

# Matrix superalgebras and lattice isometry

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# What is a superalgebra?

Let  $A$  and  $B$  be vector subspaces of some algebra  $X$ , we say they form a superalgebra over  $X$  if:

- $A \oplus B = X$ .
- $AA \subset A, BB \subset A, AB \subset B, BA \subset B$ .
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Our goal for the next few slides is to give a concrete example of a superalgebra over  $M_n(K)$ , for  $K$  a field with  $\text{char}(K) = 0$ , first constructed by Hill, Lettington & Schmidt in 2017.

# Defining $S_n$

Let a matrix  $M$  be in  $S_n \subset M_n(K)$  if

$$\sum_{i=1}^n M_{ij} = \sum_{i=1}^n M_{ji} = w \text{ for all } 1 \leq j \leq n.$$

Equivalently, taking  $1_n = \begin{pmatrix} 1 \\ \vdots \\ 1 \end{pmatrix} \in \mathbb{Z}^n$ , we can write this as

$$1_n^T M = w 1_n^T, \quad M 1_n = w 1_n.$$

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Now, taking  $\langle \cdot, \cdot \rangle$  to be the standard bilinear form  $\langle a, b \rangle = a^T b$  for  $a, b \in K^n$ , we also have that:

$$M \in S_n \iff \langle u, M 1_n \rangle = 0, \quad \langle 1_n, M u \rangle = 0 \quad \forall u \in \{1_n\}^\perp.$$

# Properties of $S_n$

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- Define the weight of a matrix  $M$  to be

$$\text{wt}(M) = \frac{1}{n^2} \sum_{i,j=1}^n M_{ij} = \frac{1}{n^2} 1_n^T M 1_n.$$



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- Then  $M \in S_n$  if and only if we can write:

$$M = M_0 + \text{wt}(M)\varepsilon_n$$

where  $M_0$  is such that  $\text{wt}(M_0) = 0$  and  $\varepsilon_n$  is the  $n \times n$  all 1s matrix ( $\varepsilon_n = 1_n 1_n^T$ ).

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- $\dim(S_n) = n^2 - 2(n - 1) = n^2 - 2n + 2.$

## $S_2$ example

Consider  $S_2 \subset M_2(K)$ , then  $S_2 = \text{span} \left( \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} \right)$ .

Then,  $\text{wt} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \frac{1}{2}$  and we can write:

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \underbrace{\frac{1}{2} \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix}}_{M_0} + \underbrace{\frac{1}{2} \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}}_{\text{wt}(M)\varepsilon_n}$$

# Defining $V_n$

Let a matrix  $M$  be in  $V_n \subset M_n(K)$  if

$$M_{ij} + M_{kl} = M_{il} + M_{kj}, \quad i, j, k, l \in \{1, \dots, n\} \quad \text{and} \quad \sum_{i,j=1}^n M_{ij} = 0$$

$$\begin{pmatrix} * & * & * \\ * & * & * \\ * & * & * \end{pmatrix} \begin{pmatrix} * & * & * \\ * & * & * \\ * & * & * \end{pmatrix} \begin{pmatrix} * & * & * \\ * & * & * \\ * & * & * \end{pmatrix}$$

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Using the bilinear form  $\langle \cdot, \cdot \rangle$ , we have:

$$M \in V_n \iff \langle u, Mv \rangle = 0 \quad \forall u, v \in \{1_n\}^\perp, \quad \langle 1_n, M1_n \rangle = 0.$$

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- $\dim(V_n) = 2n - 2$ .



## $V_2$ example

Consider  $V_2 \subset M_2(K)$ , then  $V_2 = \text{span} \left( \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \right)$ . Then,

$$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \underbrace{\frac{1}{2} \begin{pmatrix} 1 \\ -1 \end{pmatrix} (1 \quad 1)}_{a1_n^T} + \underbrace{\frac{1}{2} \begin{pmatrix} 1 \\ 1 \end{pmatrix} (1 \quad -1)}_{1_n b^T}$$

$$\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1 \\ -1 \end{pmatrix} (1 \quad 1) + \frac{1}{2} \begin{pmatrix} 1 \\ 1 \end{pmatrix} (-1 \quad 1)$$

# Recap

 $S_n$  $V_n$ 

$$u^T M 1_n = 1_n^T M u = 0 \quad \forall u \in \{1_n\}^\perp$$

$$u^T M v = 1_n^T M 1_n = 0 \quad \forall u, v \in \{1_n\}^\perp$$

$$M_0 + \text{wt}(M)\varepsilon_n$$

$$a 1_n^T + 1_n b^T \text{ for some } a, b \in \{1_n\}^\perp$$

$$\dim(S_n) = n^2 - 2n + 2$$

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Can we show that  $M_n(K) = S_n \oplus V_n$ ?

