Jacobi triple product and the like via the exclusion process and the like

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Probability-Analysis Seminar Paris-Dauphine (CEREMADE) 16 March, 2021.

Jacobi triple product

Theorem Let |x| < 1 and $y \neq 0$ be complex numbers. Then

$$\prod_{i=1}^{\infty} (1-x^{2i}) \left(1+\frac{x^{2i-1}}{y^2}\right) \left(1+x^{2i-1}y^2\right) = \sum_{m=-\infty}^{\infty} x^{m^2} y^{2m}.$$

Mostly appears in number theory and combinatorics of partitions.

We'll prove it using interacting particles (for real x, y only).

Models

Asymmetric simple exclusion Zero range

Blocking measures

State space No boundaries Boundaries

Lay down - stand up

Jacobi triple product

More models





Particles try to jump

to the right with rate p, to the left with rate q = 1 - p < p.



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We need *r* non-decreasing and assume, as before, q = 1 - p < p.

Examples:

- 'Classical' ZRP: $r(\omega_i) = \mathbf{1}\{\omega_i > \mathbf{0}\}.$
- Independent walkers: $r(\omega_i) = \omega_i$.

Product blocking measures

Can we have a reversible stationary distribution in product form:

$$\underline{\mu}(\underline{\omega}) = \bigotimes_{i} \mu_{i}(\omega_{i});$$

$$\underline{\mu}(\underline{\omega}) \cdot \mathsf{rate}(\underline{\omega} \to \underline{\omega}^{i \frown i+1}) = \underline{\mu}(\underline{\omega}^{i \frown i+1}) \cdot \mathsf{rate}(\underline{\omega}^{i \frown i+1} \to \underline{\omega}) \quad ?$$

Here

$$\underline{\omega}^{i \frown i+1} = \underline{\omega} - \underline{\delta}_i + \underline{\delta}_{i+1}.$$

Asymmetric simple exclusion



Asymmetric simple exclusion



$$\underline{\mu}(\underline{\omega}) \cdot \mathsf{rate}(\underline{\omega} \to \underline{\omega}^{i \frown i+1}) = \underline{\mu}(\underline{\omega}^{i \frown i+1}) \cdot \mathsf{rate}(\underline{\omega}^{i \frown i+1} \to \underline{\omega}) \quad ?$$

AZRP:

 $\mu_i(\omega_i)\mu_{i+1}(\omega_{i+1})\cdot p\mathbf{1}\{\omega_i>0\}=\mu_i(\omega_i-1)\mu_{i+1}(\omega_{i+1}+1)\cdot q$

Solution:
$$\mu_i \sim \text{Geometric}\Big(1 - \Big(\frac{p}{q}\Big)^{i-\text{const}}\Big).$$

Notice:

$$\mathbf{P}\{\eta_i = 0\} = 1 - \varrho_i = \frac{1}{1 + (\frac{\rho}{q})^{i-c}} \qquad \text{as } i \to \infty$$
$$\mathbf{P}\{\eta_i = 1\} = \varrho_i = \frac{1}{(\frac{q}{p})^{i-c} + 1} \qquad \text{as } i \to -\infty$$

are both summable. Hence by Borel-Cantelli there is μ -a.s. a rightmost hole and a leftmost particle,

$$N := \sum_{i=1}^{\infty} (1 - \eta_i) - \sum_{i=-\infty}^{0} \eta_i$$

is μ -a.s. finite.



Also: it is conserved. Define

$$\Omega^n := \{\mathbf{0}, \mathbf{1}\}^{\mathbb{Z}} \cap \{\mathbf{N}(\underline{\eta}) = \mathbf{n}\},\$$

$$\underline{\mu}\Big(\bigcup_{n=-\infty}^{\infty}\Omega^n\Big)=1$$



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Left shift:
$$(\tau \underline{\eta})_i = \eta_{i+1}$$
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 $\tau : \Omega^n \to \Omega^{n-1}$

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but

$$\underline{\nu}^{n}(\cdot) := \underline{\mu}(\cdot \mid N(\cdot) = n)$$

doesn't depend on *c* anymore. Stationary measure on Ω^n .



