarithm is analytic on all of  $\hat{\mathbf{Z}}^*$  in a weaker sense.

# Analyticity

Let  $x_0 \in D \subset \hat{\mathbf{Q}}$ . We call  $f: D \rightarrow \hat{\mathbf{Q}}$  *analytic in  $x_0$*  if there is a sequence  $(a_n)_{n=0}^{\infty} \in \hat{\mathbf{Q}}^{\infty}$  such that one has

$$f(x) = \sum_{n=0}^{\infty} a_n \cdot (x - x_0)^n$$

in the sense that for each prime  $p$  there is a neighborhood  $U$  of  $x_0$  in  $D$  such that for all  $x \in U$  the equality

$$\pi_p(f(x)) = \sum_{n=0}^{\infty} \pi_p(a_n) \cdot (\pi_p(x) - \pi_p(x_0))^n$$

is valid in the topological field  $\mathbf{Q}_p$ .

# Examples of analytic functions

The map  $\log: \hat{\mathbf{Z}}^* \rightarrow \hat{\mathbf{Z}} \subset \hat{\mathbf{Q}}$  is analytic in each  $x_0 \in \hat{\mathbf{Z}}^*$ , with expansion

$$\log x = \log x_0 - \sum_{n=1}^{\infty} \frac{(x_0 - x)^n}{n \cdot x_0^n}.$$

## Examples of analytic functions

The map  $\log: \hat{\mathbf{Z}}^* \rightarrow \hat{\mathbf{Z}} \subset \hat{\mathbf{Q}}$  is analytic in each  $x_0 \in \hat{\mathbf{Z}}^*$ , with expansion

$$\log x = \log x_0 - \sum_{n=1}^{\infty} \frac{(x_0 - x)^n}{n \cdot x_0^n}.$$

For each  $u \in \hat{\mathbf{Z}}^*$ , the map

$$\hat{\mathbf{Z}} \rightarrow \hat{\mathbf{Z}}^* \subset \hat{\mathbf{Q}}, \quad x \mapsto u^x$$

is analytic in each  $x_0 \in \hat{\mathbf{Z}}$ , with expansion

$$u^x = \sum_{n=0}^{\infty} \frac{(\log u)^n \cdot u^{x_0} \cdot (x - x_0)^n}{n!}.$$

# A Fibonacci example

Define  $F: \mathbf{Z}_{\geq 0} \rightarrow \mathbf{Z}_{\geq 0}$  by

$$F(0) = 0, \quad F(1) = 1, \quad F(n+2) = F(n+1) + F(n).$$

**Theorem.** *The function  $F$  has a unique continuous extension  $\hat{\mathbf{Z}} \rightarrow \hat{\mathbf{Z}}$ , and it is analytic in each  $x_0 \in \hat{\mathbf{Z}}$ .*

Notation:  $F$ .

# A Fibonacci example

Define  $F: \mathbf{Z}_{\geq 0} \rightarrow \mathbf{Z}_{\geq 0}$  by

$$F(0) = 0, \quad F(1) = 1, \quad F(n+2) = F(n+1) + F(n).$$

**Theorem.** *The function  $F$  has a unique continuous extension  $\hat{\mathbf{Z}} \rightarrow \hat{\mathbf{Z}}$ , and it is analytic in each  $x_0 \in \hat{\mathbf{Z}}$ .*

Notation:  $F$ .

For  $n \in \mathbf{Z}$ , one has

$$F(n) = n \Leftrightarrow n \in \{0, 1, 5\}.$$

## Up to eleven

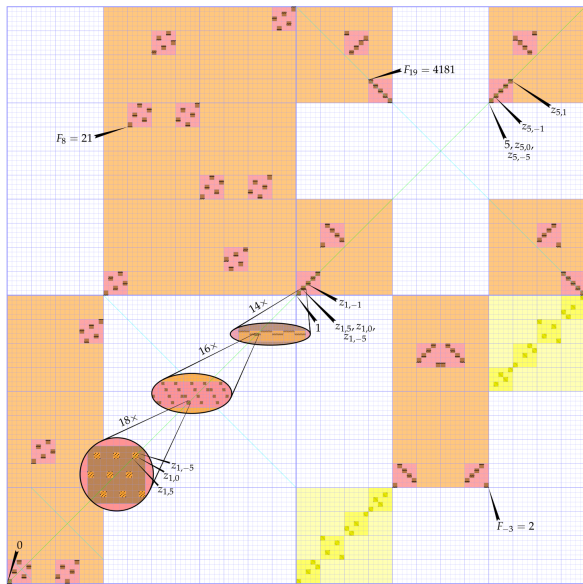
One has  $\#\{x \in \hat{\mathbf{Z}} : F(x) = x\} = 11$ .

