

NON-PLANARITY OF $\mathrm{SL}(2, \mathbb{Z})$ -ORBITS OF ORIGAMIS IN $\mathcal{H}(2)$

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ABSTRACT. We consider the $\mathrm{SL}(2, \mathbb{Z})$ -orbits of primitive n -squared origamis in the stratum $\mathcal{H}(2)$. In particular, we consider the 4-valent graphs obtained from the action of $\mathrm{SL}(2, \mathbb{Z})$ with respect to a generating set of size two. We prove that, apart from the orbit for $n = 3$ and one of the orbits for $n = 5$, all of the obtained graphs are non-planar. Specifically, in each of the graphs we exhibit a $K_{3,3}$ minor, where $K_{3,3}$ is the complete bipartite graph on two sets of three vertices.

1. INTRODUCTION

An origami is an orientable connected surface obtained from a finite collection of unit squares in \mathbb{R}^2 by identifying by translation left-hand sides with right-hand sides and top sides with bottom sides. Equivalently, an origami is a connected Riemann surface X equipped with a holomorphic one-form ω such that X is obtained as a finite cover $\pi : X \rightarrow \mathbb{T}^2 := \mathbb{C}/(\mathbb{Z} + i\mathbb{Z})$ branched only at the origin $\mathbf{0} \in \mathbb{T}^2$ and $\omega = \pi^*(dz)$.

Origamis are a special case of a more general object called a translation surface – a connected Riemann surface X equipped with a non-zero holomorphic one-form ω . The moduli space of all translation surfaces of a fixed genus g is denoted by \mathcal{H}_g . By the Riemann-Roch Theorem, the orders of the zeros of a holomorphic one-form on a genus g Riemann surface must sum to $2g - 2$. As such, the moduli space \mathcal{H}_g is naturally stratified as

$$\mathcal{H}_g = \bigsqcup_{\substack{(k_1, \dots, k_s), k_i \geq 1 \\ \sum k_i = 2g - 2}} \mathcal{H}(k_1, \dots, k_s),$$

where the stratum $\mathcal{H}(k_1, \dots, k_s)$ is the subset of \mathcal{H}_g consisting of those translation surfaces (X, ω) where ω has s zeros of orders k_1, \dots, k_s . Each stratum is a complex orbifold of dimension $2g + s - 1$, and in a natural coordinate system on these strata origamis can be thought of as the integer lattice points. This point of view was utilised by Eskin-Okounkov [2] and Zorich [11] to calculate the volumes of strata with respect to a natural measure. For more details on translation surfaces and their applications, we direct the reader to the surveys of Forni and the second author [3], Yoccoz [9], and Zorich [12].

Each stratum of translation surfaces admits a natural action of $\mathrm{SL}(2, \mathbb{R})$ which restricts to an action of $\mathrm{SL}(2, \mathbb{Z})$ on the origamis in that stratum. In this paper, we consider the $\mathrm{SL}(2, \mathbb{Z})$ -orbits of primitive n -squared origamis in the stratum $\mathcal{H}(2)$. These orbits were classified in the works of McMullen [7] and Hubert-Lelièvre [4]. We note that an origami in $\mathcal{H}(2)$ requires at least 3 squares. For $n = 3$ or $n \geq 4$

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even there is a single $\mathrm{SL}(2, \mathbb{Z})$ -orbit of primitive n -squared origamis in $\mathcal{H}(2)$. For $n \geq 5$ odd, there are two $\mathrm{SL}(2, \mathbb{Z})$ -orbits of primitive n -squared origamis in $\mathcal{H}(2)$. These two orbits, defined more explicitly in Subsection 2.2, are called the A- and B-orbits, respectively. By considering $\mathrm{SL}(2, \mathbb{Z}) = \langle T, S \rangle$, where

$$T = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix} \quad \text{and} \quad S = \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix},$$

each $\mathrm{SL}(2, \mathbb{Z})$ -orbit can be realised as a finite 4-valent graph which we will denote by \mathcal{G}_n for $n = 3$ or $n \geq 4$ even, and for $n \geq 5$ odd by \mathcal{G}_n^A , or \mathcal{G}_n^B for the A- and B-orbits, respectively.