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- $\dim(S_n) + \dim(V_n) = n^2 = \dim(M_n(K))$
- Need to show:  $S_n \cap V_n = \mathbf{0}_n$



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Assume  $M \in S_n \cap V_n$  then, for all  $u, v \in \{1_n\}^\perp$ ,  $M$  satisfies:

- 1  $\langle u, M1_n \rangle = 0$
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Equation (2)  $\implies Mu$  is orthogonal to  $1_n$ .

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So, for all  $u \in \{1_n\}^\perp$ ,  $Mu$  must be orthogonal to all of  $K^n$ , hence  $Mu = 0$ .

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Using a similar argument with equations (1) and (4), we have that  $M1_n = 0$ .

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Assume  $M \in S_n \cap V_n$  then, for all  $u, v \in \{1_n\}^\perp$ ,  $M$  satisfies:

$$\textcircled{1} \quad \langle u, M1_n \rangle = 0$$

$$\textcircled{2} \quad \langle 1_n, Mu \rangle = 0$$

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$$\textcircled{4} \quad \langle 1_n, M1_n \rangle = 0$$

Equation (2)  $\implies$   $Mu$  is orthogonal to  $1_n$ .

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So, for all  $u \in \{1_n\}^\perp$ ,  $Mu$  must be orthogonal to all of  $K^n$ , hence  $Mu = 0$ .

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Since  $\text{span}(1_n, \{1_n\}^\perp) = K^n$ , we know  $Ma = 0$  for all  $a \in K^n$ , hence  $M = \mathbf{0}_n$ .

Hence,  $S_n \oplus V_n = M_n(K)$ .

# Superalgebra property

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Let  $S_1, S_2 \in S_n$ , then

$$\langle 1_n, S_1 S_2 u \rangle = 1_n^T S_1 S_2 u = w_1 1_n^T S_2 u = 0.$$



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$\implies S_1 S_2 \in S_n$ . Others follow similarly, so  $S_n$  and  $V_n$  form a superalgebra.

For any matrix  $M \in M_n(K)$ , we can decompose  $M$  as  $M = S + V$  for some  $S \in S_n$  and  $V \in V_n$ . In particular,

$$M = M_0 + \text{wt}(M)\varepsilon_n + a 1_n^T + 1_n b^T$$

# Lattice isometry problem

- Take two rank  $n$   $\mathbb{Z}$ -lattices  $\Lambda_M$  and  $\Lambda_B$  with associated Gram matrices  $M, B \in GL_n(\mathbb{Z})$ .
- If  $\Lambda_M$  and  $\Lambda_B$  are isometric, then we can write  $M = N^T B N$  for some  $N \in GL_n(\mathbb{Z})$ .
- We want to use the superalgebra structure on the above equation to try to determine if its possible for the lattices to be isometric. In the case  $B = I_n$ , Higham, Lettington & Schmidt (2021) studied this problem to see when  $M = N^T N$ .

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**Idea:** Decompose  $M$  into  $S_n$  and  $V_n$  parts and compare with the  $S_n$  and  $V_n$  parts of  $N^T B N$ .

To do this, we need to take  $K = \mathbb{Q}$  and generalise the ideas of  $S_n$  and  $V_n$ .