Ergodic decomposition of $\underline{\mu}$.

Let's find the coefficients $\underline{\mu}(N(\cdot) = n)!$

Recall:

$$\begin{split} \varrho_{i} &= \frac{\left(\frac{p}{q}\right)^{i-c}}{1 + \left(\frac{p}{q}\right)^{i-c}} = \frac{1}{\left(\frac{q}{p}\right)^{i-c} + 1} \\ \underline{\mu}(\underline{\eta}) &= \prod_{i \leq 0} \frac{\left(\frac{p}{q}\right)^{(i-c)\eta_{i}}}{1 + \left(\frac{p}{q}\right)^{i-c}} \cdot \prod_{i>0} \frac{\left(\frac{q}{p}\right)^{(i-c)(1-\eta_{i})}}{\left(\frac{q}{p}\right)^{i-c} + 1} \\ &= \frac{\prod_{i \leq 0} \left(\frac{p}{q}\right)^{(i-c)\eta_{i}}}{\prod_{i \leq 0} \left(1 + \left(\frac{p}{q}\right)^{i-c}\right)} \cdot \frac{\prod_{i>0} \left(\frac{q}{p}\right)^{(i-c)(1-\eta_{i})}}{\prod_{i>0} \left(\left(\frac{q}{p}\right)^{i-c} + 1\right)} \end{split}$$

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$$\underline{\mu}(\underline{\tau\underline{\eta}}) = \frac{\prod_{i \leq 0} \left(\frac{\underline{p}}{q}\right)^{(i-c)\eta_{i+1}}}{\prod_{i \leq 0} \left(1 + \left(\frac{\underline{p}}{q}\right)^{i-c}\right)} \cdot \frac{\prod_{i>0} \left(\frac{\underline{q}}{p}\right)^{(i-c)\left(1-\eta_{i+1}\right)}}{\prod_{i>0} \left(\left(\frac{\underline{q}}{p}\right)^{i-c}+1\right)}$$

$$\underline{\mu}(\underline{\tau \eta}) = \frac{\prod_{i \le 0} \left(\frac{p}{q}\right)^{(i-c)\eta_{i+1}}}{\prod_{i \le 0} \left(1 + \left(\frac{p}{q}\right)^{i-c}\right)} \cdot \frac{\prod_{i > 0} \left(\frac{q}{p}\right)^{(i-c)(1-\eta_{i+1})}}{\prod_{i > 0} \left(\left(\frac{q}{p}\right)^{i-c} + 1\right)}$$
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$$\begin{split} \underline{\mu}(\underline{\tau \eta}) &= \frac{\prod_{i \leq 0} \left(\frac{p}{q}\right)^{(i-c)\eta_{i+1}}}{\prod_{i \leq 0} \left(1 + \left(\frac{p}{q}\right)^{i-c}\right)} \cdot \frac{\prod_{i > 0} \left(\frac{q}{p}\right)^{(i-c)(1-\eta_{i+1})}}{\prod_{i > 0} \left(\left(\frac{q}{p}\right)^{i-c} + 1\right)} \\ &= \frac{\prod_{i \leq 1} \left(\frac{p}{q}\right)^{(i-c-1)\eta_{i}}}{\prod_{i \leq 0} \left(1 + \left(\frac{p}{q}\right)^{i-c}\right)} \cdot \frac{\prod_{i > 1} \left(\frac{q}{p}\right)^{(i-c-1)(1-\eta_{i})}}{\prod_{i > 0} \left(\left(\frac{q}{p}\right)^{i-c} + 1\right)} \\ &= \frac{\prod_{i \leq 0} \left(\frac{p}{q}\right)^{(i-c-1)\eta_{i}}}{\prod_{i \leq 0} \left(1 + \left(\frac{p}{q}\right)^{i-c}\right)} \cdot \frac{\prod_{i > 0} \left(\frac{q}{p}\right)^{(i-c-1)(1-\eta_{i})}}{\prod_{i > 0} \left(\left(\frac{q}{p}\right)^{i-c} + 1\right)} \cdot \left(\frac{p}{q}\right)^{-c} \\ &= \frac{\prod_{i \leq 0} \left(\frac{p}{q}\right)^{(i-c)\eta_{i}}}{\prod_{i \leq 0} \left(1 + \left(\frac{p}{q}\right)^{i-c}\right)} \cdot \frac{\prod_{i > 0} \left(\frac{q}{p}\right)^{(i-c)(1-\eta_{i})}}{\prod_{i > 0} \left(\left(\frac{q}{p}\right)^{i-c} + 1\right)} \cdot \left(\frac{p}{q}\right)^{N(\underline{\eta})-c} \\ &= \underline{\mu}(\underline{\eta}) \cdot \left(\frac{p}{q}\right)^{N(\underline{\eta})-c}. \end{split}$$