It is a conjecture of McMullen that this family of graphs forms a family of expanders. Here we prove that, apart from \mathcal{G}_3 and \mathcal{G}_5^B , these graphs are non-planar. That is, our main result is the following.

Theorem 1.1. *The graphs \mathcal{G}_n , \mathcal{G}_n^A , and \mathcal{G}_n^B are all non-planar with the exception of \mathcal{G}_3 and \mathcal{G}_5^B .*

This provides indirect evidence for McMullen's conjecture. Indeed, it follows from the separator theorem for planar graphs of Lipton-Tarjan [6] that planar graphs cannot form an expander family. We direct the reader to the exposition of this fact in de Courcy-Ireland's recent work [1, Section 5] demonstrating that, for certain primes p , Markoff graphs modulo p – another conjectured expander family – are non-planar.

Our proof relies on the theorem of Wagner [8] and Kuratowski [5] characterising planar graphs in terms of forbidden minors. Recall that a graph H is realised as a minor inside a graph G if one can perform a sequence of edge-contractions, edge-deletions, and deletions of isolated vertices in order to transform the graph G into the graph H . Wagner and Kuratowski's characterisation can then be worded as follows: a graph G is planar if and only if it does not contain a K_5 or $K_{3,3}$ minor, where K_5 is the complete graph on five vertices and $K_{3,3}$ is the complete bipartite graph on two sets of three vertices. We prove the non-planarity claim of Theorem 1.1 by realising a $K_{3,3}$ minor in each case.

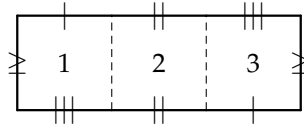
The planar graphs \mathcal{G}_3 and \mathcal{G}_5^B are shown in Figures 3.1 and 3.2 at the end of Section 3.

2. ORIGAMI PRELIMINARIES

Here we give an introduction to origamis and the classification of their $\mathrm{SL}(2, \mathbb{Z})$ -orbits in the stratum $\mathcal{H}(2)$. In particular, we will discuss their algebraic description using pairs of permutations which we will make use of in the remainder of the paper.

2.1. Origamis. An origami is an orientable connected surface obtained from a collection of unit squares in \mathbb{R}^2 by identifying by translation left-hand sides with right-hand sides and top sides with bottom sides. See for example the surface in Figure 2.1 where sides with the same label are identified by translation.

An n -squared origami can also be described by two permutations h and v in the symmetric group $\mathrm{Sym}(n)$. These permutations are obtained as follows. Firstly, we number the squares from 1 to n . We then define h to be the element of $\mathrm{Sym}(n)$ such that $h(i) = j$ if and only if the right-hand side of the square labelled by i is identified with the left-hand side of the square labelled by j . Similarly, we define v

FIGURE 2.1. An origami in $\mathcal{H}(2)$

to be the permutation satisfying $v(i) = j$ if and only if the top side of the square labelled by i is glued to the bottom side of the square labelled by j . For example, the origami in Figure 2.1 can be described by the pair $(h, v) = ((1, 2, 3), (1, 3))$. Since a different labelling of the squares could produce a different pair of permutations, an origami actually corresponds to a pair (h, v) considered up to simultaneous conjugation of h and v . However, we will abuse notation in this article and denote an origami simply by the pair (h, v) . When we need to make it clear, we will use the notation $(h, v) \simeq (h', v')$ if the pairs are equivalent by simultaneous conjugation.

We will not describe the constructions of strata of translations surfaces in this paper. Instead, we direct the reader to the expositions found in the surveys discussed in the introduction. For our purposes, it will suffice to say that an origami $O = (h, v)$ lies in the stratum $\mathcal{H}(k_1, \dots, k_s)$ if when writing the commutator $[h, v] = hvh^{-1}v^{-1}$ as a product of disjoint non-trivial cycles we obtain s cycles of lengths $k_1 + 1, \dots, k_s + 1$. For example, the origami in Figure 2.1 has $[h, v] = (1, 3, 2)$ consisting of a single 3-cycle and lies in the stratum $\mathcal{H}(2)$. Similarly, the origami $O' = ((2, 3, 4), (1, 2))$ has commutator $(1, 2, 3)$ and hence also lies in $\mathcal{H}(2)$.