# The new superalgebra

Take  $B$  to be a symmetric, positive definite matrix in  $GL_n(\mathbb{Z})$ . Define  $\langle \cdot, \cdot \rangle_B$  to be the vector inner product  $\langle a, b \rangle_B = a^T B b$ . Then

$$S_{n,B} = \{M \in M_n(\mathbb{Q}) : \langle u, M1_n \rangle_B = 0, \langle 1_n, Mu \rangle_B = 0 \forall u \in \{1_n\}_B^\perp\}.$$

$$V_{n,B} = \{M \in M_n(\mathbb{Q}) : \langle 1_n, M1_n \rangle_B = 0, \langle u, Mv \rangle_B = 0 \forall u, v \in \{1_n\}_B^\perp\}.$$

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where  $u \in \{1_n\}_B^\perp \iff \langle u, 1_n \rangle_B = u^T B 1_n = 0$ .

Define weight w.r.t.  $B$  as

$$\text{wt}(M)_B = \frac{1_n^T B M 1_n}{1_n^T B 1_n} = \frac{1_n^T B M 1_n}{n^2 \text{wt}(B)}$$

# The new superalgebra

Then

$$M \in S_{n,B} \iff M = M_0 + \frac{\text{wt}(M)_B}{n^2 \text{wt}(B)} \varepsilon_n B, \text{ for some } M_0 \text{ s.t. } \text{wt}(M_0)_B = 0.$$

$$M \in V_{n,B} \iff M = a 1_n^T B + 1_n b^T B \text{ for some } a, b \in \{1_n\}_B^\perp.$$

It turns out  $S_{n,B} \oplus V_{n,B} = M_n(\mathbb{Q})$  is also a superalgebra. So for any  $M \in M_n(\mathbb{Q})$ , we can write:

$$M = M_0 + \frac{\text{wt}(M)_B}{n^2 \text{wt}(B)} \varepsilon_n B + a 1_n^T B + 1_n b^T B.$$



# Application to lattice isometry

Let's assume that  $M$  and  $B$  are the gram matrices of two isometric lattices. Then we can write  $M = N^T B N$ . Taking the weight of  $M$  (multiplied by  $n^2$ ):

$$n^2 \text{wt}(M)$$

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$$\begin{aligned}n^2 \text{wt}(M) &= 1_n^T M 1_n = 1_n^T N^T B N 1_n \\ &= 1_n^T N^T B (N_0 + \omega_N \varepsilon_n B + a 1_n^T B + 1_n b^T B) 1_n\end{aligned}$$

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$$\omega_N = \frac{\text{wt}(N)_B}{n^2 \text{wt}(B)} = \frac{1_n^T B N 1_n}{n^4 \text{wt}(B)^2} \implies 1_n^T N^T B 1_n = 1_n^T B N 1_n = n^4 \text{wt}(B)^2 \omega_N$$

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If we scale up by  $n^4 \text{wt}(B)^2$ , we obtain a positive definite, integral quadratic form in  $n + 1$  variables:

$$n^6 \text{wt}(B)^2 \text{wt}(M) = n^2 \text{wt}(B) \tilde{\omega}^2 + \tilde{a}^T B \tilde{a}$$

where  $\tilde{\omega} = n^2 \text{wt}(B) \omega_N \in \mathbb{Z}$ ,  $\tilde{a} = n^2 \text{wt}(B) a \in \mathbb{Z}^n$ .

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So, if  $\Lambda_M$  and  $\Lambda_B$  are rank  $n$ , isometric lattices, we must have an integer solution to the above quadratic form.

## Rank 2 example

Take  $B = \begin{pmatrix} 1 & 0 \\ 0 & 5 \end{pmatrix}$  and  $M = \begin{pmatrix} 2 & 1 \\ 1 & 3 \end{pmatrix}$ .

$n^2\text{wt}(B) = 6$ ,  $\text{wt}(M) = \frac{7}{4}$  and  $a^T B a = a_1^2 + 5a_2^2$ .

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Using the condition that  $1_n^T B a = a_1 + 5a_2 = 0$ , we have  $a_1 = -5a_2$ . Taking  $\tilde{\omega} = 36\omega_M \in \mathbb{Z}$ ,  $\tilde{a} = 36a_2 \in \mathbb{Z}$ , we have

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$$42 = \tilde{\omega}^2 + 5\tilde{a}^2.$$

So  $|\tilde{a}| \leq 2$ , and after trying all options, it is clear there are no integer solutions, so  $B$  and  $M$  cannot be isometric!

Thank you!