$$\underline{\mu}(N=n-1)=\sum_{\underline{\eta}:N(\underline{\eta})=n-1}\underline{\mu}(\underline{\eta})$$

$$\underline{\mu}(N = n - 1) = \sum_{\underline{\eta}: N(\underline{\eta}) = n - 1} \underline{\mu}(\underline{\eta})$$
$$= \sum_{\underline{\eta}: N(\underline{\tau}\underline{\eta}) = n - 1} \underline{\mu}(\underline{\tau}\underline{\eta})$$

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So,

$$\underline{\mu}(N = n - 1) = \sum_{\underline{\eta}: N(\underline{\eta}) = n - 1} \underline{\mu}(\underline{\eta})$$
$$= \sum_{\underline{\eta}: N(\underline{\eta}) = n - 1} \underline{\mu}(\underline{\tau}\underline{\eta})$$
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$$= \underline{\mu}(N = n) \cdot \left(\frac{\underline{p}}{q}\right)^{n - c}.$$

Solution:

$$\underline{\mu}(N=n) = \frac{\left(\frac{q}{p}\right)^{\frac{n^2+n}{2}-cn}}{\sum \left(\frac{q}{p}\right)^{\frac{m^2+m}{2}-cm}}$$

discrete Gaussian.

and, if $N(\underline{\eta}) = n$,

$$\underline{\nu}^{n}(\underline{\eta}) = \underline{\mu}(\underline{\eta} \mid N(\underline{\eta}) = n) = \frac{\underline{\mu}(\underline{\eta})}{\mu(N(\underline{\eta}) = n)}$$
$$= \frac{\prod_{i \leq 0} \left(\frac{p}{q}\right)^{(i-c)\eta_{i}}}{\prod_{i \leq 0} \left(1 + \left(\frac{p}{q}\right)^{i-c}\right)} \cdot \frac{\prod_{i>0} \left(\frac{q}{p}\right)^{(i-c)(1-\eta_{i})}}{\prod_{i>0} \left(\left(\frac{q}{p}\right)^{i-c} + 1\right)} \cdot \frac{\sum_{i>0} \left(\frac{q}{p}\right)^{\frac{m^{2}+m}{2}-cm}}{\left(\frac{q}{p}\right)^{\frac{m^{2}+m}{2}-cn}}.$$

This is the unique stationary distribution on Ω^n .

State space: AZRP

Recall: Stationary distribution with marginals

$$\mu_i \sim \operatorname{Geometric}\Big(1 - \Big(rac{p}{q}\Big)^{i-\operatorname{const}}\Big).$$

 \rightarrow we have a problem: cannot do this for all *i*! We'll pick const = 1 and have a *right boundary* instead.



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State space: AZRP

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 \rightarrow we have a problem: cannot do this for all *i*! We'll pick const = 1 and have a *right boundary* instead.



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→ The product measure stays stationary on the half-line.



























ASEP



-2 -1 0 i









































































since stationary distributions of countable irreducible Markov chains are unique.