We will also make use of the monodromy group $\sigma(O)$ of an origami O which is defined to be the subgroup of $\text{Sym}(n)$ generated by h and v ; that is, $\sigma((h, v)) = \langle h, v \rangle$. An origami is then said to be primitive if its monodromy group is a primitive subgroup of $\text{Sym}(n)$. For more on the translation between the algebraic and topological descriptions of origamis we direct the reader to the thesis of Zmi-aikou [10].

We remark that the definitions of the monodromy group and of the stratum of an origami are well-defined in the sense that they are invariant under simultaneous conjugation of h and v .

2.2. Orbit classification. We now discuss the action of $SL(2, \mathbb{Z})$ on origamis and the classification of orbits in the stratum $\mathcal{H}(2)$.

The group $SL(2, \mathbb{Z})$ acts on origamis by its natural action on the plane. Indeed, up to cutting and pasting, unit squares are mapped to unit squares and parallel sides are sent to parallel sides. Consider $SL(2, \mathbb{Z}) = \langle T, S \rangle$, where

$$T = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix} \quad \text{and} \quad S = \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}.$$

It can then be checked that

$$T((h, v)) = (h, vh^{-1}),$$

and

$$S((h, v)) = (hv^{-1}, v).$$

In particular, it follows that the number of squares, the stratum, the primitivity, and the monodromy group of an origami are invariant under the action of

$SL(2, \mathbb{Z})$. As such, it makes sense to discuss the $SL(2, \mathbb{Z})$ -orbits of primitive n -squared origamis in a given stratum.

It is a consequence of the classification of $SL(2, \mathbb{Z})$ -orbits of primitive origamis in $\mathcal{H}(2)$ due to McMullen [7] and Hubert-Lelièvre [4] that the monodromy group is in fact a strong $SL(2, \mathbb{Z})$ -invariant. That is, we have the following statement.

Theorem 2.1. *Let $n = 3$ or let $n \geq 4$ be even. Then there is a single $SL(2, \mathbb{Z})$ -orbit of primitive n -squared origamis in $\mathcal{H}(2)$. Every origami in this orbit has monodromy group the full symmetric group $Sym(n)$.*

Let $n \geq 5$ be odd. Then there are two $SL(2, \mathbb{Z})$ -orbits, the A-orbit and the B-orbit, of primitive n -squared origamis in $\mathcal{H}(2)$. Every origami in the A-orbit has monodromy group the full symmetric group $Sym(n)$, while every origami in the B-orbit has monodromy group the alternating group $Alt(n)$.

3. CONSTRUCTION OF THE MINOR

In this section, we construct the $K_{3,3}$ minors in each of the orbits.

3.1. Even squared orbits. Let $n \geq 4$ be even and define the origamis O_1, \dots, O_6 as follows:

$$\begin{aligned} O_1 &= ((n-1, n), (1, 2, \dots, n-1)), \\ O_2 &= ((1, 2, \dots, n), (1, n-1, n-3, \dots, 3, n, n-2, \dots, 2)), \\ O_3 &= ((1, 2, \dots, n), (n-1, n)), \\ O_4 &= ((1, 2, \dots, n), (2, 3, \dots, n)), \\ O_5 &= ((1, 2, \dots, n), (2, n, n-1, \dots, 3)), \quad \text{and} \\ O_6 &= ((2, 3, \dots, n), (1, 2, n, n-1, \dots, 3)). \end{aligned}$$

By calculating $[h, v]$ in each of the above cases, it is easy to check that these origamis lie in $\mathcal{H}(2)$. We then have the following.

Proposition 3.1. *Let $n \geq 4$ be even and define O_1, \dots, O_6 as above. Then there exists a $K_{3,3}$ minor in \mathcal{G}_n with bipartition $\{\{O_1, O_2, O_3\}, \{O_4, O_5, O_6\}\}$.*

Proof. Let \bar{ij} denote the path in the $K_{3,3}$ minor between O_i and O_j . We claim that the following paths realise the minor:

$$\begin{aligned} \bar{14} &= S^{-1}, \\ \bar{15} &= S, \\ \bar{16} &= S \circ T, \\ \bar{24} &= T^{n-3}, \\ \bar{25} &= T^{-1}, \\ \bar{26} &= T^{-(n-4)} \circ S^{-1}, \\ \bar{34} &= T^{-1}, \\ \bar{35} &= T, \quad \text{and} \\ \bar{36} &= T \circ S. \end{aligned}$$

We need only check that these paths do connect the claimed origamis and that they are pairwise disjoint.