The only *even* fixed point of  $F$  is 0, and for each  $a \in \{1, 5\}$ ,  $b \in \{-5, -1, 0, 1, 5\}$  there is a unique fixed point  $z_{a,b}$  with

$$z_{a,b} \equiv a \pmod{\bigcap_{n=0}^{\infty} 6^n \hat{\mathbf{Z}}}, \quad z_{a,b} \equiv b \pmod{\bigcap_{n=0}^{\infty} 5^n \hat{\mathbf{Z}}}.$$

*Examples:*  $z_{1,1} = 1$ ,  $z_{5,5} = 5$ .

# Illustration by Willem Jan Palenstijn



## Graphing the fixed points

The graph of  $a \mapsto F(a)$  is shown in orange/red/brown.

Intersecting the graph with the diagonal one obtains the fixed points 0 and  $z_{a,b}$ , for  $a = 1, 5$ ,  $b = -5, -1, 0, 1, 5$ .

## Graphing the fixed points

The graph of  $a \mapsto F(a)$  is shown in orange/red/brown.

Intersecting the graph with the diagonal one obtains the fixed points 0 and  $z_{a,b}$ , for  $a = 1, 5$ ,  $b = -5, -1, 0, 1, 5$ .

*Surprise:* one has  $z_{5,-5}^2 - 25 = \sum_{i=1}^{\infty} c_i i!$  with  $c_i = 0$  for  $i \leq 200$  and  $c_{201} \neq 0$ .

# Larger cycles

I believe:

$$\begin{aligned} \#\{x \in \hat{\mathbf{Z}} : F(F(x)) = x\} &= 21, \\ \#\{x \in \hat{\mathbf{Z}} : F^n(x) = x\} &< \infty \quad \text{for each } n \in \mathbf{Z}_{>0}. \end{aligned}$$

**Question:** does  $F$  have cycles of length greater than 2?

## Other linear recurrences

If  $E: \mathbf{Z}_{\geq 0} \rightarrow \mathbf{Z}$ ,  $t \in \mathbf{Z}_{>0}$ ,  $d_0, \dots, d_{t-1} \in \mathbf{Z}$  satisfy

$$\forall n \in \mathbf{Z}_{\geq 0} : E(n+t) = \sum_{i=0}^{t-1} d_i \cdot E(n+i),$$
$$d_0 \in \{1, -1\},$$

then  $E$  has a unique continuous extension  $\hat{\mathbf{Z}} \rightarrow \hat{\mathbf{Z}}$ . It is analytic in each  $x_0 \in \hat{\mathbf{Z}}$ .

## Finite cycles

Suppose also  $X^t - \sum_{i=0}^{t-1} d_i X^i = \prod_{i=1}^t (X - \alpha_i)$ , where

$$\begin{aligned} \alpha_1, \dots, \alpha_t &\in \mathbf{Q}(\sqrt{\mathbf{Q}}), \\ \alpha_j^{24} &\neq \alpha_k^{24} \quad (1 \leq j < k \leq t). \end{aligned}$$

**Tentative theorem.** *If  $n \in \mathbf{Z}_{>0}$  is such that the set*

$$S_n = \{x \in \hat{\mathbf{Z}} : E^n(x) = x\}$$

*is infinite, then  $S_n \cap \mathbf{Z}_{\geq 0}$  contains an infinite arithmetic progression.*

## Finite cycles

Suppose also  $X^t - \sum_{i=0}^{t-1} d_i X^i = \prod_{i=1}^t (X - \alpha_i)$ , where

$$\begin{aligned} \alpha_1, \dots, \alpha_t &\in \mathbf{Q}(\sqrt{\mathbf{Q}}), \\ \alpha_j^{24} &\neq \alpha_k^{24} \quad (1 \leq j < k \leq t). \end{aligned}$$

**Tentative theorem.** *If  $n \in \mathbf{Z}_{>0}$  is such that the set*

$$S_n = \{x \in \hat{\mathbf{Z}} : E^n(x) = x\}$$

*is infinite, then  $S_n \cap \mathbf{Z}_{\geq 0}$  contains an infinite arithmetic progression.*

This would imply that  $\{x \in \hat{\mathbf{Z}} : F^n(x) = x\}$  is finite for each  $n \in \mathbf{Z}_{>0}$ .