Jacobi triple product


Jacobi triple product

$$\prod_{i \le 0} \left(1 - \left(\frac{p}{q}\right)^{i-1}\right) \cdot \prod_{i \le 0} \left(1 + \left(\frac{p}{q}\right)^{i-c}\right) \cdot \prod_{i > 0} \left(\left(\frac{q}{p}\right)^{i-c} + 1\right) = \sum_{m = -\infty}^{\infty} \left(\frac{q}{p}\right)^{\frac{m^2 + m}{2} - cm}$$

LHS:

$$\begin{split} \prod_{i=1}^{\infty} (1 - (\frac{q}{p})^{i}) \cdot (1 + (\frac{q}{p})^{i-1+c}) \cdot ((\frac{q}{p})^{i-c} + 1) \\ = \prod_{i=1}^{\infty} (1 - x^{2i}) \left(1 + \frac{x^{2i-1}}{y^{2}}\right) (1 + x^{2i-1}y^{2}) \end{split}$$

with
$$\mathbf{x} = \left(\frac{q}{\rho}\right)^{\frac{1}{2}}, \mathbf{y} = \left(\frac{q}{\rho}\right)^{\frac{1}{4} - \frac{c}{2}}.$$

RHS:

$$\sum_{m=-\infty}^{\infty} \left(\frac{q}{\rho}\right)^{\frac{m^2}{2}} \left(\frac{q}{\rho}\right)^{m(\frac{1}{2}-c)} = \sum_{m=-\infty}^{\infty} x^{m^2} y^{2m}.$$





















































































annihilation



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... and the same steps to the left.





























No two consecutive 0's!

Odd ground state:



Even ground state:



No two consecutive 0's! is a nonlocal constraint.

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- The stood up model is nice otherwise. It has reversible product blocking measures.

- ▶ No two consecutive 0's! is a nonlocal constraint.
- The stood up model is nice otherwise. It has reversible product blocking measures.
- Reversible measures survive forbidden jumps. Yay!

New identities

The identities we get have to do with *generalised Frobenius partitions* and *generalised Young diagrams*.

ASEP(q, 1) Carinci, Giardiná, Redig, Sasamoto:

Jump	Rate	Jump	Rate
$\oplus \rightarrow$	$q^{-1} + q^{-3}$	$\leftarrow \oplus$	$q+q^3$
$\leftarrow \ominus$	$q^{-1} + q^{-3}$	$\ominus \rightarrow$	$q+q^3$
$\emptyset \leadsto \ominus \oplus$	q^{-3}	$\emptyset \rightsquigarrow \oplus \ominus$	q^3
$\oplus \ominus \leadsto \emptyset$	$(1+q^2)(q^{-1}+q^{-3})$	$\ominus \oplus \leadsto \emptyset$	$(1+q^{-2})(q+q^3)$

Identity: Has to do with the odd and even terms of Jacobi's triple product.

New identities

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A nice three-state model:

Jump	Rate	Jump	Rate
$\oplus \rightarrow$	1	$\leftarrow \oplus$	q
$\leftarrow \ominus$	1	$\ominus \rightarrow$	q
$\emptyset \rightsquigarrow \ominus \oplus$	С	$\emptyset \leadsto \oplus \ominus$	qc
$\oplus \ominus \leadsto \emptyset$	2	$\ominus \oplus \leadsto \emptyset$	2q

Identity: Has to do with the square of Jacobi's triple product.

New identities

The identities we get have to do with *generalised Frobenius partitions* and *generalised Young diagrams*.

2-exclusion:

Jump	Rate	Jump	Rate
$\oplus \rightarrow$	1	$\leftarrow \oplus$	q
$\leftarrow \ominus$	1	$\ominus \rightarrow$	q
$\emptyset \leadsto \ominus \oplus$	1	$\emptyset \leadsto \oplus \ominus$	q
$\oplus \ominus \leadsto \emptyset$	1	$\ominus \oplus \leadsto \emptyset$	q

Identity: Looks new and interesting...
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Identity: Rather nice generalisation using the Kth roots of unity.

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Thank you.