It is readily checked that we have

$$\overline{14} = \{O_1, O_4\}, \quad \overline{15} = \{O_1, O_5\}, \quad \overline{25} = \{O_2, O_5\}, \quad \overline{34} = \{O_3, O_4\},$$

and

$$\overline{35} = \{O_3, O_5\}.$$

Similarly, we have

$$\overline{16} = \{O_1, O', O_6\},$$

where

$$O' = ((n-1, n), (1, 2, \dots, n)),$$

and

$$\overline{36} = \{O_3, O'', O_6\},$$

where

$$O'' = ((1, 2, \dots, n-1), (n-1, n)) \simeq ((2, 3, \dots, n), (1, 2)).$$

Finally, we must check the paths $\overline{24}$ and $\overline{26}$. We observe that the origami O_4 has a T -orbit of length n which begins with O_4, O_3, O_5 , and O_2 . The remaining $n-4$ points of the orbit form the path $\overline{24}$ and, since the conjugacy class of the first permutation remains unchanged along this orbit, we see that this path is disjoint from all of the paths considered so far.

For $\overline{26}$, we observe that

$$\begin{aligned} S^{-1}(O_2) &= ((1, n, n-1, \dots, 3), (1, n-1, n-3, \dots, 3, n, n-2, \dots, 2)) \\ &\simeq ((2, 3, \dots, n), (1, 2, 4, \dots, n, 3, 5, \dots, n-1)). \end{aligned}$$

Observe that for $n=4$ we have $S^{-1}(O_2) = O_6$. We will assume $n \geq 6$ in the remainder. It can then be checked that $S^{-1}(O_2)$ lies in the same T -orbit as O_6 . However, $O'' = T^{-1}(O_6) = T^2 \circ S^{-1}(O_2)$ and so, to avoid O'' , we must complete the path $\overline{36}$ using powers of T^{-1} . The T -orbit of O_6 is of size $n-1$ and so we require $T^{-(n-4)}$ to take $S^{-1}(O_2)$ to O_6 . As O'' is the only origami in the T -orbit of O_6 that we have used already (apart from O_6 , of course) we see that this path is disjoint from all of those we have considered above. This completes the proof. \square

3.2. A-orbits. Let $n \geq 5$ be odd and define the origamis O_1, \dots, O_6 as follows:

$$\begin{aligned} O_1 &= ((n-1, n), (1, 2, \dots, n-1)), \\ O_2 &= ((1, 2, \dots, n), (1, n-1, n-3, \dots, 2)(n, n-2, \dots, 3)), \\ O_3 &= ((1, 2, \dots, n), (n-1, n)), \\ O_4 &= ((1, 2, \dots, n), (2, 3, \dots, n)), \\ O_5 &= ((1, 2, \dots, n), (2, n, n-1, \dots, 3)), \quad \text{and} \\ O_6 &= ((2, 3, \dots, n), (1, 2, n, n-1, \dots, 3)). \end{aligned}$$

By calculating $[h, v]$ in each of the above cases, it is easy to check that these origamis lie in $\mathcal{H}(2)$. Furthermore, they all have their monodromy group being the symmetric group and so they lie in the A-orbits. We then have the following.

Proposition 3.2. *Let $n \geq 5$ be odd and define O_1, \dots, O_6 as above. Then there exists a $K_{3,3}$ minor in \mathcal{G}_n^A with bipartition $\{\{O_1, O_2, O_3\}, \{O_4, O_5, O_6\}\}$, where the paths are given exactly as in the proof of Proposition 3.1.*

Proof. The argument of Proposition 3.1 follows exactly subject to the modification of O_2 . The only other change required is that now

$$S^{-1}(O_2) = ((2, 3, \dots, n), (1, 2, 4, \dots, n-1)(3, 5, \dots, n)).$$

□

3.3. B-orbits. Let $n \geq 7$ be odd and define the origamis O_1, \dots, O_6 as follows:

$$\begin{aligned} O_1 &= ((n-2, n-1, n), (1, 2, \dots, n-2)), \\ O_2 &= ((n-2, n-1, n), (1, 2, \dots, n)), \\ O_3 &= ((3, 4, \dots, n), (1, 2, 3)), \\ O_4 &= ((n-2, n-1, n), (1, 2, \dots, n-2, n, n-1)), \\ O_5 &= ((3, 4, \dots, n), (1, 2, \dots, n)), \text{ and} \\ O_6 &= ((3, 4, \dots, n), (1, 2, 3, n, n-1, \dots, 4)). \end{aligned}$$

Again, it is easy to check that these origamis lie in $\mathcal{H}(2)$. Furthermore, they all have their monodromy group being the alternating group and so they lie in the B-orbits. We then have the following.

Proposition 3.3. *Let $n \geq 7$ be odd and define O_1, \dots, O_6 as above. Then there exists a $K_{3,3}$ minor in \mathcal{G}_n^B with bipartition*

$$\{\{O_1, O_2, O_3\}, \{O_4, O_5, O_6\}\}.$$

Proof. Let \bar{ij} denote the path in the $K_{3,3}$ minor between O_i and O_j . We claim that the following paths realise the minor:

$$\begin{aligned} \bar{14} &= T, \\ \bar{15} &= S \circ T^{-1} \circ S^2, \\ \bar{16} &= S^{-1} \circ T \circ S^{-2}, \\ \bar{24} &= T^{-1}, \\ \bar{25} &= T \circ S^{-1} \circ T^{-(n-3)} \circ S^{-1}, \\ \bar{26} &= S, \\ \bar{34} &= S^{-1} \circ T \circ S, \\ \bar{35} &= T^{-1}, \text{ and} \\ \bar{36} &= T. \end{aligned}$$

We need only check that these paths do connect the claimed origamis and that they are pairwise disjoint.

It is readily checked that we have

$$\bar{14} = \{O_1, O_4\}, \bar{24} = \{O_2, O_4\}, \bar{26} = \{O_2, O_6\}, \bar{35} = \{O_3, O_5\},$$

and

$$\bar{36} = \{O_3, O_6\}.$$

Similarly, we have

$$\bar{15} = \{O_1, O', O'', O''', O_5\},$$

where

$$O' = ((1, 2, \dots, n), (3, n, n-1, \dots, 4)),$$

$$O'' = ((1, 2, \dots, n), (3, n - \frac{n-3}{2}, n, n-1 - \frac{n-3}{2}, n-1, \dots, 4, 4 + \frac{n-3}{2})),$$

and

$$O''' = ((1, 2, \dots, n), (1, 2, 2 + \frac{n-1}{2}, 3, 3 + \frac{n-1}{2}, \dots, n - \frac{n-1}{2}, n)),$$

$$\overline{16} = \{O_1, O^{IV}, O^V, O^{VI}, O_6\},$$

where

$$O^{IV} = ((1, 2, \dots, n), (3, 4, \dots, n)),$$

$$O^V = ((1, 2, \dots, n), (3, 4 + \frac{n-3}{2}, 4, 5 + \frac{n-3}{2}, 5, \dots, n, n - \frac{n-3}{2})),$$

and

$$O^{VI} = ((1, 2, \dots, n), (1, \frac{n+3}{2}, n, n - \frac{n-1}{2}, n-1, n - \frac{n+1}{2}, \dots, 3 + \frac{n-1}{2}, 3, 2)),$$

and

$$\overline{34} = \{O_3, O^{VII}, O^{VIII}, O_4\},$$

where

$$O^{VII} = ((1, 2, \dots, n), (n-2, n, n-1)),$$

and

$$O^{VIII} = ((1, 2, \dots, n), (1, n-1, n, n-2, n-3, \dots, 2)).$$

Finally, we must check the path $\overline{25}$. We have

$$S^{-1}(O_2) = ((1, 2, \dots, n), (1, 2, \dots, n-3, n-1, n-2, n)),$$

$$T^{-1}(O_5) = ((3, 4, \dots, n), (1, 2, 3, 5, \dots, n, 4, 6, \dots, n-1)),$$

and

$$S \circ T^{-1}(O_5) = ((1, 2, \dots, n), (1, n-1, n-3, \dots, 4, n, n-2, \dots, 3, 2)).$$

It can be checked that $S^{-1}(O_2)$ and $S \circ T^{-1}(O_5)$ lie in the same T -orbit. However, $O' = T^{-1} \circ S \circ T^{-1}(O_5) = T^2 \circ S^{-1}(O_2)$. As such, we must complete the path using powers of T^{-1} . The T -orbit of $S^{-1}(O_2)$ is of length n and so we require $T^{-(n-3)}$ to take $S^{-1}(O_2)$ to $S \circ T^{-1}(O_5)$. It can also be checked that no more of the origamis we have already considered are contained in this T -orbit and so this path is disjoint from those we have constructed above, and so we are done. \square

The graphs in Figures 3.1 and 3.2 demonstrating the planarity of \mathcal{G}_3 and \mathcal{G}_5^B complete the work of this section and the proof of Theorem 1.1.

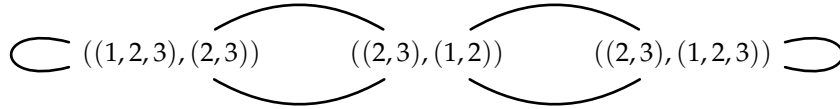
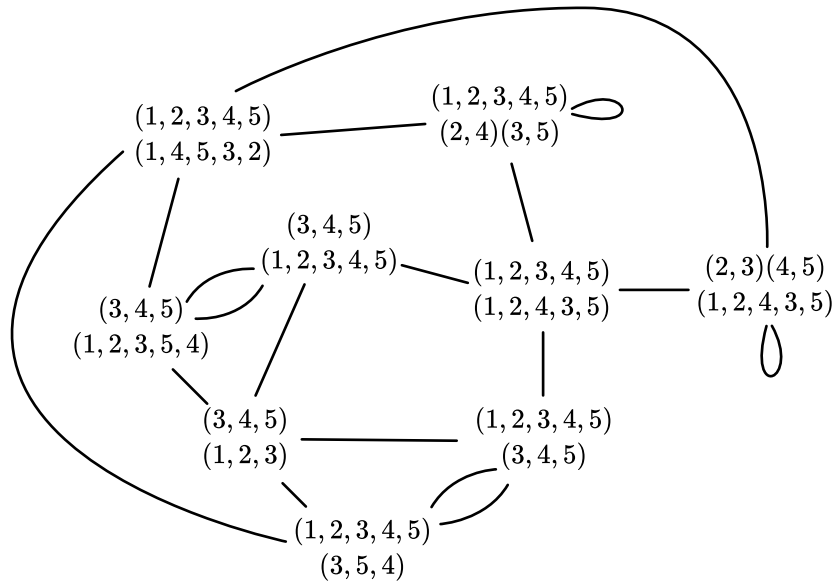


FIGURE 3.1. The graph \mathcal{G}_3 .

FIGURE 3.2. The graph \mathcal{G}_5^B .

4. FURTHER QUESTIONS

We finish with two natural questions. Firstly:

Question 4.1. *Can one find generalisations of these structures that exist in the $SL(2, \mathbb{Z})$ -orbits of primitive origamis in different strata?*

We remark that the classification of these $SL(2, \mathbb{Z})$ -orbits is open in general.

Secondly, the work of de Courcy-Ireland for Markoff graphs modulo p gave, for certain primes p , a construction of a $K_{3,3}$ minor whose path lengths did not depend on the prime p . They called such a construction a ‘local’ construction. In our case, this would correspond to finding a $K_{3,3}$ minor in \mathcal{G}_n whose paths have lengths that do not depend on n . As such, we ask the following.

Question 4.2. *Does there exist a ‘local’ construction of a $K_{3,3}$ (or K_5) minor in the $SL(2, \mathbb{Z})$ -orbits of primitive origamis in $\mathcal{H}(2)$?*

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